The Tameness of Quantum Physics

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Based on: 2112.08383 and

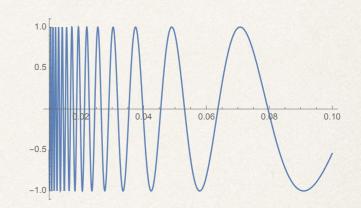
works with Mike Douglas, Lorenz Schlechter
Benjamin Bakker, Christian Schnell, Jacob Tsimerman

Mathematics can be wild

- Analysis: topologies and maps can be involved
 - → complicated sets: Cantor sets, ...
 - → complicated functions:

$$f(x) = \begin{cases} 0 & x \text{ rational} \\ 1 & x \text{ irrational} \end{cases}$$

$$f(x) = \sin(1/x)$$



- common feature: no proper graphical representation
- Logic: Gödel's first incompleteness theorem
 - → there are statements that are undecidable

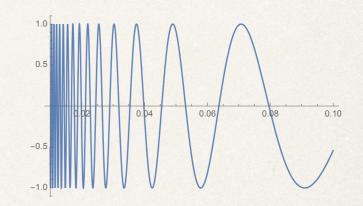
Physics is more tame, isn't it?

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 - → complicated sets: Cantor sets, ...
 - → complicated functions:

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What is a good Tameness Principle?

Finiteness as a tameness principle?

- Longstanding question: Is number of distinct effective theories from string theory below fixed cut-off finite?
 e.g. [Douglas '03] [Acharya, Douglas '06]
 - · much recent activity: finiteness of spectra, ranks of gauge groups

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[Adams,DeWolfe,Taylor] [Kim,Shiu,Vafa] [Kim,Tarazi,Vafa] [Cvetic,Dierigl,Lin,Zang] [Dierigl,Heckman] [Font,Fraiman,Grana,Nunez,DeFreitas] [Hamada,Vafa] [Taylor etal],[Kim,Shiu,Vafa],[Lee,Weigand],[Tarazi,Vafa] [Hamada,Montero,Vafa,Valenzuela]
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 In certain string theory models finiteness is now part of general mathematical theorem [Bakker,TG,Schnell,Tsimerman]

Finiteness criterion seems to be a yes/no-criterion: Can we turn finiteness into a structural criterion?

Finiteness as a tameness principle?

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Tameness principle: demand that theories are formulated within 'Tame geometry' or 'o-minimal geometry'

(needed in the proof of [Bakker, TG, Schnell, Tsimerman])

What is tameness?

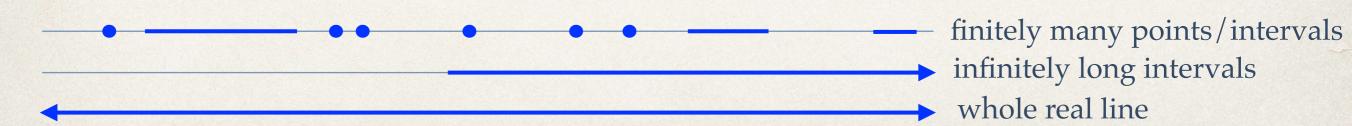
- Tameness implements a generalized finiteness principle
 - → restricts sets and functions: tame sets + tame functions
- Avoid wild sets and functions:
 - → no sets with infinite disconnected components: integers, lattices...
 - \rightarrow no functions with infinitely many isolated minima, maxima, zeros as in $f(x) = \sin(1/x)$
- Comes from logic: o-minimal structures
 can avoid logical undecidability [Tarski] (Gödel's theorems are over integers)
- Grothendieck's dream to develop math. framework for geometry:
 - → tame topology [Esquisse d'un programme]

Tameness - a generalized finiteness principle

- Tameness from theory of o-minimal structures (model theory, logic) intro book [van den Dries]
 Recent lectures: 2022 Fields institute program (6 months)
- structure S: collect subsets of \mathbb{R}^n , n = 1, 2, ...
 - closed under finite unions, intersections, products, and complements
 - closed under linear projections
 - sets defined by all real polynomials included (algebraic sets)
- tame/o-minimal structure \mathcal{S} : only subsets of \mathbb{R} that are in \mathcal{S} are finite unions of points and intervals

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Tameness - a generalized finiteness principle

- Tameness from theory of o-minimal structures (model theory, logic) intro book [van den Dries]
 Recent lectures: 2022 Fields institute program (6 months)
- structure S: collect subsets of \mathbb{R}^n , n=1,2,...
 - sets in o-minimal structure \mathcal{S} : tame sets
 - functions with graph being a tame set: tame functions
 - → tame manifold, tame bundles... a tame geometry

