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Generic injectivity and stability of inverse problems for connections

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ABSTRACT
We consider the nonlinear problem of determining a connection and a Higgs field from the corresponding parallel transport along geodesics on a compact Riemannian manifold with boundary, in any dimension. The problem can be reduced to an integral geometry question of some attenuated geodesic ray transform through a pseudolinearization argument. We show injectivity (up to natural obstructions) and stability estimates for both the linear and nonlinear problems for generic simple metrics and generic connections and Higgs fields, including the real-analytic ones. We consider the problems on simple manifolds to make the exposition of the main ideas clear and concise, many results of this paper still hold under some assumptions weaker than simplicity.

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1. Introduction
Let $(M, g)$ be a compact Riemannian manifold with smooth boundary $\partial M$, $n = \dim M \geq 2$. Let $A$ be a connection on the trivial bundle $M \times \mathbb{C}^k$ of rank $k$, which simply means that $A$ is a $k \times k$ matrix whose entries are 1-forms on $M$ with complex values. We also introduce a Higgs field $\Phi \in C^\infty(M; \mathbb{C}^{k \times k})$, a complex matrix function on $M$, and denote the pair $(A, \Phi)$ by $\mathcal{A}$. We define the parallel transport associated with $\mathcal{A}$ of a vector $u_0 \in \mathbb{C}^k$ along a geodesic $\gamma : [0, T] \to M$, $\gamma(0), \gamma(T) \in \partial M$, as the solution of the following ODE
\[
\dot{u} + \mathcal{A}(\gamma, \dot{\gamma})u = 0, \quad u(0) = u_0.
\] (1.1)
Here $\mathcal{A}(\gamma, \dot{\gamma}) = A_\gamma(\dot{\gamma}) + \Phi(\gamma)$. In the mean time, there is a fundamental matrix solution $U : [0, T] \to GL(k, \mathbb{C})$ of (1.1) which satisfies
\[
\dot{U} + \mathcal{A}(\gamma, \dot{\gamma})U = 0, \quad U(0) = \text{id}.
\] (1.2)
It is easy to see that $u(t) = U(t)u_0$, thus the information of the parallel transport is encoded in the fundamental matrix $U$. We are interested in the inverse problem of recovering the pair $(A, \Phi)$ on $M$ from the information of the parallel transport at the end point, i.e., $U(T)$, given there are enough geodesics $\gamma$ covering the manifold.

To make the exposition of the main ideas clear and concise, in this paper, we assume that $(M, g)$ is a simple manifold, which means that $\partial M$ is strictly convex and the exponential map is
a diffeomorphism at any point $x \in M$. In the mean time, we can always assume that $(M, \partial M)$ is equipped with a real-analytic atlas (the metric $g$ may not be real-analytic).

Let $SM$ be the unit sphere bundle of $M$ and $\partial SM$ be its boundary, we define two subsets of $\partial SM$

$$\partial_+ SM := \{(x, v) \in \partial SM : \pm \langle v, v(x) \rangle_g \geq 0\},$$

where $v(x)$ is the unit inward normal vector to $\partial M$ at $x$. Given $(x, v) \in SM$, we denote $\gamma_{x,v}$ the unique maximal geodesic on $M$ satisfying $\gamma_{x,v}(0) = x$, $\dot{\gamma}_{x,v}(0) = v$, let $\tau(x, v) (\tau_-(x, v))$ be the positive (negative) time the geodesic $\gamma_{x,v}$ exits $M$. $\tau$ and $\tau_-$ are smooth in $SM \setminus S(\partial M)$ and continuous on $SM$. On the other hand, for any $(x, v) \in SM$, there exists unique $(x_0, \nu_0) \in \partial_+ SM$ such that $\gamma_{x_0, \nu_0}(-\tau_-(x, v)) = (x, v)$. Thus one can define $U_A : SM \to GL(k, \mathbb{C})$ by

$$U_A(x, v) = U(-\tau_-(x, v)),$$

where $U$ is the fundamental matrix solution of (1.2) along $\gamma_{x_0, \nu_0}$. $U_A$ satisfies the following transport equation

$$XU_A + AU_A = 0, \quad U_A|_{\partial_+ SM} = \text{id},$$

where $X$ is the generating vector field of the geodesic flow. It is easy to see that $U_A$ has the same regularity as $\tau$.

Now we can define the scattering data associated with $A$

$$C_A : \partial_+ SM \to GL(k, \mathbb{C})$$

by $C_A(x, v) := U_A(\gamma_{x,v}(\tau(x, v)), \dot{\gamma}_{x,v}(\tau(x, v)))$, or $C_A = U_A|_{\partial_+ SM}$ in short. Our first result is regarding the recovery of $A = (A, \Phi)$ from $C_A$. Notice that there is a natural gauge of this problem: let $p : M \to GL(k, \mathbb{C})$ with $p|_{\partial M} = \text{id}$, then $C_A, \Phi = C_p^{-1}dp+p^{-1}A_p-p^{-1}\Phi p$. Define $d_Ap := [(d + A)p, \Phi p]$, then the equality just means that $C_A = C_p^{-1}d_Ap$. Thus one can only expect to determine $A$ up to the gauge.

**Theorem 1.1.** Let $M$ be a real-analytic simple manifold with real-analytic metric $g_0$. Let $A_0, B_0$ be real-analytic, there exists $\epsilon > 0$ such that whenever there are another metric $g$ and pairs $A = (A, \Phi), B = (B, \Psi)$ satisfying

$$\|g - g_0\|_{C^3(M)} \leq \epsilon, \quad \|A - A_0\|_{C^3(M)} + \|B - B_0\|_{C^3(M)} \leq \epsilon,$$

(1) if $C_A = C_B$ w.r.t. the metric $g$, then there is $p : M \to GL(k, \mathbb{C})$ with $p|_{\partial M} = \text{id}$, such that $B = p^{-1}d_Ap$;

(2) if $\|A_0 - B_0\|_{C^3(M)} \leq \epsilon$ and $i^*A = i^*B$ with $i : \partial M \to M$ the canonical inclusion, then there exists $p : M \to GL(k, \mathbb{C})$ with $p|_{\partial M} = \text{id}$ such that the following stability estimate holds w.r.t. the metric $g$

$$\|B - p^{-1}d_Ap\|_{L^2(M)} \leq C\|C_B - C_A\|_{H^1(\partial_+ SM)}$$

for some uniform constant $C > 0$ which depends only on $g_0, A_0, B_0$.

Notice that $A_0$ is complex-valued, we say that $A_0$ is real-analytic if both the real and imaginary parts of $A_0$ are real-analytic. $\| \cdot \|_{H^k}$ is the natural $H^k$ norm for pairs, $k \geq 0$, see Section 2 for the definition.
Theorem 1.1 shows that the rigidity result (up to the natural gauge) hold for generic simple metrics and generic connections and Higgs fields, including the real-analytic ones. There are previous works on the determination of connections from the parallel transport along straight lines in the Euclidean spaces [3, 4, 15, 35]. Injectivity results are valid on simple surfaces [16], simple manifolds for connections which are $C^1$ close to a given one with small curvature [24] and negatively curved manifolds with strictly convex boundary [7]. Though [7] allows the existence of trapped geodesics, above references on general manifolds all require the connections (and Higgs fields) to be unitary. The only exception is [20] which considers manifolds of dimension $\geq 3$ with strictly convex boundary that admits a strictly convex function, in particular, the last assumption is true if the manifold has non-negative sectional curvatures. In the current paper, we put no restrictions on the connections and Higgs fields, the dimension or the curvatures, and the simplicity assumption indeed can be much weakened, see Remark 1.4. In particular, our method also applies on simple surfaces ($n = 2$) to nonunitary connections.

To prove Theorem 1.1, which is regarding a nonlinear rigidity problem, we will reduce it to an integral geometry problem through a “linearization” of the scattering data, which is inspired by the idea of [26] and already appeared in e.g. [16, 20]. In particular, this motivates us to consider some type of weighted geodesic ray transforms.

Given $\alpha \in C^\infty(T^*M, \mathbb{C}^k)$ and $f \in C^\infty(M, \mathbb{C}^k)$, we consider the following geodesic ray transform along $\gamma_{x,v}$, $(x, v) \in \partial_+ SM$

$$I_A[\alpha, f](x, v) = \int_0^{\tau(x,v)} W_A(\gamma_{x,v}, \dot{\gamma}_{x,v})(\alpha_{\gamma_{x,v}}(\dot{\gamma}_{x,v}) + f(\gamma_{x,v})) \, dt$$

So $I_A$ is an attenuated geodesic ray transform with attenuation $A$. The natural elements of the kernel of $I_A$ are $d_A p$ with $p \in C^\infty(M, \mathbb{C}^k)$, $p|_{\partial M} = 0$. If they consist of the whole kernel, then we say $I_A$ is $s$-injective. When $A = 0$, i.e., $W_A = \text{id}$, the question is reduced to the injectivity of the usual (unweighted) geodesic ray transform of functions or tensor fields (known as the tensor tomography problem), which has been extensively studied. The geodesic ray transform of functions [13, 14] and 1-forms [1] are $s$-injective on simple manifolds. See [17, 19] and the survey [18] for recent developments of the tensor tomography problem on simple manifolds. Much less is known for the case with attenuations, the question of the $s$-injectivity of $I_A$ is still open on simple manifolds. Some partial answers to this question can be found in [7, 16, 22]. It is also worth mentioning that recently tools from microlocal analysis lead to several new local and global results [6, 20, 31, 34].

If one restricts the objects in the real-analytic category, there is another approach by applying the analytic microlocal analysis which was initiated in [28] by Stefanov and Uhlmann, and further developed in [29] for the ordinary tensor tomography problem. The next theorem, which can be viewed as a generalization, shows that $I_A$ is $s$-injective for real-analytic simple metric $g$ and real-analytic $A$ in any dimension.

