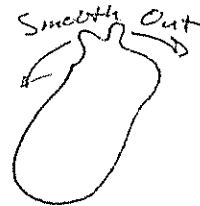


## Ricci Flow, 3-manifolds, &amp; Physics

- Topological classification of compact 3-manifolds (Thurston)
- Ricci flow: paths in space of metrics on n-manifolds (Hamilton)

$$\frac{\partial}{\partial t} g_{ij}(t) = -2R_{ij}(g_{ij}(t))$$

non-linear heat equation, dispersive solution



- Reformulation of Ricci flow as a gradient flow (Perelman)

$$\mathcal{F} = \int_M (R + |\nabla f|^2) e^{-f} dV$$

$f =$  smooth function on  $M$



$$v_{ij} = \delta g_{ij}$$

$$h = \delta f$$

$$v = g^{ij} v_{ij}$$

$$\delta \mathcal{F}(v_{ij}, h) = \int_M e^{-f} \left( -v_{ij} (R_{ij} + \nabla_i \nabla_j f) + \left( \frac{v}{2} - h \right) (2\Delta f - |\nabla f|^2 + R) \right)$$

Note if  $dm = e^{-f} dV$  held fixed, then  $\frac{v}{2} = h$

Fix  $dm$ : set  $f = \log\left(\frac{dV}{dm}\right)$

$$\mathcal{F}^m = \int_M (R + |\nabla f|^2) dm$$

$$\text{gradient flow: } \frac{\partial}{\partial t} g_{ij} = -2(R_{ij} + \nabla_i \nabla_j f)$$

turns out: mod diffeomorphism, this is exactly Ricci flow.

Existence of solutions is not always guaranteed (depends on  $dm$ ), but if they exist, solution is indep. of  $dm$ , up to diffeomorphism.

→ Physics:  $Z$ -dim Quantum field theories based on arbitrary Riemannian manifolds (D. Friedan)

$$M, g_{ij}$$

$$\text{Maps}(\Sigma, M) \quad S(\varphi) = \int_{\Sigma} \varphi^*(g_{ij})$$

$$\int_{\text{Maps}(\Sigma, M)} e^{-S(\varphi)} \boxed{\dots} d\varphi$$

↑ things we want to measure

Renormalization: (classically) governed by Ricci flow.  
 (remark: renormalization actually a semi-group)

dim  $M = Z$  (Hamilton, mid 80s)

Curvature (Tangent vectors)  $\otimes$  (Tangent vectors)  $\rightarrow$  Tangent vectors

$$\nabla_x Y = Z$$

||

$$\nabla_Y X$$

$$R(X, Y) = \nabla_X \circ \nabla_Y - \nabla_Y \circ \nabla_X - \nabla_{[X, Y]}$$

$$R_m(X, Y, Z, W) = \langle R(X, Y)Z, W \rangle$$

↖ skew ↗ skew

Ric = contract the middle variables

$$\text{Ric}(e_i, e_j) = \sum_{k=1}^{\dim} R_m(e_i, e_k, e_k, e_j)$$

scalar curvature  $R = \sum_{i,j=1}^{\dim} g^{ij} R_{ij}$

back to dim  $M=2$  (Hamilton, mid 80s, B. Chow, ...)

If  $g_{ij}(t)$  is evolving by Ricci flow, then  $R = \text{scalar curvature}$  satisfies

$$\frac{\partial}{\partial t} R = \Delta R + 2R^2 \quad \text{non-linear heat equation}$$

dim  $M=2$  |  $R_{ij} = R g_{ij}$



thm | Given a Riemannian metric on a compact surface, consider rescaled Ricci flow

$$g_{ij}(t)' = -2R_{ij} + \bar{R}g_{ij}$$

Exists for all time, and the  $t \rightarrow \infty$  limit is a metric of constant curvature

Non-rescaled:  $g=0$ ,  $\text{vol} \rightarrow 0$  in finite time  
 $g=1$ ,  $\text{vol} \rightarrow \text{finite}$   
 $g>1$ ,  $\text{vol} \rightarrow \infty$

(entire Ricci flow  $g_{ij}(t) = e^{f(t)} g_{ij}(0)$ )

classical thm | The conformal class of any metric on a compact surface has a unique constant curvature representative.