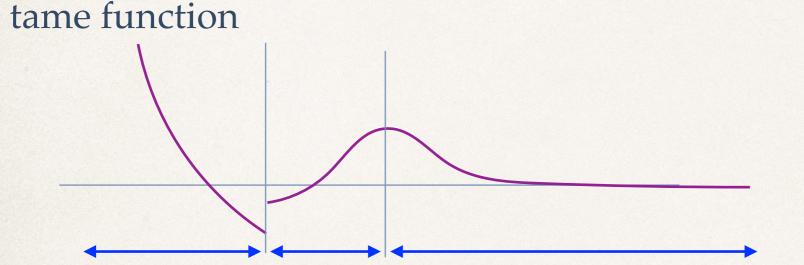
unfinitely long intervals whole real line

4

ntervals

Examples and Non-Examples

- Consider function: $f: \mathbb{R} \to \mathbb{R}$

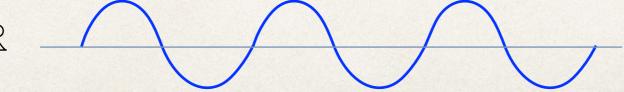


split \mathbb{R} into finite number of intervals: f is either constant, or monotonic and continuous in each open interval

→ finitely many minima and maxima, tame tail to infinity

- Periodic functions f(x+n) = f(x) are never tame (when not constant)

$$\sin(x), x \in \mathbb{R}$$



- Note: There are many known o-minimal structures.
 - examples are obtained by stating which functions are allowed to generate the sets → non-trivial
- Simplest structure: $\mathbb{R}_{\mathrm{alg}}$ (used e.g. in real algebraic geometry)
 - · sets in \mathbb{R}^n are zero-sets of finitely many real polynomials:

$$P_k(x_1, ..., x_n) = 0 \cap \hat{P}_l(x_1, ..., x_n) > 0$$

$$k = 1, ..., m$$

$$l = 1, ..., \hat{m}$$

needed for projection property

- Note: There are many known o-minimal structures.
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- Simplest structure: $\mathbb{R}_{\mathrm{alg}}$ (used e.g. in real algebraic geometry)
 - · sets in \mathbb{R}^n are zero-sets of finitely many real polynomials:

- General structure: add more functions $f_i: \mathbb{R}^m \to \mathbb{R}$ to generate sets $P_k(x_1,...,x_m,f_1(x),...,f_n(x))=0$
 - → complete sets compatible with structure axioms (i.e. add sets obtained by projection, unions,...)

- Note: There are many known o-minimal structures.
 - examples are obtained by stating which functions are allowed to generate the sets → non-trivial
- Some important examples:
 - · $\mathbb{R}_{ ext{alg}}$ extended by exponential function: $\mathbb{R}_{ ext{exp}}$ [Wilkie '96]
 - · \mathbb{R}_{alg} extended by restricted real analytic functions: \mathbb{R}_{an} [Denef, van den Dries '88]
 - exp and restricted real analytic functions: $\mathbb{R}_{an,exp}$ $\mathbb{R}_{an,exp}$ [van den Dries, Macintyre, Marker '94]
 - several more recent examples: e.g.
 - (1) add solutions to certain first-order differential equations
 - (2) structure including $\Gamma(x)|_{(0,\infty)}$ and $\zeta(x)|_{(1,\infty)}$ [Rolin, Servi, Speissegger '22]

- Note: There are many known o-minimal structures.
 - examples are obtained by stating which functions are allowed to generate the sets → non-trivial
- Sets in $\mathbb{R}_{\mathrm{an,exp}}$ given by finitely many equalities and inequalities:

$$P_k(x_1,...,x_n,e^{x_1},...,e^{x_n},f_1(x),...,f_m(x))=0$$

$$\tilde{P}_l(x_1,...,x_n,e^{x_1},...,e^{x_n},\tilde{f}_1(x),...,\tilde{f}_m(x))>0$$
 polynomial exponential restricted analytic of complex exponential:
$$e^z=e^r(\cos(\phi)+i\sin(\phi))\quad 0\leq\phi\leq c$$