**Theorem 1.2.** Let $M$ be a real-analytic simple manifold with real-analytic metric $g$, let $A$ be real-analytic, then $I_A$ is $s$-injective.
Remark. For the sake of simplicity, we carry out all the arguments with the original complex-valued $\mathcal{A}$ and $W_{\mathcal{A}}$. Indeed one can reduce everything to real-valued objects and consider an equivalent problem in the real category, see Appendix A.

We also remark that there are related studies in the analytic category of weighted X-ray transforms in $[5, 8, 9]$, they either only consider the function case or impose extra conditions on the 1-forms which make the kernel of the ray transform trivial and the arguments simpler too.

Similar to Theorem 1.1, we also get generic $s$-injectivity and stability estimates for $I_{\mathcal{A}}$ by investigating some normal operator involving $I_{\mathcal{A}}$ through microlocal analysis. The method goes back to the study of the stability estimates of the geodesic ray transform of tensor fields by Stefanov and Uhlmann $[27, 28]$. To state the results, we need to make extensions of the manifold $(M, g)$ and $\mathcal{A}$. Let $M_1$ be a slightly larger compact manifold with boundary, so that $M \subset M_1^1$, where $M_1^1$ is the interior of $M_1$. In particular, one can consider $M_1$ as $M \cup (\partial M \times [-\varepsilon, 0))$ with $\partial M \times (-\varepsilon, 0)$ a thin annulus around $M$ for $0 < \varepsilon \ll 1$. We also extend $g$ and $\mathcal{A}$ continuously (e.g., under Hölder norms) onto $M_1$ so that $M_1$ is simple too. We can keep $M_1$ being equipped with real-analytic atlas too, and the extended $g$ and $\mathcal{A}$ are real-analytic if the original ones are real-analytic.

Let $\tilde{U}_{\mathcal{A}}$ be the fundamental matrix on $SM_1$

$$X \tilde{U}_{\mathcal{A}} + \mathcal{A} \tilde{U}_{\mathcal{A}} = 0,$$

Similarly we denote the inverse of $\tilde{U}_{\mathcal{A}}$ by $\tilde{W}_{\mathcal{A}}$. We extend the pair $[\alpha, f]$ by zero onto $M_1$ and consider the new ray transform associated with the extended system

$$\tilde{I}_{\mathcal{A}}[\alpha, f](\alpha', v') = \int \tilde{W}_{\mathcal{A}}(\gamma_{\alpha', v'}, \dot{\gamma}_{\alpha', v'}) \left( \alpha_{\gamma_{\alpha', v'}}(\dot{\gamma}_{\alpha', v'}) + f(\gamma_{\alpha', v'}) \right) dt$$

for $(\alpha', v') \in \partial_+ SM_1$. Given $(x, v) \in \partial_+ SM$, there exist $t > 0$ and $(\alpha', v') \in \partial_+ SM_1$ such that $(\alpha', v') = (\alpha_0, v)(t)$, generally $I_{\mathcal{A}}[\alpha, f](x, v) \neq \tilde{I}_{\mathcal{A}}[\alpha, f](\alpha', v')$. However we will show in Section 2 that one can manipulate the difference, thus knowing $I_{\mathcal{A}}[\alpha, f]$ is equivalent to knowing $\tilde{I}_{\mathcal{A}}[\alpha, f]$.

Let $\tilde{I}_{\mathcal{A}}^*_{\mathcal{A}}$ be the adjoint of $\tilde{I}_{\mathcal{A}}$ under the $L^2$ inner product, we define the normal operator on $M_1$

$$N_{\mathcal{A}} := \tilde{I}_{\mathcal{A}}^*_{\mathcal{A}} \tilde{I}_{\mathcal{A}}.$$

We denote $[\alpha, f]$ by $h$, there exists a unique orthogonal decomposition (w.r.t. $L^2$ inner product) of $h$ on $M$

$$h = h^s_M + d_{\mathcal{A}} p,$$

where $p \in C^\infty(M, \mathbb{C}^k), p|_{\partial M} = 0$, and $\delta_{\mathcal{A}} h^s_M = 0$ on $M$ (we use $h$ to denote both a function on $M$ and its extension by zero on $M_1$). Here $\delta_{\mathcal{A}}$ is the adjoint of $d_{\mathcal{A}}$ under the $L^2$ inner product. See Section 2 and Appendix B for more detail.

**Theorem 1.3.** Let $(M, g)$ be a simple manifold and $\mathcal{A}$ be a pair $[A, \Phi]$, assume that $I_{\mathcal{A}}$ is $s$-injective,

1. let $h = [\alpha, f]$, then the following stability estimate for $N_{\mathcal{A}}$ holds

$$\|h^s_M\|_{L^2(M)} \leq C \|N_{\mathcal{A}} h\|_{H^{1}(M_1)};$$


(2) there exists $0 < \epsilon \ll 1$ such that the estimate in (1) remains true if $g$ and $A$ are replaced by $\tilde{g}$ and $\tilde{A}$ satisfying $\|\tilde{g} - g\|_{C^4(M_1)} \leq \epsilon, \|\tilde{A} - A\|_{C^3(M_1)} \leq \epsilon$. The constant $C > 0$ can be chosen uniformly, only depending on $g, A$.

It is easy to see that Theorems 1.2 and 1.3 together imply that the s-injectivity of $I_A$ and the stability estimates hold for generic simple metrics and generic connections and Higgs fields.

**Remark 1.4.** Several results of this paper, especially the results of the linear problem, will still hold on a compact manifold satisfying some microlocal condition, which essentially says that the union of the conormal bundles of nontrapped geodesics that are free of conjugate points covers the cotangent bundle $T^*M$. In dimension 2, this microlocal condition excludes the existence of conjugate points, and it is known that conjugate points could be a problem [12]. In dimension 3 and higher this condition allows the existence of trapped geodesics and conjugate points, so one only has access to partial data, and the boundary is not necessarily convex, see [5, 29] and Remarks 3.2, 4.3, 6.1 for more details.

**Remark 1.5.** We just consider the inverse problem on ordinary geodesics in this paper, however, the results can be generalized to general smooth curves, even with nonconstant speed, see previous studies [5, 9] and the local problem [34, Appendix].

**Remark 1.6.** The arguments of this paper also work for X-ray transforms of vector-valued functions with smooth invertible matrix weights, see Section 5 for the statements of the results. The scalar case was considered in [5], and a version for Radon transforms was studied in [10]. Investigations of some related local problems in dimension $\geq 3$ can be found in [20, 30, 36].

The paper is organized as follows. Section 2 discusses the necessary properties of $I_A$ for carrying out the arguments of the paper. We prove Theorem 1.3 in Section 3 and Theorem 1.2 in Section 4. In Section 5, we discuss the analogous results for weighted ray transforms of functions on $M$, there is no natural gauge in this case. The proof of Theorem 1.1 is given in Section 6. There are two appendices at the end: Appendix A shows that one can reduce everything from complex to real; Appendix B establishes an orthogonal decomposition of pairs of functions and 1-forms with respect to $A$.

### 2. Preliminaries

Consider $h = [\alpha, f]$ as an element of the space $H^k(M), k \geq 0$, with the norm

$$\|h\|^2_{H^k(M)} := \|\alpha\|^2_{H^k(M)} + \|f\|^2_{H^k(M)}.$$  

By Theorem B.1, there is a unique orthogonal decomposition of $h$ with the form

$$h = h^s + d_Ap$$

for some $h^s \in H^k(M)$ and $p \in H^{k+1}(M)$ with $p|_{\partial M} = 0$. Recall that $d_Ap = [dp + Ap, \Phi p]$, let $\delta_A$ be the adjoint of $d_A$ under the $L^2$ inner product, then $\delta_A h^s = 0$. Note that $\delta_A [\alpha, f] = \delta\alpha + A^*(\alpha) + \Phi^*f$. We call $h^s$ and $d_Ap$ the solenoidal and potential part of $h$, respectively.
Denote $\Delta_A = \delta_A d_A$, it is easy to see that $\Delta_A$ is an elliptic operator. One can check that $p$ solves the following regular elliptic Dirichlet boundary value problem

$$\Delta_A p = \delta_A h, \quad p|_{\partial M} = 0.$$  

We denote the solution operator, which is the Dirichlet realization of $\tilde{\Delta}_A$, i.e.,

$$I_A := d_A (\Delta_A)^{-1} \delta_A, \quad I_A^* = I_A.$$  

then $h^s = S_A h$. One can check that $N_A S_A = S_A N_A = N_A$ and $N_A I_A = I_A N_A = 0$. If we denote $S_A H^k(M)$ and $P_A H^k(M)$ the subspaces of solenoidal and potential pairs (w.r.t. $A$) of $H^k(M)$, respectively, then obviously

$$S_A : H^k(M) \to S_A H^k(M), \quad P_A : H^k(M) \to P_A H^k(M)$$

are bounded. Moreover, $S_A$ and $P_A$ continuously depend on $g$ and $A$.

**Lemma 2.1.** Given $(g, A) \in C^1(M)$, there exists $\epsilon > 0$ such that for any $(\tilde{g}, \tilde{A})$ with $\| (\tilde{g}, \tilde{A}) - (g, A) \|_{C^1(M)} \leq \epsilon$

$$\| S_{A, \tilde{A}} - S_{A,g} \|_{L^2 \to L^2} \leq C \epsilon, \quad \| P_{A, \tilde{A}} - P_{A,g} \|_{L^2 \to L^2} \leq C \epsilon$$

with $C > 0$ a locally uniform constant depending on $g$ and $A$ only.

A proof in the absence of $A$ can be found in [28, Lemma 1], similar arguments will work for the case with $A$.

