

What is Floer homology?

Monday, October 3, 2022 11:41 AM

- Review of Morse & Floer homology
- Goal of Floer homology
- Construction
- Applications

① Morse homology

M - compact, smooth $f: M \rightarrow \mathbb{R}$ Morse-Smale

$$C_k = \mathbb{Z} \langle \text{Crit}(f) \rangle \quad \partial X = \sum \langle \text{flow lines} \rangle$$

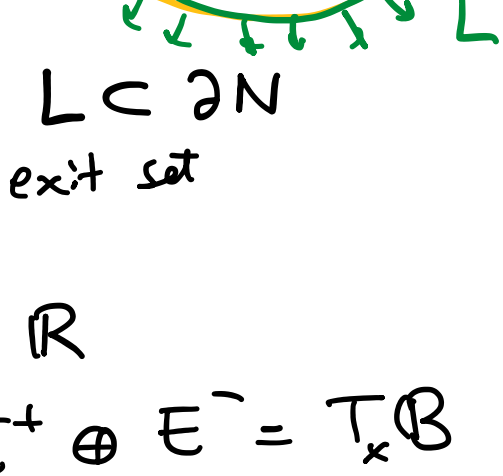
$$H_*(C_k) = H_*(M) \quad \# \text{ gradient flow lines } x \rightarrow y$$

What if M - noncompact?

$S = \{ \text{pts. on gradient flow lines b/w two crit. pts.} \}$

Assume S - compact

$$\leadsto C_k \leadsto H_*(C_k) = \tilde{H}_k(\text{Conley index})$$



Floer homology

= Morse homology in ∞ dim.

\mathcal{B} = Banach mfd. $F: \mathcal{B} \rightarrow \mathbb{R}$

$$x \in \text{Crit}(F) \quad \text{Hess}_x(F) \rightarrow E^+ \oplus E^- = T_x \mathcal{B}$$

can still define $gr(x, y) \in \mathbb{Z}$ both ∞ -dim.

$$(sometimes) \quad \leadsto C_k \xrightarrow{H_*} HF_*$$

Ex.: Symplectic geometry

(a) Hamiltonian Floer homology \checkmark (\exists "Floer htpy type")

(M, ω) - sympl. $H: S^1 \times M \rightarrow \mathbb{R}$ Hamiltonian

$$\leadsto \omega(X_t, -) = dH_t(-)$$

$$\leadsto \text{flow } \Phi_t: M \rightarrow M$$

$$\mathcal{B} = \{ \alpha: S^1 \rightarrow M, \hat{\alpha}: \mathbb{R}^2 \rightarrow M \} / \sim \quad \hat{\alpha} \sim \hat{\alpha}' \text{ if } [\omega], c_1(TM) = 0 \text{ on } \hat{\alpha} \cup \hat{\alpha}'$$

$$F: \mathcal{B} \rightarrow \mathbb{R} \quad F(\alpha) = \int_{S^1} H_t(\alpha(t)) - \int_D \hat{\alpha}^* \omega \quad \text{area functional}$$

$$\text{Crit}(F) = \text{closed orbits of } \Phi_1 \quad \leadsto HF_* = H_*(M)$$

$$\text{flow lines} = \text{pseudohol. cylinders}$$

(b) (M, ω) - sympl., $L_0, L_1 \subset M$ Lagrangians

$$\mathcal{B} = \{ \gamma: [a, b] \rightarrow M \mid \gamma(a) \in L_0, \gamma(b) \in L_1 \}$$

$$\leadsto F = \text{area} \quad \leadsto HF(L_0, L_1)$$

Lagrangian Floer homology

(c) periodic Floer homology, contact homology, etc.

