

## Dave Morrison - Degeneration of K3 Surfaces, Gravitational Instantons and M-Theory

### K3 Surfaces

What is a K3 surface?

1) Topologist: Compact simply connected 4-manifold

$$H^2(X, \mathbb{Z}) \cong \Lambda^{3,19}$$

Even unimodular lattice of signature (3, 19)

One diffeomorphism class of these, "K3 Manifold"

2) Algebraic Geometer: Compact complex surfaces with no holomorphic 1-form and nowhere vanishing holomorphic 2-form

$\Rightarrow$  manifold is the K3 manifold (Kodaira)

$\Rightarrow$  Complex manifold is Kählerian (Siu)

$\Rightarrow$  Kähler metric is hyperKähler  $\Leftrightarrow$  holonomy  $SU(2)$

3) Differential Geometer:  $\{ \text{hyperKähler metric on } X \text{ of Vol } 1 \}$   
 $\text{Diff}(X)$

Fact (Donaldson, Burcea, ):

$$\text{Diff}(X) / \text{Diff}_0(X) \subseteq O(\Lambda^{3,19}; \mathbb{Z})$$

index 2  $\leftarrow$  pick an orientation of positive subspace.

Period Map (Alg. geo)

$$X, H^2(X, \mathbb{Z}) = \text{complex K3 surface} \mapsto \left[ \int_{\gamma_1} \omega_1, \dots, \int_{\gamma_{22}} \omega_{22} \right] \in \mathbb{P}^{21}$$

$$\downarrow \mathbb{R}$$

$$\Lambda^{3,19}$$

Image lies in  $\{ \alpha \mid (\alpha, \alpha) = 0, (\alpha, \bar{\alpha}) > 0 \}$

$$\left\{ \begin{array}{l} \text{moduli of} \\ K3 \end{array} \right\} \longrightarrow \frac{O(3,19)/O(2) \times O(1,19)}{O^+(\Lambda^{3,19}, \mathbb{Z})}$$

Roughly an "isomorphism"

Period map (diff geo)

$$\left\{ \begin{array}{l} \text{h.c. metric at vol 1} \\ \text{Diff} \end{array} \right\} \longrightarrow \frac{Gr^+(3,19)}{O^+(\Lambda^{3,19}, \mathbb{Z})}$$

Theorem (Anderson)

- 1) There is an  $L^2$ -metric on LHS which makes this an isometric embedding
- 2) If we expand LHS to include orbifold metric, we get a global isometry

Back to Alg Geo.

Theorem (Kulikov) Let  $\bar{X} \xrightarrow{\pi} \Delta = \{z \mid |z| < 1\}$   
 $\bar{X} \cong \bar{X}$  1-parameter semi-stable family of K3 surfaces  
 $\downarrow \downarrow$  are of type  
 $M \subseteq \bar{M}$

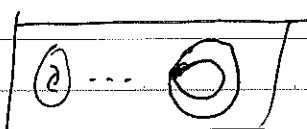
- I)  $\pi^{-1}(0) = K3$  elliptic curve
- II)  $\pi^{-1}(0) = RES \cup \dots$  elliptic ruled  $\cup RES$
- III)  $\pi^{-1}(0) = \cup S_j$  each  $S_j$ , each  $S_j \cap S_k \cong S^2$  topology is  $S^2$

Warm up  $T^2$  topologist +  $\dots$  - Two torus

Complex geometry - elliptic curve ✓  
 diff. geo - flat metric -

Com. Alg. Geo. version

$$\mathbb{Z}/SL(2, \mathbb{Z}) \cong j\text{-line}$$



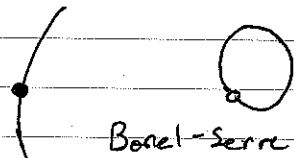
Baily-Borel-stable

Flats metric

area  $\rightarrow 0$



area  $\rightarrow 1$



Diff. geo versus

$$Gr^+(3, 19) / O^+(\Lambda^{3,19}; \mathbb{Z})$$

Symmetric space theory determines: pick  $r$

$$Gr^+(3, 19) \cong \mathbb{R}^+ \times Gr^+(3-r, 19-r) \\ \times SL(r)/SO(r) \times \Lambda^2 \mathbb{R}^r \\ \times \mathbb{R}^{3-r, 19-r} \oplus \mathbb{R}^r$$