Given $h = [\alpha, f]$ on $M$, we can extend it by zero onto $M_1$, still denoted by $h$. We want to compare $I_A h$ and $I_A h$. Notice that on $M$

$$X(\tilde{W}_A^{-1} W_A^{-1}) = \tilde{W}_A A W_A^{-1} - \tilde{W}_A A W_A^{-1} = 0,$$

i.e., $\tilde{W}_A W_A^{-1}$ is constant along geodesics on $M$. Given $\gamma$ a geodesic on $M_1$ connecting boundary points of $\partial M_1$, assume that $\gamma \cap M \neq \emptyset$

$$I_A h(\gamma) = \int_{\gamma} \tilde{W}_A(\gamma, \dot{\gamma}) h(\gamma, \dot{\gamma}) \, dt = \int_{\gamma \cap M} \tilde{W}_A(\gamma, \dot{\gamma}) h(\gamma, \dot{\gamma}) \, dt$$

$$= \int_{\gamma \cap M} \tilde{W}_A(\gamma, \dot{\gamma}) W_A^{-1}(\gamma, \dot{\gamma}) W_A(\gamma, \dot{\gamma}) h(\gamma, \dot{\gamma}) \, dt$$

$$= C(\gamma) \int_{\gamma \cap M} W_A(\gamma, \dot{\gamma}) h(\gamma, \dot{\gamma}) \, dt$$

$$= C(\gamma) I_A h(\gamma),$$

where $C(\gamma)$ is some constant that depends on $\gamma$ and is known if $g$ and $A$ are given. Thus once $I_A h$ is given, we know the values of $I_A h$ and vice versa. From now on, we use $I_A$ to represent both ray transforms.

Since $C^\infty(M_1)$ is dense in $L^2(M_1)$, it is easy to check that $I_A : L^2(M_1) \to L^2_\mu(\partial_+ SM_1)$ is bounded, here $L^2_\mu(\partial_+ SM_1)$ is the $L^2$ space on $\partial_+ SM_1$ under the measure $d\mu = \langle v, v \rangle_g d\Sigma^{2n-2}$ with $d\Sigma^{2n-2}$ the standard measure on $\partial SM_1$. So the adjoint $I^*_A : L^2_\mu(\partial_+ SM_1) \to L^2(M_1)$ is
bounded too. By a simple calculation, one can show that the integral expression of $I_A^*$ is

$$I_A^* u(x) = \left( \int_{S_x M_1} g_{ij}(x)v^j \tilde{W}_A^*(x, v) u^i(x, v) \, dv \right) dx_i,$$

where $W_A^*$ is the conjugate transpose of $W_A$, $u^i$ is the invariant extension of $u$ along geodesics, i.e., $u^i(x, v) = u(\gamma_{x,v}(\tau_-(x, v)), \dot{\gamma}_{x,v}(\tau_-(x, v)))$ with $\tau_-(x, v)$ the negative exit time of $\gamma_{x,v}$ from $\partial M_1$.

**Remark 2.2.** One can also consider the boundedness of $I_A$ and $I_A^*$ on $H^k$ spaces for $k \geq 0$. Notice that we only consider $h = [\alpha, f]$ with supp $h \subset M$, and $W_A$ is smooth in $SM_1^\circ$ (so is $\tilde{W}_A$), it is not difficult to check that

$$I_A : H^k_c(M_1^\circ) \rightarrow H^k_c((\partial_+ SM_1)^\circ) \quad \text{and} \quad I_A^* : H^k_c((\partial_+ SM_1)^\circ) \rightarrow H^k(M_1)$$

are bounded on simple $M_1$ for $k \geq 0$. See [23, Theorem 4.2.1] and [21, Proposition 5.3] for the ordinary geodesic ray transform.

### 3. Stability estimates

We will study the microlocal properties of the operator $N_A$ and prove Theorem 1.3 in this section.

#### 3.1. Ellipticity of $N_A$

**Lemma 3.1.** $N_A$ is a $\Psi DO$ of order $-1$ in $M_1^\circ$. It is elliptic on solenoidal pairs at any $(x, \xi) \in T^*M_1^\circ \setminus 0$.

**Proof.** Notice that $N_A$ is an operator acting on pairs, similar cases were considered before in [2, 9]. It is not difficult to check that the integral operator has the following form

$$N_A \begin{pmatrix} \alpha \\ f \end{pmatrix} = \begin{pmatrix} N_{11}^A \\ N_{01}^A \\ N_{10}^A \\ N_{00}^A \end{pmatrix} \begin{pmatrix} \alpha \\ f \end{pmatrix},$$

where

$$N_{11}^A \alpha)(x) = \int_{S_x M_1} \int g_{ij}(x)v^j \tilde{W}_A^*(x, v) \tilde{W}_A(\gamma_{x,v}(t), \dot{\gamma}_{x,v}(t)) \alpha_j(\gamma_{x,v}(t)) \dot{\gamma}_{x,v}^j(t) \, dt \, dv,$$

$$N_{10}^A f)(x) = \int_{S_x M_1} \int g_{ij}(x)v^j \tilde{W}_A^*(x, v) \tilde{W}_A(\gamma_{x,v}(t), \dot{\gamma}_{x,v}(t)) f(\gamma_{x,v}(t)) \, dt \, dv,$$

$$N_{01}^A \alpha(x) = \int_{S_x M_1} \int \tilde{W}_A^*(x, v) \tilde{W}_A(\gamma_{x,v}(t), \dot{\gamma}_{x,v}(t)) \alpha_j(\gamma_{x,v}(t)) \dot{\gamma}_{x,v}^j(t) \, dt \, dv,$$

$$N_{00}^A f(x) = \int_{S_x M_1} \int \tilde{W}_A^*(x, v) \tilde{W}_A(\gamma_{x,v}(t), \dot{\gamma}_{x,v}(t)) f(\gamma_{x,v}(t)) \, dt \, dv.$$
Then following [2, Proposition 4.1], it is easy to see that $N_A$ is a $Ψ$DO of order $−1$ in $M_1^o$. Moreover, the principal symbol $σ_{p}(N_A)$ satisfies

$$σ_{p}(N_{A}^{11}) (x, ξ) = \int_{S_x M_1} g_{i\ell}(x) v^\ell \tilde{W}_A (x, v) \tilde{W}_A (x, v) v^j \delta(ξ \cdot v) \, dv,$$

$$σ_{p}(N_{A}^{10}) (x, ξ) = \int_{S_x M_1} g_{i\ell}(x) v^\ell \tilde{W}_A (x, v) \tilde{W}_A (x, v) \delta(ξ \cdot v) \, dv,$$

$$σ_{p}(N_{A}^{01}) (x, ξ) = \int_{S_x M_1} \tilde{W}_A (x, v) \tilde{W}_A (x, v) v^j \delta(ξ \cdot v) \, dv,$$

$$σ_{p}(N_{A}^{00}) (x, ξ) = \int_{S_x M_1} \tilde{W}_A (x, v) \tilde{W}_A (x, v) \delta(ξ \cdot v) \, dv.$$

Now for $(x, ξ) \in T^*M_1^o \setminus 0$ given $[α, f]$ in the kernel of $σ_{p}(δ_A)(x, ξ)$, i.e., $g_{i\ell}(x) α_i δ_j = 0$. Notice that at a fixed point $x$, we can assume that the geometry is trivial, i.e., $g_{ij}(x) = δ_{ij}$, so we can identify $ξ = (ξ_i)$ with its dual $ξ^* = (ξ^i) = (g_{ij} ξ_j)$. Assume that $(σ_{p}(N_A)[α, f], [α, f]) = 0$, which implies that

$$0 = \int_{S_x M_1} \left| \tilde{W}_A (x, v) (α_i v^j + f) \right| \delta(ξ \cdot v) \, dv.$$

Thus $\tilde{W}_A (x, v)(α_i v^j + f) = 0$ for $(x, v) \in S_x M_1 \cap ξ^⊥$. Thus we can find $n$ vectors $v_1, \ldots, v_n$ from $S_x M_1 \cap ξ^⊥$ such that $\{v_2 - v_1, \ldots, v_n - v_1\}$ form a basis of $ξ^⊥$. Since $\tilde{W}_A (x, v)$ is invertible, we get $α_i v^j + f = 0$ for $j = 1, \ldots, n$, thus

$$α_i (v_j - v_1)^j = 0, \quad j = 2, \ldots, n.$$

The fact that $\{v_2 - v_1, \ldots, v_n - v_1\}$ is a basis for $ξ^⊥$, together with the assumption $ξ^i α_i = 0$, implies that $α = 0$. Therefore $f = 0$ too, and this proves the lemma.

**Remark 3.2.** Lemma 3.1 still holds under the microlocal condition mentioned in Remark 1.4. In fact the microlocal condition implies that there exists a smooth cutoff function $ρ$ on $SM_1$ such that for any $(x, ξ) \in T^*M_1^o$ there is $v \in S_x M_1$ so that $ρ(x, v) ≠ 0$. In the mean time, $\tilde{W}_A$ is well defined and smooth in an open neighborhood of $\text{supp } ρ$, thus one can show that $N_A = I_A^* ρ^2 I_A$ is an elliptic $Ψ$DO of order $−1$ acting on solenoidal pairs.

### 3.2. Stability up to an error

By Lemma 3.1, $(N_A, Dδ_A)$ form an elliptic system in $M_1^o$, here $D = \left( \begin{array}{c} \Lambda \\ 0 \end{array} \right)$ with $\Lambda$ a properly supported parametrix of $Δ_A$ in $M_1^o$ with principal symbol $|ξ|^{-2}$. Thus there is a parametrix for the system in $M_1^o$, denoted by $(P, Q)$, such that

$$PN_A + QDδ_A = Id + K,$$

where $P, Q$ are $Ψ$DO’s of order 1, $K$ is a smoothing operator.