Low Dimensional Topology

$$Y^3 \text{ or } (Y^3, K \subset Y) \leadsto HF_*$$

Floer homologies:

<p><u>Instanton (Yang-Mills)</u></p> <p>$\mathcal{B} = \{ su(2) \text{ conn. on } Y \} / \sim_{\text{gauge}}$</p> <p>$F = CS = \frac{1}{4\pi} \int \text{tr}(A \wedge A + \frac{2}{3} A \wedge A \wedge A)$</p> <p>?</p>	<p><u>Symplectic instanton</u> ?</p> <p>$U_0 \xrightarrow{Y} (M, \omega) \xrightarrow{U} U_1$</p> <p>$\Sigma \rightarrow L_0, L_1$</p> <p>$HF(L_0, L_1)$</p>
<p><u>Monopole (Seiberg-Witten)</u></p> <p>$\mathcal{B} = \{ (A, \Phi) \mid A = u(1) \text{ conn.}, \Phi - \text{spinor} \}$</p> <p>$F = CSD = - \int A \wedge A + \int \Phi, D_A \Phi$</p>	<p><u>Heegaard Floer</u> ?</p> <p>$\leadsto M = \text{Sym}^g(\Sigma)$</p> <p>$L_0, L_1$</p> <p>knots \checkmark</p>
<p><u>Khovanov homology</u></p> <p>- combinatorial for $K \subset S^3$</p> <p>Witten: conjectural interpretation of gauge theory (sd Haydys-Witten)</p>	<p><u>Symplectic Khovanov homology</u></p> <p>?</p>

② Floer homotopy

Goal: Given (M, ω, H_t) or (M, ω, L_0, L_1)

or Y^3 or $(Y, K) \leadsto$ (ideally)

construct a space X with $H_*(X) = HF_*$

X = invariant up to stable homotopy equivalence

"Floer (stable) homotopy type"

$$X \leadsto \Sigma X \quad [x, y] = \{ f: X \rightarrow Y \} / \text{htpy}$$

$$\text{stable htpy: } \{X, Y\} = \text{colim}_{n \rightarrow \infty} [\Sigma^n X, \Sigma^n Y]$$

More generally: X could be a spectrum

(i.e. a sequence of spaces $\{X_n\}$, $f_n: \Sigma X_n \rightarrow X_{n+1}$)

or pro-spectrum or twisted parametrized spectrum

Floer homotopy type $X \leadsto h_*(X)$, more info than $H_*(X) = HF_*$

h_* = generalized homology like

K -theory, KO , bordism, stable homotopy

③ Constructions

Cohen, Jones, Segal (1995): use a flow category

\mathcal{B} , $F: \mathcal{B} \rightarrow \mathbb{R}$ $CF_* = \mathbb{Z} \langle \text{Crit}(F) \rangle$

∂ counts flow lines

flow category \mathcal{C} : objects = $\text{Crit}(F)$

$$\text{Hom}(x, y) = \overline{M}(x, y) = \{ \text{broken flow lines } x \rightarrow y \}$$

$$\partial \overline{M}(x, y) = \bigcup_z \overline{M}(x, z) \times \overline{M}(z, y) \quad (*)$$

+ framings (of the normal bundles of $\overline{M}(x, y) \hookrightarrow \mathbb{R}^N \times [0, \infty)^K$)

+ compatibility

\leadsto framed flow category \xrightarrow{CJS} "space" X

idea: say $\text{Crit}(F) = \{x, y\}$

$$\dim \overline{M}(x, y) = k-1 \quad \text{smooth mfd + framed}$$

$$X = \text{pt} \cup D^m \cup D^{m+k} \quad \text{attach by } \tau: S^{m+k-1} \rightarrow S^m$$

$$\tau \in \pi_{m+k-1}^{st}(S^m) = \pi_{k,1}^{st}(S^0) \xrightarrow{\text{Pontryagin-Thom}} [\overline{M}(x, y)]$$

In practice: difficult b/c

- need compatible smooth structures on $\overline{M}(x, y)$

- bubbles $\rightarrow (*)$ may not hold

- framings may not exist.

