# FEM Approximation Properties and Convergence 

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## Approximation by Finite Elements

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Goal: Obtain estimates of $\left\|v-I_{h} v\right\|_{m, h}$ in terms of $\|v\|_{t, \Omega}$ and $h$ with $m \leq t$.

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For a bounded domain $\Omega$, the chunkiness parameter $\gamma$ is defined to be the ratio of the diameter $d_{\Omega}$ of $\Omega$ to the largest radius $r_{\max }$ for which $\Omega$ is star-shaped, $\gamma=d_{\Omega} / r_{\text {max }}$.

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An open domain $\Omega$ is said to satisfy the cone condition with angle $\phi$ and radius $r$ if at every point $\mathbf{x} \in \Omega$ we have $\mathbf{x}+\mathcal{C}_{\phi, r, \mathbf{e}_{\mathbf{x}}} \subset \Omega$ for some orientation $\mathbf{e}_{\mathbf{x}}$.

## Lemma

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## Lemma

Consider an $\Omega$ that is bounded and star-shaped with respect to $\mathcal{B}\left(\mathbf{x}_{c}, r_{c}\right)$ and contained within $\mathcal{B}\left(\mathbf{x}_{c}, R\right)$. Then $\Omega$ satisfies an interior cone condition with radius $r_{c}$ and angle $\phi=2 \arcsin \left(r_{c} / 2 R\right)$.

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This makes use of $\mathcal{I}_{s} u\left(z_{i}\right)=u\left(z_{i}\right)$ at the interpolation points and that $D^{\alpha} \mathcal{I}_{s} u=0$ for all $|\alpha|=t$.

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This makes use of $\mathcal{I}_{s} u\left(z_{i}\right)=u\left(z_{i}\right)$ at the interpolation points and that $D^{\alpha} \mathcal{I}_{s} u=0$ for all $|\alpha|=t$. We obtain one direction of equivalence, since $H^{t} \subset H^{2} \subset C^{0}$ by the Sobolev Embedding Theorem, so we have

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Proof: Let

$$
\left\|\left|v \|\left|:=|v|_{t}+\sum_{i=1}^{s}\right| v\left(z_{i}\right)\right| .\right.
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We show the norms $\|\|\cdot\|\|$ and $\|\cdot\|_{t}$ are equivalent. If this were the case, the bound would follow from

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## Approximation by Finite Elements

## Bramble-Hilbert Lemma

Consider the interpolation operator $\mathcal{I}_{s}$ over $s=t(t+1) / 2$ points $z_{1}, z_{2}, \ldots, z_{s}$ on $\bar{\Omega}$ which maps from $H^{t} \rightarrow \mathcal{P}_{t}$ well-defined for polynomials of degree $\leq t-1$. Assume the domain $\Omega \subset \mathbb{R}^{2}$ has Lipschitz continuous boundary and satisfies the cone condition. Then there exists a constant $c=c\left(\Omega, z_{1}, \ldots, z_{s}\right)$ so the following bound holds

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\left\|u-\mathcal{I}_{s} u\right\|_{t} \leq c|u|_{t}, \quad \forall u \in H^{t}(\Omega)
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Proof (continued): By completeness there exists a $v^{*} \in H^{t}(\Omega)$. By continuity we have

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\left\|v^{*}\right\|_{t}=1 \text { and }\left\|\left|v^{*} \|\right|=0\right.
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Let $\Omega \subset \mathbb{R}^{2}$ be domain with Lipschitz continuous boundary. Suppose $t \geq 2$ and $L$ is a bounded linear mapping of $H^{t}(\Omega)$ into a normed linear space $\mathcal{Z}$. If $\mathcal{P}_{t-1} \subset \operatorname{ker}(L)$, then there exists a constant $c=c(\Omega)\|L\| \geq 0$, so that

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## Theorem for Triangulations

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## Approximation by Finite Elements

## Theorem for Triangulations

Consider $\mathcal{T}_{h}$ a shape-regular triangulation of $\Omega$. For $t \geq 2$ there exists a constant $c=c(\Omega . \kappa, t)$ such that

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\left\|u-\mathcal{I}_{h} u\right\|_{m, h} \leq c h^{t-m}|u|_{t, \Omega} \forall u \in H^{t}(\Omega), \quad 0 \leq m \leq t
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The $\mathcal{I}_{h}$ denotes the interpolation operator by piecewise polynomials of degree $\leq t-1$.
This will be proved later as part of a more general theorem. Below we give sketch of how the bound arises.
Remark: Let $t \geq 2$ and suppose $T_{h}=h T_{1}^{\text {ref }}=\{(x, y) \mid \tilde{y} \leq \tilde{x}, \tilde{x} \in[0, h]\}$.
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## Approximation by Finite Elements

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## Proof:

Integrating both size and using Jacobian of the transformation

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|\hat{v}|_{m, \hat{\Omega}}^{2}=\int_{\hat{\Omega}} \sum_{|\alpha|=m}\left|\partial^{\alpha} \hat{v}\right|^{2} d \hat{\mathbf{x}} \leq n^{2 m}\|B\|^{2 m} \int_{\Omega} \sum_{|\alpha|=m}\left|\partial^{\alpha} v\right|^{2} \cdot\left|\operatorname{det} B^{-1}\right| d \mathbf{x}=n^{2 m}\|B\|^{2 m}|\operatorname{det} B|^{-1}|v|_{m, \Omega}^{2}
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By taking square root we obtain the bound.