Let $M'$ be a compact extension of $M$ such that $M ⊂ M' ⊂ M_1$, in particular, we can choose $M' = M \cup (\partial M \times [−ε/2, 0])$ if one recalls the definition of $M_1$ in the introduction. Let $χ$ be a smooth cutoff function on $M_1$ with $\text{supp } χ ⊂ M_1$ and $χ = 1$ in a neighborhood of $M'$. Given a pair $h = [α, f]$ with $\text{supp } h ⊂ M$, by Appendix B, there is a unique decomposition $h = h^i_{M_1} + d_A φ_M_1$ on $M_1$. Since $\text{supp } δ_A h^i_{M_1} ⊂ M_1 \setminus M'$, by the pseudolocal property of
\[ \Psi D\delta_A h_{\delta M}^t \text{ is smooth near } M', \text{ then by (3.1), we have} \]
\[ PN_A \chi h_{\delta M}^t = h_{\delta M}^t + K_1 h_{\delta M}^t = h_{\delta M}^t + K'_1 h \text{ in } (M')^o \]
with \( K_1, K'_1 \) both smoothing operators, and \( K'_1 = K_1 s_{M_1} \).

For the term on the left-hand side of (3.2), notice that
\[ PN_A \chi h_{\delta M}^t = PN_A h - PN_A \chi d_A \phi_{M_1}, \]
we want to rewrite the second term on the right-hand side as some compact operator acting on \( h \). For this purpose, using the fact that \( \Delta_A \phi_{M_1} = \delta_A h, \) so \( \phi_{M_1} = (\Delta_A^{-1}) \delta_A h. \) In the mean time, let \( \Lambda' \) be a parametrix for \( \Delta_A \) on \( M_1^o \) such that \( \Lambda' \Delta_A = Id - \tilde{K} \) for some smoothing operator \( \tilde{K} \), then
\[
(\Delta_A^{-1}) \delta_A h = (\Delta_A^{-1}) \delta_A h - \Lambda' \delta_A P_{M_1} h = (\Delta_A^{-1}) \delta_A h - \Lambda' \Delta_A (\Delta_A^{-1}) \delta_A h = \tilde{K} \delta_A h = \tilde{K}'h \text{ in } (M')^o
\]
for some \( \tilde{K}' \) with the same property as \( \tilde{K} \). Thus in \( (M')^o \)
\[ PN_A \chi d_A \phi_{M_1} = PN_A \chi (\Lambda' \delta_A h + \tilde{K}'h) \]
\[ = PN_A \chi d_A (\Lambda' \delta_A h + \tilde{K}'h) - PN_A (d_A \chi) (\Lambda' \delta_A h + \tilde{K}'h) = -PN_A (d_A \chi) (\Lambda' \delta_A h + \tilde{K}'h) = K_2 h, \]
where \( K_2 \) is a \( \Psi DO \) of order \(-1\). Now by (3.2) and (3.3)
\[ PN_A h = h_{\delta M}^t + K_3 h \text{ in } (M')^o \]
where \( K_3 \) is a new \( \Psi DO \) of order \(-1\). Thus
\[ \| h_{\delta M}^t \|_{L^2(M')} \lesssim \| N_A h \|_{H^1(M_1)} + \| h \|_{H^{-1}(M_1)}. \]

Next we want to change the term on the left-hand side of (3.5) from the \( L^2 \) norm of \( h_{\delta M}^t \) to the \( L^2 \) norm of \( h_M^t \). Notice that
\[ h_M^t = h - d_A \phi_M = h_{\delta M}^t + d_A (\phi_{M_1} - \phi_M), \]
denote \( u = \phi_{M_1} - \phi_M \), then \( u \) satisfies the elliptic boundary value problem
\[ \Delta_A u = 0, \quad u|_{\partial M} = \phi_{M_1}|_{\partial M}, \]
and the following estimate holds
\[ \| d_A (\phi_{M_1} - \phi_M) \|_{L^2(M)} \lesssim \| \phi_{M_1} - \phi_M \|_{H^1(M)} \lesssim \| \phi_{M_1} \|_{H^{1/2}(\partial M)}. \]

By (3.4) and the fact that \( \text{supp } h \subset M \),
\[ d_A \phi_{M_1} = -h_{\delta M}^t = -PN_A h + K_3 h \text{ in } M' \setminus M. \]
For \( \varepsilon \) small enough, \( M' \setminus M = \partial M \times (-\varepsilon/2, 0) \) is within some semigeodesic neighborhood of \( \partial M' \), so that for any \( x = (x', t) \in M' \setminus M \), there is a unique geodesic \( \gamma_x : [0, t] \to M' \setminus M \) normal to \( \partial M' \) with \( \gamma_x(0) = (x', 0) \) and \( \gamma_x(t) = x \). Thus by the fundamental theorem of
calculus and the fact $X(\tilde{W}_A \phi_{M_1}) = \tilde{W}_A d_A \phi_{M_1}$ on $SM_1$, we get
\[
\tilde{W}_A(x, \partial_t)\phi_{M_1}(x) = \tilde{W}_A((x', 0), \partial_t)\phi_{M_1}(x', 0) + \int_0^t \tilde{W}_A(-PN_A h + K_3 h)((x', s), \partial_t) \, ds.
\]
On the other hand, $\phi_{M_1} = (\Delta^{1}_{M_1})^{-1}\delta_A h$, we define $\phi_{M_1}(x', 0) = K_4 h(x)$. Since $h = 0$ outside $M$, $\phi_{M_1}$ is smooth near $\partial M'$, this implies that $K_4$ is a smoothing operator in $M' \setminus M$. Therefore
\[
\phi_{M_1}(x) = \tilde{U}_A(x, \partial_t)\left(\tilde{W}_A(K_4 h)(x) + \int_{Y_x} \tilde{W}_A(-PN_A h + K_3 h) \, ds\right).
\] (3.9)
By (3.9), (3.8) and the trace theorem
\[
\|\phi_{M_1}\|_{H^{1/2}(\partial M)} \lesssim \|\phi_{M_1}\|_{H^1(M' \setminus M)} \lesssim \|N_A h\|_{H^1(M_1)} + \|h\|_{H^{-1}(M_1)}.
\] (3.10)
Combine (3.5)–(3.7) and (3.10) we achieve the following estimate

**Lemma 3.3.** For any $h \in L^2(M)$
\[
\|h\|_{L^2(M)} \lesssim \|N_A h\|_{H^1(M_1)} + \|h\|_{H^{-1}(M_1)}.
\] (3.11)

**Remark 3.4.** By (3.11), if $h \in S_A L^2(M) \cap \text{Ker} I_A$, then $N_A h = 0$, so
\[
\|h\|_{L^2(M)} \lesssim \|h\|_{H^{-1}(M_1)}.
\]
Since the inclusion $L^2(M) \hookrightarrow H^{-1}(M_1)$ is compact, it is easy to see that this implies that the space $S_A L^2(M) \cap \text{Ker} I_A$ has finite dimension. Moreover, by (3.1) and the pseudolocal property, $h$ is smooth in the interior of $M$. Indeed one can show that $S_A L^2(M) \cap \text{Ker} I_A$ is included in $C^\infty(M)$ [27, 28]. This implies that the $s$-injectivity on $L^2(M)$ is equivalent to the $s$-injectivity on $C^\infty(M)$.

### 3.3. Generic stability

**Proof of Theorem 1.3 (1).** To prove part (1) of Theorem 1.3, we need the functional analysis lemma below, see [32, Proposition V.3.1].

**Lemma 3.5.** Let $X$, $Y$, and $Z$ be Banach spaces, $T : X \to Y$ be an injective bounded linear operator, and $K : X \to Z$ be a compact operator. If for any $x \in X$
\[
\|x\|_X \lesssim \|Tx\|_Y + \|Kx\|_Z,
\]
then the following improved estimate holds
\[
\|x\|_X \lesssim \|Tx\|_Y.
\]

Now let $X = S_A L^2(M)$, $Y = H^1(M_1)$, and $Z = H^{-1}(M_1)$, let $T = N_A$ and $K$ be the inclusion map $L^2(M) \hookrightarrow H^{-1}(M_1)$. Assume that $I_A$ is $s$-injective, then it is easy to see that $N_A : S_A L^2(M) \to H^1(M_1)$ is injective. Notice that $N_A S_A = N_A$, by Lemmas 3.3 and 3.5, we have the following stability estimate
\[
\|h^s_1\|_{L^2(M)} \lesssim \|N_A h\|_{H^1(M_1)}
\] (3.12)
for any $h \in L^2(M)$. 
\[\square\]
Next we want to show that (3.12) is still true for \((\tilde{g}, \tilde{A})\) in some sufficiently small neighborhood of \((g, A)\) under proper Hölder norms. We need the following lemma on the continuous dependence of \(N_{g, A}\) on \((g, A)\).

**Lemma 3.6.** Given \((g, A) \in C^\infty(M_1)\), let \((\tilde{g}, \tilde{A})\) satisfy \(\|g - \tilde{g}\|_{C^1(M_1)} \leq \epsilon\), \(\|A - \tilde{A}\|_{C^1(M_1)} \leq \epsilon\) for some sufficiently small \(\epsilon > 0\), then the manifold \((M_1, \tilde{g})\) is still simple and there exists a constant \(C > 0\) which only depends on \(g, A\) such that

\[
\| (N_{g, A} - N_{\tilde{g}, \tilde{A}}) h \|_{H^1(M_1)} \leq C \| h \|_{L^2(M)},
\]

for any \(h \in L^2(M)\).

The proof of Lemma 3.6 can be carried out in the same spirit of [5, Proposition 4] and [9, Proposition 3], see the related references for more detail. In particular, \(\| X \! - \! \tilde{X} \|_{C^1} \leq \| g \! - \! \tilde{g} \|_{C^1}\), where \(X\) and \(\tilde{X}\) are the generating vector fields of the geodesic flows under metric \(g\) and \(\tilde{g}\), respectively. In the mean time, \(\| W_{g, A} - \tilde{W}_{\tilde{g}, \tilde{A}} \|_{C^0} \lesssim \epsilon\) under the assumptions of the lemma [8, Lemmas 5, 6].