Parabolic subgroup of  $O^+(3, 19)$

Anderson

If  $\{g_{ij}\}$  is a divergent (in  $L^2$ -norm) sequence of vol. 1 h.k. metric then there is a subsequence which undergoes

Cheeger-Croke collapse in the complement of a finite set  $\{p_i\}$

$X \dashrightarrow$  Acted upon by tori

B

$$X = T^4 / \mathbb{Z}_2 \quad \text{"Kummer surface"}$$

16 singular points: Asymptotically locally euclidean (ALE)

spaces of type  $A_1$

each contributes  $\frac{3}{2}$  to  $\chi(\tilde{X})$

$$16 \cdot \frac{3}{2} = 24 = 1 + 22 + 1$$

$(X \setminus \text{sing pts})$  There are "gravitational instantons" which fill in space

$$\downarrow T^k$$

$$T^{4-k}/\mathbb{Z}_2$$

$k=1$  ALF (Free)

$k=2$  ALG

$k=3$  ALH

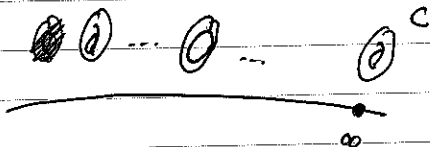
$$\mathbb{C}^2/G$$

$$G \subseteq \text{finite } SU(2)$$

Torelli-type theorem

ALG, ALH: Start with a Rational Elliptic Surface

Understand via Weierstrass model on  $\mathbb{P}^1$



$$y^2 = x^3 + f(s)x + g(s)$$

$$\deg f = 4k$$

$$\deg g = 6k$$

$k=2$  K3 surface

$S-C$  is a non-compact K3

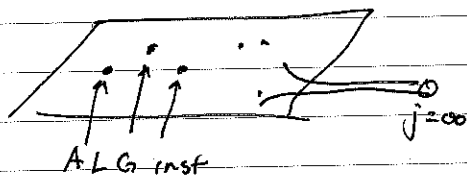
$k=1$  Rational elliptic surface

Tian-Yau  $\Rightarrow$   $\exists$  complete Ricci flat Kähler metric on  $S-C$

Metric is ALG, ALH, w doesn't collapse for

- If  $C$  is non-singular ALH
- If  $C$  is singular,  $\lim_{s \rightarrow \infty} j(s) < \infty$   
then ALG
- If  $C$  is singular,  $\lim_{s \rightarrow \infty} g(s) = \infty$   
then not ALE, ALF, ALG, ALH

ALG: Limit is a complex surface base of a  $T^2$ -fibration

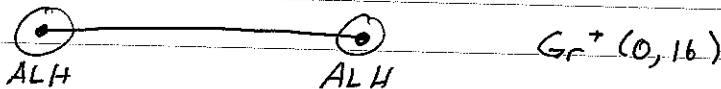


(Gross-Wilson)

$$Gr^+(2, 18)$$



ALH :



ALF: Bellet  $Gr+(1,17)$

M-Theory

Metric + 3-form  $(g_{ij}, C_{ijk} \text{ (3-form)})$

~~NSA~~  $E_8$ -gauge field

$X \times \mathbb{R}^{1,6}$



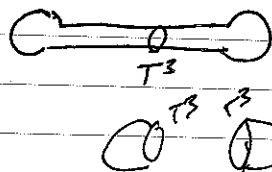
On a non-compact manifold get  $E_8$  gauge field in boundary

$Ric(g_{ij}) = 0$

$(C_{ijk} dx^i dx^j dx^k)$  ~~harmonic~~

$\hookrightarrow$  harmonic

$g_{ij}$   $\xrightarrow{\text{gels to}}$  boundary via ALH  $(K=3)$



delta of  $\mathbb{R}^8 \otimes \mathbb{R}^3$