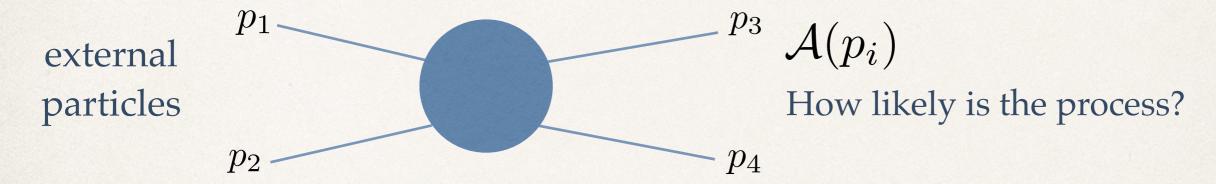
A currently active field of mathematics

- Historically routed in logic, there has been much recent activity in the field of tame geometry relating to different parts of mathematics
- Tameness used in many recent proofs of deep mathematics conjectures:
 - Klingler's Ax-Schanuel conjecture for Hodge structures [Bakker, Tsimerman '17] several subsequent generalizations, e.g. to mixed Hodge structures
 - Griffiths' conjecture [Bakker,Brunebarbe,Tsimerman '18]
 - André-Oort conjecture [Pila,Shankar,Tsimerman '21]
 - Geometric André-Grothendieck Period Conjecture [Bakker, Tsimerman '22]
 - → very active field connecting logic, number theory, and geometry

Tameness in perturbative Quantum Field Theories (QFTs)

Perturbative QFTs

Scattering amplitudes

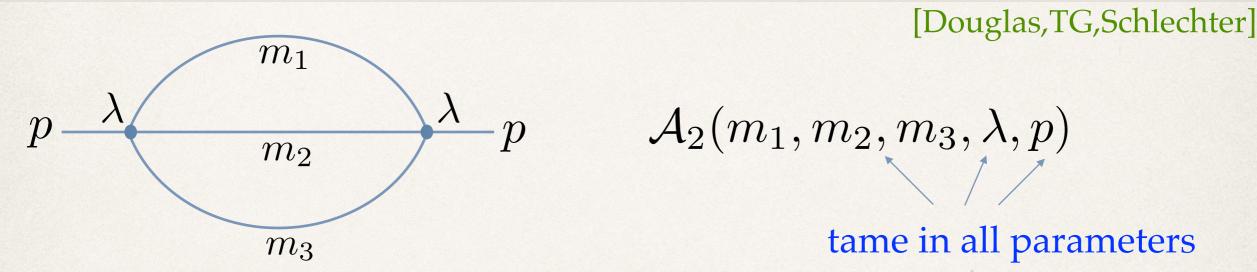


- Physics: defined using path integrals "sum over all possible processes"
- Perturbative expansion: small coupling expansion $\lambda \ll 1$

→ summing till fixed loop number: finite number of Feynman integrals

Perturbative QFTs

■ Theorem: For any renormalizable QFT with finitely many particles and interactions all finite-loop amplitudes are tame functions of the masses, external momenta, and coupling constants.



hidden finiteness property in all QFT amplitudes

Remarks: - theorem is non-trivial: interesting implications for Feynman amplitudes (symmetry ← relations) [in progress]

Why is this true?

- amplitudes are composed of finitely many Feynman integrals
- → Basic idea: Feynman integrals are tame by relating them to period integrals of some auxiliary compact geometry $Y_{\rm graph}$ review book by [Weinzierl] + many original works
- Use: all steps only involve tame maps, period integrals are tame maps in o-minimal structure $\mathbb{R}_{\mathrm{an,exp}}$

seminal paper [Bakker,Klingler,Tsimerman '18] [Bakker,Mullane '22] related integration results [Comte,Lion,Rolin]

 Note: renormalizable theories have only finitely many counterterms → tameness preserved by finite composition

Tameness of full QFTs?

Observables in QFT

- interested in physical observables in local QFTs
 - particle described by the field ϕ
 - \rightarrow dynamics encoded by Lagrangian, e.g. $\mathcal{L} = \frac{1}{2}(\partial \phi)^2 \frac{1}{2}m^2\phi^2 \frac{1}{4!}\lambda\phi^4$

parameters of the model

compute correlation functions:

(up to normalization)

$$\langle \mathcal{O}_1(y_1)...\mathcal{O}_k(y_k)\rangle_{\lambda,m} = \int D\phi \mathcal{O}_1(y_1)...\mathcal{O}_k(y_k) e^{-\int_{\Sigma} d^d y \mathcal{L}(\lambda,m)}$$

local operator at some space-time point $y_1 \in \Sigma$ (e.g. polynomial in ϕ)

path integral over all field configurations exponential weight by parameter-dep. Lagrangian

Observables in QFT

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parameters of the model

compute correlation functions:

(up to normalization)

$$\langle \mathcal{O}_1(y_1)...\mathcal{O}_k(y_k)\rangle_{\lambda}$$

ightharpoonup complicated function on product of space-time $\Sigma \times ... \times \Sigma$ and parameter space $\mathcal P$