**Proof of Theorem 1.3 (2).** Given \(h \in L^2(M)\), by Lemmas 2.1, 3.6 and (3.12)

\[
\| h^s_{M, g, A} \|_{L^2(M)} \leq \| S_{g, A} h^s_{M, g, A} \|_{L^2(M)} + \| (S_{g, A} - S_{\tilde{g}, \tilde{A}}) h^s_{M, g, A} \|_{L^2(M)}
\]

\[
\leq C_0 \| N_{g, A} h^s_{M, g, A} \|_{H^1(M_1)} + C \| h^s_{M, g, A} \|_{L^2(M)}
\]

\[
\leq C_0 \| N_{\tilde{g}, \tilde{A}} h^s_{M, \tilde{g}, \tilde{A}} \|_{H^1(M_1)} + C \| (N_{g, A} - N_{\tilde{g}, \tilde{A}}) h^s_{M, \tilde{g}, \tilde{A}} \|_{H^1(M_1)}
\]

\[
+ C \| h^s_{M, \tilde{g}, \tilde{A}} \|_{L^2(M)}
\]

\[
\leq C_0 \| N_{\tilde{g}, \tilde{A}} h \|_{H^1(M_1)} + C_0 C \| h^s_{M, \tilde{g}, \tilde{A}} \|_{L^2(M)} + C \| h^s_{M, \tilde{g}, \tilde{A}} \|_{L^2(M)}.
\]

Notice that \(h = h^s_{M, \tilde{g}, \tilde{A}} + d_{\tilde{A}} \phi_{M, \tilde{g}, \tilde{A}}\) on \(M\), \(\phi_{M, \tilde{g}, \tilde{A}} \mid_{\partial M} = 0\). We may extend \(h, h^s_{M, \tilde{g}, \tilde{A}}\) and \(\phi_{M, \tilde{g}, \tilde{A}}\) by zero onto \(M_1\) (so \(\phi_{M, \tilde{g}, \tilde{A}} \in H^1_0(M_1)\)), then \(N_{\tilde{g}, \tilde{A}} d_{\tilde{A}} \phi_{M, \tilde{g}, \tilde{A}} = 0\) also as \(\phi_{M, \tilde{g}, \tilde{A}} \mid_{\partial M_1} = 0\), i.e., \(N_{\tilde{g}, \tilde{A}} h^s_{M, \tilde{g}, \tilde{A}} = N_{\tilde{g}, \tilde{A}} h\).

Now let \(\epsilon \leq \frac{1}{2(C_0 + C)}\), we get that

\[
\| h^s_{M, g, A} \|_{L^2(M)} \leq 2 C_0 \| N_{\tilde{g}, \tilde{A}} h \|_{H^1(M_1)}.
\]

Notice that \(C_0\) is the constant from Theorem 1.3 (1), which only depends on \(g, A\), this completes the proof.

\[
\square
\]

4. **S-injectivity in the real-analytic category**

In what follows, analytic means real-analytic. We will first show that if \(g\) and \(A\) are analytic and \(I_A h = 0\), then \(h^s\) is analytic on \(M\), i.e., \(h^s \in A(M)\). It is easy to see that if \(A\) is analytic, then \(W_A\) is analytic too. We denote \(WF_A(h)\) the analytic wave front of \(h\).

**Proposition 4.1.** Assume that \(g\) and \(A\) are analytic. Given \((x_0, \xi_0) \in T^* M^0 \setminus 0\), let \(\gamma_0\) be a geodesic through \(x_0\) normal to \(\xi_0\). If for some \(h = [\alpha, f] \in L^2(M)\), \(I_A h(\gamma) = 0\) for \(\gamma\) in a
neighborhood of \( \gamma_0 \), and \( \delta_A h = 0 \) near \( x_0 \), then
\[
(x_0, \xi_0) \notin WF_a(h).
\]

Since \( WF_a(h) \) is closed, above proposition also implies that a neighborhood of \( (x_0, \xi_0) \) is away from \( WF_a(h) \).

**Proof.** Assume \( \gamma_0 : [\ell^-, \ell^+] \to M_1 \), \( \gamma_0(0) = x_0 \) and \( \ell^- < 0, \ell^+ > 0 \) with \( \gamma_0(\ell^-), \gamma_0(\ell^+) \in M_1 \setminus M \). We can define analytic coordinates in a tubular neighborhood \( U \) of \( \gamma_0 \) in \( M_1 \) with \( x = (x', t), x' = (x^1, \ldots, x^{n-1}) \) such that \( U = \{|x'| < \varepsilon, \ell^- - \varepsilon < t < \ell^+ + \varepsilon\} \) for some small \( \varepsilon > 0 \). \( x_0 = 0 \) and \( \gamma_0 = \{(0, \ldots, 0, t) : \ell^- \leq t \leq \ell^+\} \). Then if \( \varepsilon \) is small enough, \((x', \ell^-), (x', \ell^+) \in M_1 \setminus M \) for any \( |x'| < \varepsilon \). See also \cite[Section 2.1]{29}. In particular, one can assume that the geometry at \( x_0 = 0 \) is trivial, i.e., \( g_{ij}(0) = \delta_{ij} \), then \( \xi_0 = (\xi'_0, 0) \). Thus \( \gamma_0 = \gamma_{x_0, v_0} \) with \( v_0 = (0, \ldots, 0, 1) \).

For curves in a neighborhood of \( \gamma_0 \), we give a local parameterization under the analytic coordinates above. Given \( |z'| < \varepsilon \) and \( |v'| < 1 \) small, we consider curves \( \gamma_{z', v'} : = \gamma_{(z', 0), (v', 1)} \) with \( \gamma_{z', v'}(t) = \exp_{(z', 0)}(t(v', 1)) \). Then for \( |z'| < 2\varepsilon/3 \) and \( |v'| \ll 1 \), the curve \( \gamma_{z', v'}([\ell^-, \ell^+]) \) will stay in \( U \) as well and \( \gamma_{z', v'}(\ell^+) \in M_1 \setminus M \). Thus \( I_A h(\gamma_{z', v'}) = 0 \) for \( |z'| < 2\varepsilon/3 \), \( |v'| \ll 1 \).

Much of the complexity of analytic microlocal calculus is due to the difficulty of localizing in the analytic category, as there are no suitable cutoff functions. Similar to \cite{29}, we instead use a sequence of cutoff functions \( \chi_N \in C^\infty_c(\mathbb{R}^{n-1}) \) satisfying \( \text{supp} (\chi_N) \subset \{|z'| < 2\varepsilon/3, \chi_N = 1 \text{ for } |z'| < \varepsilon/3 \} \)
\[
|\partial^\beta \chi_N(z')| < (CN)^{|\beta|}, \forall z' \in \mathbb{R}^{n-1}, |\beta| < N
\]for some \( C > 0 \) independent of \( N \). The existence of such cutoff functions can be found in \cite{33}.

Let \( \mu \gg 1 \) be a large parameter, then for \( \xi = (\xi', \xi^n) \) in a complex neighborhood of \( \xi_0 \), we have
\[
\int e^{i\mu z' \cdot \xi} \chi_N(z') \int \tilde{W}_A(\gamma_{z', v'}(t), \dot{\gamma}_{z', v'}(t)) \left( \alpha_{(z', v')}(t) + f(\gamma_{z', v'}(t)) \right) dt dz' = 0.
\]
Notice that with the help of the cutoff function \( \chi_N \), we may make analytic coordinates change \((z', v', t) \to (x, \xi) \) near \( v' = 0 \) with \( v' = v'(\xi) \) (so \( v' \) is sufficiently small when \( \xi \) is close enough to \( \xi_0 \)) such that \( (v'(\xi), 1) \cdot \xi = 0, v'(\xi_0) = 0 \). In particular, \( x = \gamma_{z', v'}(t) \). Thus
\[
\int e^{i\mu v \cdot (x, \xi)} W_N(x, \xi) \left( \alpha_i(x) u^i(x, \xi) + f(x) \right) dx = 0.
\]
Here \( \varphi(x, \xi) := z'(x, v'(\xi)) \cdot \xi' \) is the phase function. \( W_N \) is an analytic matrix function for \( \xi \) sufficiently close to \( \xi_0 \), independent of \( N \) near \( \gamma_0 \) and satisfies (4.1) too. \( u(x, \xi) = (u^1(x, \xi), \ldots, u^n(x, \xi)) \) is an analytic vector field. Note that \( W_N(0, \xi) = \tilde{W}_A(0, (v'(\xi), 1)) = \tilde{W}_A(0, u(0, \xi)) \).

Now we are going to apply the method of complex stationary phase [25], see also [11, 29]. Notice that our phase function \( \varphi \) is the same as the one considered in [29], in particular, we have the following lemma.

**Lemma 4.2.** The phase function \( \varphi \) in (4.2) satisfies the following properties:
1. \( \partial_\xi \partial_x \varphi(0, \xi) = Id \), thus \( \varphi \) is a non-degenerate phase function near \((0, \xi_0)\);
2. there exists \( \delta > 0 \) such that if \( \partial_\xi \varphi(x, \xi) = \partial_\xi \varphi(y, \xi) \) for some \( x \in U, |y| < \delta \) and \( |\xi - \xi_0| < \delta \), then \( x = y \).
Now let $|y| < \delta$, $|\eta - \xi_0| < \delta/2$, let $\rho$ be a smooth cutoff function such that $\text{supp } \rho \subset \{|\xi| < \delta\}$ and $\rho(\xi) = 1$ for $|\xi| < \delta/2$. We multiple (4.2) by

$$\rho(\xi - \eta)e^{i\mu\left(\frac{x}{2}(\xi - \eta)^2 - \varphi(y,\xi)\right)},$$

and integrate in $\xi$ to get

$$\int \int e^{i\mu\Phi(x,y,\xi,\eta)} \tilde{W}_N(x,\xi,\eta) \left( \alpha_i(x) u^i(x,\xi) + f(x) \right) dx d\xi = 0, \quad (4.3)$$

where

$$\Phi(x,y,\xi,\eta) = \frac{i}{2}(\xi - \eta)^2 + \varphi(x,\xi) - \varphi(y,\xi), \quad \tilde{W}_N(x,\xi,\eta) = \rho(\xi - \eta) W_N(x,\xi).$$

To estimate the left-hand side of (4.3), we first study the critical points of the function $\xi \mapsto \Phi(x,y,\xi,\eta)$. Note that

$$\partial_\xi \Phi(x,y,\xi,\eta) = i(\xi - \eta) + \partial_\xi \varphi(x,\xi) - \partial_\xi \varphi(y,\xi).$$

By Lemma 4.2 (2), when $x = y$, the only critical point of $\Phi$ is $\xi_c = \eta$ which is non-degenerate. Therefore, for $|x - y| \leq \delta/C_0$, some $C_0 > 0$, there is at most one (complex) critical point $\xi_c = \xi_c(x,y,\eta)$ in $|\xi - \eta| < \delta$, while none if $|x - y| > \delta/C_0$.