What is actually done:

(a) Hamiltonian Floer homology (Abouzaid-Blumberg 2021)

$\overline{M}(x, y)$ - topological orbifolds w/ covers

- not framed, but at least stably almost complex

\leadsto not a Floer htpy type, but we get

complex oriented generalized Floer homologies

(e.g. K -theory, complex bordism, Morava K -theories)

(b) construct the framed flow category by hand, inductively on dim.:

combinatorial data (knot) $\leadsto \overline{M}(x, y) \leadsto$ htpy type

• Khovanov htpy type (Lipshitz-Sarkar, 2011)

• knot Heegaard Floer htpy type (M.-Sarkar, 2021)

(c) Use finite diml. approximation:

in Seiberg-Witten theory

(M. - 2001 for Y^3 , $b_1(Y) = 0$)

+ Kronheimer-M., Khandhawit-Lin-Sasahira, Sasahira-Stoffregen, Behrens-Hedenlund-Kragh \rightarrow all Y^3 (WIP)

- no bubbles

\mathcal{B} = Hilbert space $F: \mathcal{B} \rightarrow \mathbb{R}$, F = CSD

replace \mathcal{B} by $\dots \subset \mathcal{B}_n \subset \mathcal{B}_{n+1} \subset \dots \subset \mathcal{B}$

\mathcal{B}_n - finite diml. $\mathcal{B} = \widehat{\bigcup \mathcal{B}_n}$

$$F|_{\mathcal{B}_n}: \mathcal{B}_n \rightarrow \mathbb{R} \leadsto \text{Conley index } X_n$$

$$\leadsto \text{spectrum } \{X_n\} = \text{SW Floer htpy type}$$

④ Applications

a) Thm. (M, 2013): \exists non-triangulable

manifolds in each $\dim \geq 5$.

(known in dim. 4 by Casson/Freedman)

Galewski-Stern, Matsumoto '70s: reduced the problem to a question in 3+1 D:

$$\{ [\gamma] \in \Theta_{\mathbb{Z}}^3, 2[\gamma] = 0, \mu(\gamma) = 1 \}$$

$$\Theta_{\mathbb{Z}}^3 = \{ Y^3 \mid H_2(Y) = H_2(S^3) \} / \sim$$

homology cobordism

$$\mu: \Theta_{\mathbb{Z}}^3 \rightarrow \mathbb{Z}/2 \text{ Rokhlin}$$

$$Y_0 \cup W \cup Y_1 \quad H_*(W, \gamma_i) = 0$$

$Y^3 \leadsto$ SW eqs. have $\text{Pin}(2)$ symmetry

$\leadsto \text{SWFH}_*^{\text{Pin}(2)}(Y)$ $\text{Pin}(2)$ -equiv. Floer homology

take Floer htpy X , then $H_*^{\text{Pin}(2)}(Y)$

$$\leadsto \beta: \Theta_{\mathbb{Z}}^3 \rightarrow \mathbb{Z} \quad \beta(-Y) = -\beta(Y)$$

$$\xrightarrow{\mu} \mathbb{Z}/2$$

$$2[\gamma] = 0 \Rightarrow \beta(-Y) = -\beta(Y) = \beta(Y) \Rightarrow \beta(Y) = 0$$

$$\Rightarrow \mu(\gamma) = 0.$$

b) Arnold Conjecture mod p

(Abouzaid-Blumberg, 2021)

Hamiltonian Floer homology (M, ω) H_t

$\leadsto HF_*$ - only defined over \mathbb{Q} (b/c of bubbles)

$$CF_* = \mathbb{Z} \langle \text{closed orbits of time-1-flow} \rangle$$

\leadsto Arnold's Conj.: $\# \text{ closed orbits} \geq \dim H_*(M; \mathbb{Q})$

A-B: define cx. oriented gen. Floer homology

Morava K -theory $p \geq 2$ prime $K_p(n)$

$$HF(M, \{H_t\}; K_p(n)) \text{ over } \mathbb{F}_p[v, v^{-1}]$$

$$\leadsto H^*(M; \mathbb{F}_p) \text{ for } n \gg 0$$

$$\Rightarrow \# \text{ closed orbits} \geq \dim_{\mathbb{F}_p} H_*(M; \mathbb{F}_p)$$