$M = X / \Gamma$ ,  $X = \text{simply connected surface with a constant curvature metric. i.e. } X = S^2, \mathbb{R}^2, \mathbb{H}^2$   
geometric:  $X = \mathbb{H} \setminus G$ ,  $G = \text{Isom}(X)$   
 $e(M) = - \quad 0 \quad +$

### 3-manifolds (Thurston)

$$M = X/\Gamma \quad M \text{ is compact}$$

$$X = H \setminus G$$

$$G = \text{Isom}(X), \text{ acting transitively}$$

more generally, we can look at  $X/\Gamma$  s.t.  $\text{vol}(X/\Gamma) < \infty$

8 geometries | six of these geometries have circle ~~fibrations~~  
Sierert fibrations

$$\begin{array}{ccc} S^1 \rightarrow M & & \\ \downarrow & & \\ \Sigma & & \end{array} \quad \left( \begin{array}{l} z \mapsto e^{2\pi i k/n} z \\ \theta \mapsto \theta + \frac{2\pi}{n} \end{array} \right)$$

$e$  = top. class of  $S^1$ -bundle

$\chi$  = Euler number of base

	$\chi < 0$	$\chi = 0$	$\chi > 0$
$e = 0$	$S^2 \times \mathbb{R}$	$\mathbb{R}^3$	$\mathbb{H}^2 \times \mathbb{R}$
$e \neq 0$	$S^3$ (Hopf fibration)	Nil	$SL_2(\mathbb{R})$

2 geometries have  $T^2$  "fibrations" over  $\mathbb{R}^{\dim}$ . (either  $S^1$  or interval)  
Nil, Sol

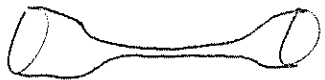
3 have constant curvature:  $S^3, \mathbb{R}^3, \mathbb{H}^3$

$$\text{Nil} \begin{pmatrix} 1 & * & * \\ 0 & 1 & * \\ 0 & 0 & 1 \end{pmatrix}$$

$$\text{Sol} \begin{pmatrix} x & y \\ 0 & z \end{pmatrix}$$

$(M, g_{ij})$ ,  $M$  compact,  $\dim M = 3$   
 Let gradient flow commence

$S^3 \rightarrow$  pt. in finite time

  $\rightarrow$  pinch off in finite time  
 $S^2 \times I = S^2 \times D^1$

$$\partial(S^2 \times D^1) = S^2 \times S^0 = \partial(D^3 \times S^0)$$

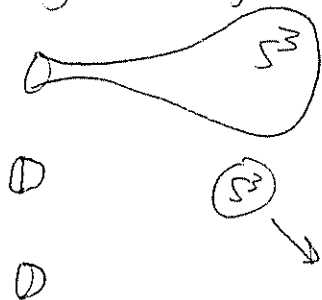
before the pinch-off occurs, do surgery, natural way so that Ricci flow continues

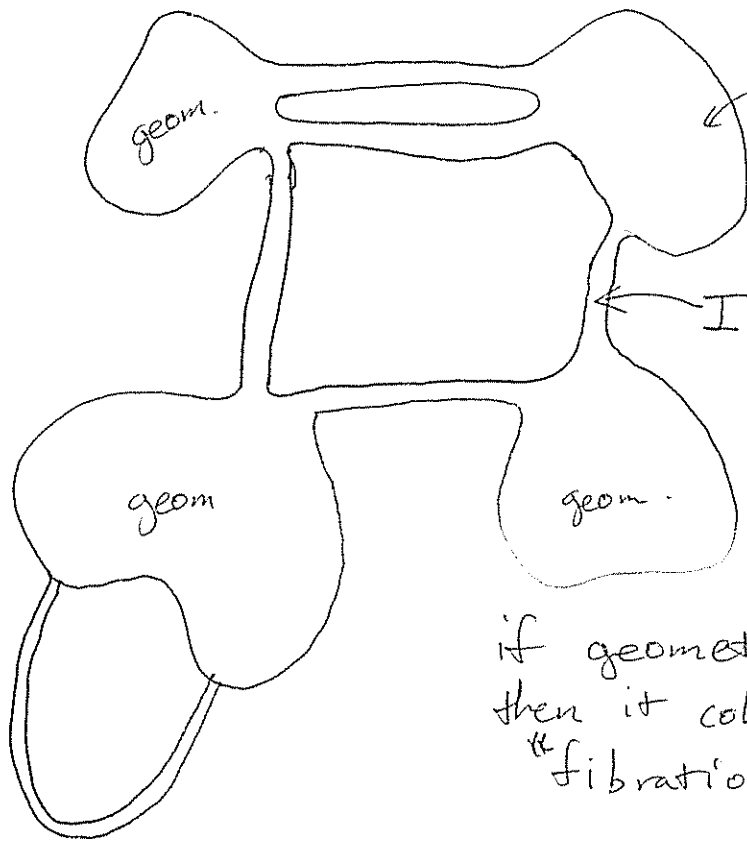
Perelman: - These are the only types of singularities in finite time  
 - No accumulation points of singularity times

note Hypothesis: no embedded  $\mathbb{R}P^2$ s

Surgeries, extinctions happen at a discrete set of evolution times  $t_1, t_2, t_3, \dots$

for  $t \gg 0$ , only thing that happens is





"geometric" as  $t \rightarrow \infty$

$I \times T^2$

geometric regions  
are either constant  
volume or growing

if geometric piece is not  $\mathbb{H}^3/\Gamma$   
then it collapses along  $S^1/T^2$   
"fibration"

or  $T^3$   
separate, not connected