Denote $\xi := \partial_\xi \varphi(y,\eta)$, by Lemma 4.2 (1), we can change variables $(x,y,\eta) \rightarrow (x,y,\xi)$ in a sufficiently small neighborhood of $(0,0,\xi_0)$ and define

$$\Psi(x,y,\xi) := \Phi(x,y,\xi_c,\eta).$$

Then

$$\Psi(x,x,\xi) = 0, \quad \partial_x \Psi(x,x,\xi) = \xi, \quad \partial_y \Psi(x,x,\xi) = -\xi$$

and

$$\text{Im } \Psi(x,y,\xi) > |x-y|^2/C.$$

Note that $\tilde{W}_N$ is analytic and independent of $N$ on $|x - y| \leq \delta/C_0$, we apply the complex stationary phase lemma [25, Theorems 2.8, 2.10] to (4.3) to get that

$$\int_{|x-y| \leq C} e^{i\mu \Psi(x,y,\xi)} \tilde{W}(x,y,\xi;\mu) \left( \alpha_i(x) u^i(x,y,\xi) + f(x) \right) dx = O(e^{-\mu/C}), \quad (4.4)$$

where $\tilde{W}$ is an analytic matrix weight. Note that the right-hand side of (4.4) is independent of $\delta$, for fixed $\delta$, we simply replace $\delta/C_0$ with some positive number $C$.

For the following argument, we consider the left-hand side of (4.4) as an operator with a matrix-valued symbol acting on the pair $[\alpha,f]$, thus (4.4) can be rewritten as

$$\int_{|x-y| \leq C} e^{i\mu \Psi(x,y,\xi)} P(x,y,\xi;\mu) \left( \begin{array}{c} \alpha \\ f \end{array} \right) dx = O(e^{-\mu/C}), \quad (4.5)$$

where $P(x,y,\xi;\mu) = \tilde{W}(x,y,\xi;\mu) \left( u(x,y,\xi) Id_{k \times k} \right)$ is a classical analytic symbol. Notice that $u(0,\xi_0) = v_0 = (0, \ldots, 0, 1)$, the principal symbol satisfies

$$\sigma_p(P)(0,0,\xi_0) = \tilde{W}_A(0,u(0,\xi_0)) \left( u(0,\xi_0) Id_{k \times k} \right) = \tilde{W}_A(0,v_0) \left( v_0 Id_{k \times k} \right)$$
(note that \( \alpha \) and \( f \) are vector-valued 1-forms and functions). Recall that \( v_0 \perp \xi_0 \), in any small neighborhood of \( v_0 \), we can find another \( n - 1 \) unit vectors \( v_1, \ldots, v_{n-1} \) at \( x_0 = 0 \) such that \( v_j \perp \xi_0 \), \( j = 1, \ldots, n - 1 \) and \( \{ v_1 - v_0, \ldots, v_{n-1} - v_0 \} \) form a basis of the orthogonal plane \( \xi_0 \perp \). Moreover, the geodesic \( \gamma_{x_0, v_j}, j = 1, \ldots, n - 1 \) will stay in \( U \) for the time interval \( [\ell^-, \ell^+] \) with \( \gamma_{x_0, v_j}(\ell^\pm) \in M_1 \setminus M \) if \( v_j \) is sufficiently close to \( v_0 \). We repeat the argument above under the new coordinate change \( (z', \nu, t) \rightarrow (x, \xi) \) with \( \nu(\xi_0) = v_j \) to get totally \( n \) equations

\[
\int_{|x-y| \leq C} e^{i\mu \Psi_j(x,y,\xi)} P_j(x, y, \zeta; \mu) \left( \begin{array}{c} \alpha \\ f \end{array} \right) \, dx = O(e^{-\mu/C}), \quad j = 0, 1, \ldots, n - 1 \tag{4.6}
\]

with \( \Psi_0 \) and \( P_0 \) being exactly \( \Psi \) and \( P \) in (4.5),

\[
\sigma_p(P_j)(0, 0, \xi_0) = \tilde{W}_A(0, v_j) (v_j I_{d \times k} \quad I_{d \times k}). \tag{4.7}
\]

To make the system (4.6) into an elliptic system, we need one more equation from the property that \( \delta_A[\alpha, f] = 0 \) in some neighborhood \( V \) of \( x_0 = 0 \). As in [29], let \( \chi \) be a smooth cutoff function on \( M_1 \) supported in \( V \cap U \) and \( \chi = 1 \) near 0, thus \( \chi \delta_A[\alpha, f] \equiv 0 \). Applying the integration by parts,

\[
0 = \int \frac{1}{\mu} e^{i\mu \Psi_0(x,y,\xi)} \chi \delta_A[\alpha,f] \, dx = -\int \frac{1}{\mu} d_A(e^{i\mu \Psi_0(x,y,\xi)} \chi) \left( \begin{array}{c} \alpha \\ f \end{array} \right) \, dx
\]

\[
= -\int e^{i\mu \Psi_0(x,y,\xi)} \left( i\chi \partial_x \Psi_0(x,y,\xi) + \frac{1}{\mu} (d\chi + A\chi) \frac{1}{\mu} \Phi \chi \right) \left( \begin{array}{c} \alpha \\ f \end{array} \right) \, dx
\]

\[
= -\int e^{i\mu \Psi_0(x,y,\xi)} Q(x,y,\xi) \left( \begin{array}{c} \alpha \\ f \end{array} \right) \, dx.
\]

Notice that \( \partial_x \Psi_0(x,y,\xi) = \xi \), therefore

\[
\sigma_p(Q)(0, 0, \xi_0) = (\xi_0 I_{d \times k} \quad 0). \tag{4.8}
\]

We combine the \( n + 1 \) equations above into one system

\[
\int_{|x-y| \leq C} \text{diag} \{ e^{i\mu \Psi_0}, \ldots, e^{i\mu \Psi_{n-1}}, e^{i\mu \Psi_0} \} (x, y, \zeta, \mu) \left( \begin{array}{c} P_0(x, y, \zeta; \mu) \\ \vdots \\ P_{n-1}(x, y, \zeta; \mu) \\ Q(x, y, \zeta) \end{array} \right) \left( \begin{array}{c} \alpha \\ f \end{array} \right) \, dx = O(e^{-\mu/C}),
\]

where \( P \) is a matrix-valued classical analytic symbol near \( x = 0 \). We claim that the system is elliptic at \( (0, 0, \xi_0) \), which is equivalent to the invertibility of the principal symbol \( \sigma_p(P) \) at \( (0, 0, \xi_0) \). Assume \( \sigma_p(P)[\alpha, f](0, 0, \xi_0) = 0 \), by (4.7) and (4.8),

\[
\tilde{W}_A(0, v_j)(v_j \cdot \alpha + f) = 0, \quad j = 0, 1, \ldots, n - 1; \quad \xi_0 \cdot \alpha = 0.
\]

By an argument almost identical to the one in Lemma 3.1, one can show that \( \alpha = 0 \) and \( f = 0 \), so the system with classical analytic symbol \( P \) is elliptic at \( (0, 0, \xi_0) \).
Lemma 4.5. Assume that $I_{\mathcal{A}}h \equiv 0$ implies that $h^e$ is analytic in $M^\alpha$, i.e., $h^e \in \mathcal{A}(M^\alpha)$, the next lemma shows that $h^e$ indeed is analytic up to the boundary $\partial M$. The proof of the lemma is almost identical to the one of [29, Lemma 6], so we omit it here.

Remark 4.3. One can easily see from the proof of above proposition that for each $(x, \xi) \in T^*M^\alpha \setminus 0$, we only require the existence of some $n \in S_xM$ conormal to $\xi$ and an arbitrarily small neighborhood of $\gamma_{x, n}$ whose elements are all “good” geodesics, in particular, this is true under the microlocal condition of Remark 1.4.

Proposition 4.1 shows that $I_{\mathcal{A}}h \equiv 0$ implies that $h^e$ is analytic in $M^\alpha$, i.e., $h^e \in \mathcal{A}(M^\alpha)$, the next lemma shows that $h^e$ indeed is analytic up to the boundary $\partial M$. The proof of the lemma is almost identical to the one of [29, Lemma 6], so we omit it here.

Lemma 4.4. Assume that $(M, g)$ is simple, $g$ and $\mathcal{A}$ are analytic, if $I_{\mathcal{A}}h \equiv 0$, then $h^e \in \mathcal{A}(M)$.

We also need the following lemma which will be useful for the proof of Theorem 1.2.

Lemma 4.5. Assume that $I_{\mathcal{A}}h \equiv 0$ for some $h \in C^\infty(M)$, then there exists $\phi \in C^\infty(M)$ with $\phi|_{\partial M} = 0$ such that if $\tilde{h} := h - d_{\mathcal{A}}\phi = [\tilde{\alpha}, \tilde{f}]$, then

$$\partial^\sigma \tilde{h}|_{\partial M} = 0$$

for all multiindices $\sigma$ and

$$\tilde{\alpha}_n = 0$$

in boundary normal coordinates near $\partial M$.