## Approximation by Finite Elements

## Transformation Formula

Let $\Omega$ and $\hat{\Omega}$ be affine equivalent in sense that there exists a bijective affine mapping

$$
\begin{aligned}
F & \mid \hat{\Omega} \rightarrow \Omega \\
F \hat{x} & =x_{0}+B \hat{x} \quad(B \text { non-singular linear operator })
\end{aligned}
$$

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& \leq c\|B\|^{-m}|\operatorname{det} B|^{-1 / 2} \cdot c\|B\|^{t} \cdot|\operatorname{det} B|^{1 / 2}|u|_{t, T} \leq c\left(\|B\|\left\|B^{-1}\right\|\right)^{m}\|B\|^{t-m}|u|_{t, T}
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By the shape regularity we have $r / \rho \leq \kappa$ and $\|B\| \cdot\left\|B^{-1}\right\| \leq(2+\sqrt{2}) \kappa$. This implies $\|B\| \leq h / \hat{\rho} \leq 4 h$. Putting this together we obtain

## Approximation by Finite Elements

## Theorem for Triangulations

Consider $\mathcal{T}_{h}$ a shape-regular triangulation of $\Omega$. For $t \geq 2$ there exists a constant $c=c(\Omega . \kappa, t)$ such that

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\left\|u-\mathcal{I}_{h} u\right\|_{m} \leq c h^{t-m}|u|_{t, \Omega} \quad \forall u \in H^{t}(\Omega), \quad 0 \leq m \leq t .
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The $\mathcal{I}_{h}$ denotes the interpolation operator by piecewise polynomials of degree $\leq t-1$.
Proof: This is proved by showing the inequality holds on each triangle $T_{j}$ of a shape-regular triangulation $\mathcal{T}_{h}$. We choose as our reference triangle $\hat{T}=\{(x, y) \mid 0 \leq y \leq 1-x, x \in[0,1]\}$ the half-square which has $\hat{r}=2^{-1 / 2}$ and $\hat{\rho}=(2+\sqrt{2})^{-1} \geq 2 / 7$. Let $F: T_{\text {ref }} \rightarrow T$ with $T=T_{j} \in \mathcal{T}_{h}$. Now by applying transform formula to $F$ we obtain

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## Theorem for Quadrilateral Bilinear Elements

Consider $\mathcal{T}_{h}$ a quasi-uniform decomposition of $\Omega$ into parallelograms. There exists a constant $c=c(\Omega, \kappa)$ such that

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\left\|u-\mathcal{I}_{h} u\right\|_{m, \Omega} \leq c h^{2-m}|u|_{2, \Omega}, \quad \forall u \in H^{2}(\Omega)
$$

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| $\left\\|u-I_{h} u\right\\|_{m, h} \leq c h^{t-m}\|u\|_{t, \Omega}$ | $0 \leq m \leq t$ |
| :--- | :--- |
| $C^{0}$ elements |  |
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Proof (continued): The interpolation operator $\mathcal{I}_{h}$ depends linearly on these four vertices, so

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## Approximation by Finite Elements

## Theorem for Quadrilateral Bilinear Elements

Consider $\mathcal{T}_{h}$ a quasi-uniform decomposition of $\Omega$ into parallelograms. There exists a constant $c=c(\Omega, \kappa)$ such that

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The $\mathcal{I}_{h}$ denotes the interpolation operator by piecewise bilinear elements.

| $\left\\|u-I_{h} u\right\\|_{m, h} \leq c h^{t-m}\|u\|_{t, \Omega}$ | $0 \leq m \leq t$ |
| :--- | :--- |
| $C^{0}$ elements |  |
| linear triangle | $t=2$ |
| quadratic triangle | $2 \leq t \leq 3$ |
| cubic triangle | $2 \leq t \leq 4$ |
| bilinear quadrilateral | $t=2$ |
| serendipity element | $2 \leq t \leq 3$ |
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Remark: For Serendipity Elements a similar proof technique can be used to obtain $\left\|u-\mathcal{I}_{h} u\right\|_{m, \Omega} \leq c h^{t-m}|u|_{t, \Omega}, \forall u \in H^{t}(\Omega), m=0,1, t=2,3$.

## Approximation by Finite Elements

## Theorem (Inverse Estimate)

Consider affine family of elements $\left\{\mathcal{S}_{h}\right\}$ with piecewise polynomials of degree $k$ having uniform partitions. There exists a constant $c=c(\kappa, k, t)$ so that

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|v|_{t}=\left|v-I_{h} v\right|_{t} \leq\left\|v-I_{h} v\right\|_{t} \leq c\left\|v-I_{h} v\right\|_{m} \leq c^{\prime}|v|_{m} .
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How do we construct such an operator $\mathcal{I}_{h}$ in practice?

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\left\|v-\mathcal{I}_{h} v\right\|_{0, e} & \leq c h_{T}^{1 / 2}\|v\|_{1, \bar{\omega}_{T}} \forall v \in H^{1}(\Omega), e \in \partial T, T \in \mathcal{T}_{h}
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How do we construct such an operator $\mathcal{I}_{h}$ in practice?

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