Proof. If $h = [\alpha, f]$, we consider the following equation in boundary normal coordinates $(x', x^n)$, $0 \leq x^n < \varepsilon \ll 1$ near $\partial M = \{x^n = 0\}$

$$\partial_n \phi + A_n \phi = \alpha_n, \quad \phi|_{x^n=0} = 0. \quad (4.9)$$

We solve (4.9) by integrating along $x^n$ to get $\phi$ in a neighborhood of $\partial M$, and one can check that $\phi$ is well defined (independent of local coordinates) and smooth near $\partial M$. By multiplying $\phi$ with a proper cutoff function, we can assume that $\phi$ is globally defined on $M$. Then we define $\tilde{h} = [\tilde{\alpha}, \tilde{f}] = h - d_{\mathcal{A}}\phi$, by (4.9) $\tilde{\alpha}_n = 0$ near $x^n = 0$.

Next we show that $\tilde{h}$ vanishes to infinite order on $\partial M$. Extend $\tilde{h}$ by zero to $M_1$, still denoted by $\tilde{h}$, then by the assumption $I_{\mathcal{A}}h = 0$, we have $N_1\tilde{h} = 0$ in $M_1^\alpha$, in particular $N_1\tilde{h}$ is smooth near $M$. On the other hand, if we replace the condition $\alpha_i \xi^i = 0$ by $\alpha_n = 0$ in the proof of Lemma 3.1, it is not difficult to check that $(\sigma_p(N_1)(x, \xi)[\alpha, f], [\alpha, f]) = 0$ together with $\alpha_n = 0$ implies that $[\alpha, f] = 0$ for $\xi_n \neq 0$. This means that $N_1$ is elliptic for $(x, \xi)$ conormal to $\partial M$. Thus $N^*(\partial M) \cap WF(\tilde{h}) = \emptyset$. Since $\tilde{h} = 0$ in $M_1 \setminus M$, we get that $\partial^k_n \tilde{h}|_{x^n=0} = 0, \forall k \geq 0$. After rewriting the conclusion in an invariant way, the proof is done. □
**Proof of Theorem 1.2.** Assume \( I_A h = 0 \) and \( h = h^t \), then by Lemma 4.4 \( h \in \mathcal{A}(M) \). Applying Lemma 4.5, there exists \( \phi \in C^\infty(M) \) with \( \phi|_{\partial M} = 0 \) such that \( h = h - d_A \phi \) satisfies \( \partial^\sigma h|_{\partial M} = 0 \) for all multiindices \( \sigma \). Since \( A \) and \( h \) are analytic on \( M \), and \( \phi \) solves the Eq. (4.9), then \( \phi \), and therefore, \( h \) are analytic near \( \partial M \) in the boundary normal coordinates. Since \( h \) vanishes to infinite order on \( x^n = 0 \), we get that \( h \) actually vanishes in a neighborhood of \( \partial M \) in \( M \), i.e., \( h = d_A \phi \) near \( \partial M \).

Now applying the analytic continuation argument as in the proof of [29, Theorem 1], one can show that indeed \( h = d_A \phi_0 \) in \( M \) for some \( \phi_0 \in \mathcal{A}(M) \) with \( \phi_0|_{\partial M} = 0 \). However, \( h = h^t \), this only can happen if \( h = 0 \), so \( I_A \) is s-injective.

\( \square \)

**5. The X-ray transform of functions with matrix weights**

By modifying the two sections above, we can prove similar results for weighted geodesic ray transform acting on vector-valued functions, if the smooth weight (matrix) \( W \) is invertible. Note that in the scalar case, this just means that the weight is non-vanishing [5]. The study of the local invertibility of such ray transforms was carried out in [20, 30]. Given \( f \in C^\infty(M, \mathbb{C}^k) \), we define

\[ I_W f(\gamma) = \int W(\gamma(t), \dot{\gamma}(t)) f(\gamma(t)) \, dt. \]

In this case, one can expect the kernel of \( I_W \) to be empty, in particular, this is true for real-analytic simple metric and weights.

**Theorem 5.1.** Let \( M \) be a real-analytic simple manifold with real-analytic metric \( g \), let \( W \) be real-analytic, then \( I_W \) is injective.

Define \( N_W = I_W^* I_W \).

**Lemma 5.2.** \( N_W \) is an elliptic \( \Psi DO \) of order \(-1\) in \( M_1^o \).

One can also show the following generic stability result.

**Theorem 5.3.** Let \((M, g)\) be a simple manifold and \( W \) be a smooth invertible weight on \( SM_1 \), assume that \( I_W \) is injective,

1. given \( f \in L^2(M) \) the following stability estimate for \( N_W \) holds
   \[ \| f \|_{L^2(M)} \leq C \| N_W f \|_{H^1(M)}; \]

2. there exists \( 0 < \epsilon \ll 1 \) such that the estimate in (1) remains true if \( g \) and \( W \) are replaced by \( \tilde{g} \) and \( \tilde{W} \) satisfying \( \| \tilde{g} - g \|_{C^4(M_1)} \leq \epsilon, \| \tilde{W} - W \|_{C^3(M_1)} \leq \epsilon \). The constant \( C > 0 \) can be chosen locally uniformly, only depending on \( g, W \).

Similar to [5], above results hold on a general family of smooth curves under some microlocal condition associated with these curves.
6. The non-linear problem

Now we move to the nonlinear problem of recovering the connection and Higgs field from the corresponding scattering data.

Given a geodesic \( \gamma : [0, T] \to M \), let \( \phi(t) = (\gamma(t), \dot{\gamma}(t)) \) be the corresponding geodesic flow on SM. Define the matrix-valued function

\[
F(t) = W_B(\phi(t))W_A^{-1}(\phi(t)),
\]

by the fundamental theorem of calculus and the definitions of \( W_A, W_B \)

\[
F(T) - F(0) = \int_0^T W_B(\phi)(B(\phi) - A(\phi))W_A^{-1}(\phi) \, dt. \tag{6.1}
\]

We define \( \hat{W} \) by

\[
\hat{W}U = W_B U W_A^{-1}, \quad U \in C^\infty(SM; \mathbb{C}^{k \times k}),
\]

then the right-hand side of (6.1) indeed gives the following weighted geodesic ray transform of \( B - A \):

\[
\int_\gamma \hat{W}(B - A) \, dt. \tag{6.2}
\]

By the definition of \( \hat{W} \), it is obvious that \( \hat{W}|_{\partial M} = \text{id} \). Given a matrix-valued function \( U \), we have

\[
X(W_B U W_A^{-1}) = W_B BU W_A^{-1} + W_B(XU)W_A^{-1} - W_B UA W_A^{-1},
\]

which implies that

\[
(X\hat{W})U = \hat{W}(BU - UA).
\]

If we define \( \hat{A} \) by \( \hat{A}U = BU - UA \), we get exactly \( X\hat{W} = \hat{W}\hat{A} \), i.e., (6.2) is the attenuated geodesic ray transform with the attenuation \( \hat{A} \), we denote it by \( I_{\hat{A}} \).

Similar to the linear problem, recall the discussion in Section 2, one can extend \( A \) and \( B \) onto \( M_1 \) in a stable way and consider the equivalent ray transform on \( M_1 \), still denoted by \( I_{\hat{A}} \). This works if we consider the integrand \( B - A \) as extended by zero to \( M_1 \) (we treat the integrand \( B - A \) and the weight \( \hat{W} \) as independent with each other).

**Proof of Theorem 1.1.** We first consider the injectivity, if \( C_A = C_B \), then \( W_A(\phi(T)) = W_B(\phi(T)) \), i.e., \( F(T) = F(0) = \text{id} \), so we get

\[
I_{\hat{A}}(B - A) = 0.
\]

Let \( \hat{A}_0 U = B_0 U - UA_0 \), then since \( A_0, B_0, \) and \( g_0 \) are analytic (also extended to \( M_1 \) analytically), by Theorem 1.2, \( I_{\hat{A}_0} \) is s-injective. By the assumptions of Theorem 1.1 \( \|\hat{A}_0 - \hat{A}\|_C^3 \lesssim \epsilon \). Then Theorem 1.3 (2) implies that \( I_{\hat{A}_0} \) is s-injective, i.e., \( B - A = d_{\hat{A}} U \) for some \( U \in C^\infty(M_1; \mathbb{C}^{k \times k}), U|_{\partial M} = 0 \) w.r.t. \( (g, \hat{A}) \). Notice that \( d_{\hat{A}} U = dU + BU - UA \), thus \( u = \text{id} - U \) satisfies the transport equation

\[
Xu + \hat{A}u = 0, \quad u|_{\partial M} = \text{id},
\]
in particular, \( u \) is invertible. Let \( p = u^{-1} \), we get that
\[
B = p^{-1}d_A p, \quad p|_{\partial M} = \text{id}.
\]
Equivalently, \( B = p^{-1}dp + p^{-1}A p \) and \( \Psi = p^{-1}\Phi p \).

Then we consider the stability of the nonlinear problem. By the assumptions of Theorem 1.1, \( \iota^*A = \iota^*B \) and \( \|B - A\|_{C^0(M)} \leq 2\epsilon \), it is not difficult to see that one can find \( p : M \to GL(k, \mathbb{C}) \) such that \( p|_{\partial M} = \text{id} \), \( (dp, 0)|_{\partial M} = B - A|_{\partial M} \), and \( \|p - \text{id}\|_{C^2(M)} \lesssim \epsilon \). Now consider
\[
A' = p^{-1}dp + p^{-1}A p,
\]
so \( \frac{}{} \). Moreover, \( B - A'|_{\partial M} = B - A - (dp, 0)|_{\partial M} = 0 \), we get that \( B - A' \in H^1(M_1^0) \) if we extend \( B - A' \) by zero on to \( M_1 \). Then it is easy to check that \( \|A' - A_0\|_{C^2(M)} \leq C\epsilon \) for some \( C > 0 \) depending on \( \|A_0\|_{C^3(M)} \). If we define \( \hat{A}' \) by \( \hat{A}'U = B \hat{V} - U A' \), we have the similar bound that \( \|\hat{A}' - \hat{A}_0\|_{C^3} \lesssim \epsilon \), therefore we can apply Theorem 1.3 to \( \hat{A}' \).

By Theorem B.1, there exists \( U \) with \( U|_{\partial M} = 0 \) such that \( B - A' = (B - A')_{M,g,\hat{A}'} + d \hat{A}' U \), let \( \text{id} - U = V, V|_{\partial M} = \text{id} \), thus
\[
(B - A')_{M,g,\hat{A}'} = dV + BV - V A' = d\hat{A}' V.
\]
Moreover, the following estimate in Hölder norm holds
\[
\|U\|_{C^2(M)} \lesssim \|B - A'\|_{C^2(M)}.
\]
Since \( \|B - A'\|_{C^2(M)} \lesssim \epsilon \) and \( U|_{\partial M} = 0 \), this implies that \( V = \text{id} - U \) is invertible. On the other hand, by Theorem 1.3 (2) and the assumptions of the theorem again
\[
\|(B - A')_{M,g,\hat{A}'}\|_{C^2(M)} \lesssim \|N_{g,\hat{A}'}(B - A')\|_{H^1(M_1)}.
\]
Since \( B - A' \in H^1(M_1^0) \) (notice that \( B - A' = 0 \in M_1 \setminus M \), by Remark 2.2 and (6.1)
\[
\|N_{g,\hat{A}'}(B - A')\|_{H^1(M_1)} = \|\tilde{I}_{g,\hat{A}'}(B - A')\|_{H^1(M_1)} \nonumber
\]
\[
\lesssim \|\tilde{I}_{g,\hat{A}'}(B - A')\|_{H^1(\partial_{+}SM_1)} \nonumber
\]
\[
\lesssim \|I_{g,\hat{A}'}(B - A')\|_{H^1(\partial_{-}SM)} \nonumber
\]
\[
\lesssim \|(W_B - W_{A'}) W_{A'}^{-1} H^1(\partial_{-}SM) \nonumber
\]
\[
\lesssim \|C_B - C_A\|_{H^1(\partial_{-}SM)} = \|C_B - C_{A'}\|_{H^1(\partial_{-}SM)}. \nonumber
\]

Notice that \( W_{A'} \) is smooth on \( \partial_{-}SM \). Here we use the equivalence of \( \|\tilde{I}_{\hat{A}'} h\|_{H^1(\partial_{+}SM_1)} \) and \( \|I_{\hat{A}'} h\|_{H^1(\partial_{+}SM)} \) for \( h \in H_0^1(M) \) due to the equivalence of \( I_{\hat{A}'} \) and \( \tilde{I}_{\hat{A}'} \) mentioned in Section 2, see [21, Lemma 6.2].

Finally by the definition of \( A' \)
\[
dV + BV - V A' = dV + BV - V(p^{-1}dp + p^{-1}A p) \nonumber
\]
\[
= ((dV)(p^{-1} + BVp^{-1} - Vp^{-1}(dp)p^{-1} - Vp^{-1}A) p \nonumber
\]
\[
= (du + Bu - u A) p = (B - ud_A u^{-1}) V, \nonumber
\]
where \( u := Vp^{-1} \) is invertible, \( u|_{\partial M} = \text{id} \). Combine above results
\[
\| B - u d_A u^{-1} \|_{L^2(M)} \leq C' \| (B - A')^{\frac{1}{2}} \|_{M, g, \hat{A}, s} \|_{L^2(M)} \leq C \| C_B - C_A \|_{H^1(\partial_+ SM)}.
\]
Moreover, it is not difficult to check that the constant \( C > 0 \) can be chosen locally uniformly near \( g_0 \) and \( A_0 \).

Remark 6.1. When the manifold only satisfies the microlocal condition mentioned in Remark 1.4, so is not simple in general, the scattering data are not well defined on the whole \( \partial_+ SM \). However, in view of the discussions in Remarks 3.2 and 4.3 of the linear problem, it is reasonable to only consider the scattering data on \( \text{supp} \rho \cap \partial_+ SM \), which now becomes a partial data problem. We do not expand the details here.

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References

Appendix A. A complex to real reduction

Given $\mathcal{A} = \mathcal{A}' + i\mathcal{A}^i$ with real $\mathcal{A}'$ and $\mathcal{A}^i$, consider the solution $W$ to the transport equation

$$XW = W\mathcal{A}, \quad W|_{\alpha,SM} = \text{id}.$$
Similar to $A$, we write $W$ as $W = W^r + iW^i$, then given $h = [\alpha, f] = h^r + ih^i$

$$I_A h = \int (W^r + iW^i)(h^r + ih^i) \, dt = \int (W^r h^r - W^i h^i) \, dt + i \int (W^r h^i + W^i h^r) \, dt.$$  

Thus we can separate the real and imaginary parts of $I_A h$ and rewrite the X-ray transform as

$$I_W \begin{pmatrix} h^r \\ h^i \end{pmatrix} = \int W \begin{pmatrix} h^r \\ h^i \end{pmatrix} \, dt$$

with

$$W = \begin{pmatrix} W^r & -W^i \\ W^i & W^r \end{pmatrix}.$$  

**Lemma A.1.** The matrix weight $W$ is invertible if and only if $W$ is invertible.

**Proof.** By the linear algebra

$$\det W = \det (W^r - iW^i) \det (W^r + iW^i) = \det W \det W = |\det W|^2,$$

this implies the lemma. \qed

It is easy to check that

$$XW = W \hat{A} \quad \text{with} \quad \hat{A} := \begin{pmatrix} A^r & -A^i \\ A^i & A^r \end{pmatrix}, \quad W|_{a_{+SM}} = \text{id.}$$

The natural elements in the kernel of $I_W$ are

$$(d + \hat{A}) \begin{pmatrix} p^r \\ p^i \end{pmatrix} = \begin{pmatrix} h^r \\ h^i \end{pmatrix}$$

with $p^r|_{a_M} = p^i|_{a_M} = 0$, which is equivalent to that

$$(d + A)(p^r + ip^i) = h,$$

the natural elements in the kernel of $I_A$ by defining $p = p^r + ip^i$. Based on above discussions, it is easy to see that $I_A$ and $I_W$ are equivalent. While it is pure in the real category when considering $I_W$.

**Appendix B. An orthogonal decomposition of pairs $h = [\alpha, f]$**

The following theorem is an analogue of [24, Theorem 3.3.2] on the decomposition of symmetric tensor fields.

**Theorem B.1.** Let $M$ be a compact manifold with boundary. Let $k \geq 0$, for every pair $h \in H^k(M)$, there exist unique $h^s \in H^k(M)$ and $p \in H^{k+1}(M)$ such that

$$h = h^s + d_A p, \quad \delta_A h^s = 0, \quad p|_{a_M} = 0.$$
Proof. Consider the following Dirichlet boundary value problem
\[ \delta_A d_A u = \varphi, \quad u_{|\partial M} = 0. \] (B.1)

If for any \( \varphi \in H^{k-1}(M) \), (B.1) has a unique solution \( u \in H^{k+1}(M) \), then we take \( \varphi = \delta_A h \), and \( h = h - d_A u \) to prove the claim. So now the main task is to study the Dirichlet problem (B.1).

First it is easy to see that \( \sigma_p(\delta_A d_A)(x, \xi) = |\xi|^2 \), so \( \delta_A d_A \) is an elliptic differential operator of order 2, and the Dirichlet boundary condition is coercive in this case. Thus we only need to show that the elliptic problem has trivial kernel and cokernel.

If \( \delta_A d_A u = 0 \) and \( u_{|\partial M} = 0 \), by the ellipticity, \( u \) is smooth and by Green’s formula
\[ 0 = (\delta_A d_A u, u) = \|d_A u\|_{L^2}^2, \]
i.e., \( d_A u = 0 \). Now for any \( x_0 \in M^o \), there exists a geodesic \( \gamma : [0, T] \to M \) connecting \( x_0 \) with the boundary \( \partial M \) at \( y \) with \( (\gamma(0), \dot{\gamma}(0)) = (y, v_0) \in \partial_+ SM \). Notice that for \( (x, v) \in SM \)
\[ 0 = W(x, v)(d_A u(x, v)) = W(x, v)( Xu(x, v)) + (W_A)(x, v)u(x) = X(Wu)(x, v), \]
thus \( W(x, \dot{\gamma}(T))u(x_0) = W(y, v_0)u(y). \)

Since \( u(y) = 0 \) by assumption, and \( W \) is invertible, we conclude that \( u(x_0) = 0 \), which implies that \( u \equiv 0 \) on \( M \).

To show that the cokernel is trivial, it is enough to pick an arbitrary \( \varphi \in C^\infty(M) \) which is orthogonal to the image \( \{\delta_A d_A u : u_{|\partial M} = 0\} \). Then we have that for any \( u \in C^\infty_c(M^o) \) (so \( d_A u \in C^\infty_c(M^o) \) too)
\[ 0 = (\varphi, \delta_A d_A u) = (d_A \varphi, d_A u) = (\delta_A d_A \varphi, u). \]

Thus \( \delta_A d_A \varphi \) is orthogonal to any \( u \in C^\infty_c(M^o) \), which implies that \( \delta_A d_A \varphi = 0 \). Now given arbitrary \( \psi \in C^\infty(\partial M) \), one can easily find some \( u \in C^\infty(M) \) with \( u_{|\partial M} = 0 \) and \( du(\nu)_{|\partial M} = \psi \). Therefore by Green’s formula
\[ 0 = (\delta_A d_A \varphi, u)_{M} = (d_A \varphi, d_A u)_{M} \]
\[ = (\varphi, \delta_A d_A u)_{M} + (\varphi, (d + A)u(\nu))_{\partial M} = (\varphi, du(\nu))_{\partial M}, \]
since \( \varphi \) is in the cokernel. Then \( (\varphi, \psi)_{\partial M} = 0 \) for any \( \psi \in C^\infty(\partial M) \) which implies that the trace of \( \varphi \) on the boundary is zero. Together with the fact \( \delta_A d_A \varphi = 0 \), we conclude that \( \varphi = 0 \) and the theorem is proved. \qed