Tameness at non-perturbative level

- check tameness of partition functions $Z(\lambda) = \langle 1 \rangle_{\lambda}$ of solvable theories:
 - 0d theory: sine-Gordon $Z = \int_{-\pi}^{\pi} d\phi \, e^{4\lambda \sin^2(\phi)} = 2\pi e^{2\lambda} I_0(2\lambda)$
 - → modified Bessel function is tame (construct geometry → period)
 - 1d theory: harmonic oscillator (finite temperature partition function)

$$Z(\beta, m) = \frac{1}{2\sinh\beta/(2m)}$$
 $\longrightarrow \tan \beta, m$

- 2d free Yang-Mills: SU(2) example $Z_{SU(2)} = e^{\frac{A\lambda}{16}}(\theta_3(e^{-\frac{A\lambda}{16}}) 1)$
 - \rightarrow tame in λ , A, theta tame on fundamental domain [Peterzil, Starchenko]
- 2d theories: (2,2) GLSMs appearing in Type II CY compactifications $Z_{S^2}=e^{-K}=\bar{\Pi}\eta\Pi$ tame due to relation to periods

- Consider in 0d: $S = \frac{m^2}{2}\phi^2 + \frac{\lambda}{4!}\phi^4 \rightarrow Z = \sqrt{\frac{3}{\lambda}}e^{\frac{3m^4}{4\lambda}} m K_{\frac{1}{4}}\left(\frac{3m^4}{4\lambda}\right)$ tame?
 - \rightarrow we expect Z to be tame, but tameness of $K_{\alpha}(x)$ has not been proved
 - → tameness of exponential periods introduced by [Konzewitsch, Zagier]?

→ More generally: QFTs on points recently e.g. [Gasparotto,Rapakoulias,Weinzierl]

correlation functions in 0d are ordinary integrals

$$\langle \mathcal{O}_1...\mathcal{O}_n \rangle_{\lambda} = \int d\phi_1...d\phi_k \,\mathcal{O}_1...\mathcal{O}_n \,e^{-S^{(0)}(\phi,\lambda)}$$
 tame?

Conjecture [van den Dries][Kaiser]: Given a real-valued tame function $f(\lambda, \phi)$ (in some o-minimal structure $\mathcal S$) the integral

$$g(\lambda) = \int d\phi_1 ... d\phi_k f(\phi, \lambda)$$

is also a tame function (in some o-minimal structure ${\cal S}$).

More generally: QFTs on points recently e.g. [Gasparotto,Rapakoulias,Weinzierl]

correlation functions in 0d are ordinary integrals

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 tame?

Note: This is a theorem for $\mathcal{S}=\mathbb{R}_{\mathrm{an}}$ yielding to $\widetilde{\mathcal{S}}=\mathbb{R}_{\mathrm{an,exp}}$. [Comte,Lion,Rolin]

However, for non-perturbative results, we need exponential to be in ${\mathcal S}$.

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correlation functions in 0d are ordinary integrals

$$\langle \mathcal{O}_1...\mathcal{O}_n \rangle_{\lambda} = \int d\phi_1...d\phi_k \,\mathcal{O}_1...\mathcal{O}_n \,e^{-S^{(0)}(\phi,\lambda)}$$
 tame?

⇒ math. conjecture implies:

physical observables $\langle \mathcal{O}_1...\mathcal{O}_n \rangle_{\lambda}$ are tame functions of parameters λ labelling the theory if $(1) \mathcal{O}_1, \mathcal{O}_2, ...$ are tame functions of λ, ϕ

(2) $S^{(0)}(\phi, \lambda)$ is tame function of λ, ϕ

Are observables of every QFT tame?

No! e.g. consider discrete symmetry group G

$$Z(g \cdot \lambda) = Z(\lambda)$$
 \rightarrow never tame if dim(G) is infinite

→ tameness requires that all such symmetries are gauged or eventually

broken in full Z

→ One of best understood conjectures about Quantum Gravity: 'No global symmetries in QG'

[Banks, Dixon] [Banks, Seiberg]

- black hole arguments
- confirmed in all String Theory settings
- proved within AdS/CFT for most global symmetries [Harlow,Ooguri]

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 - → One of best understood conjectures about Quantum Gravity: 'No global symmetries in QG'
- Non-tameness of Lagrangian: easy to get non-tame Lagrangian by picking non-tame potential V(x)

Simple:
$$V(\theta) = A\cos(\theta) + B\cos(\alpha \theta)$$
 α irrational

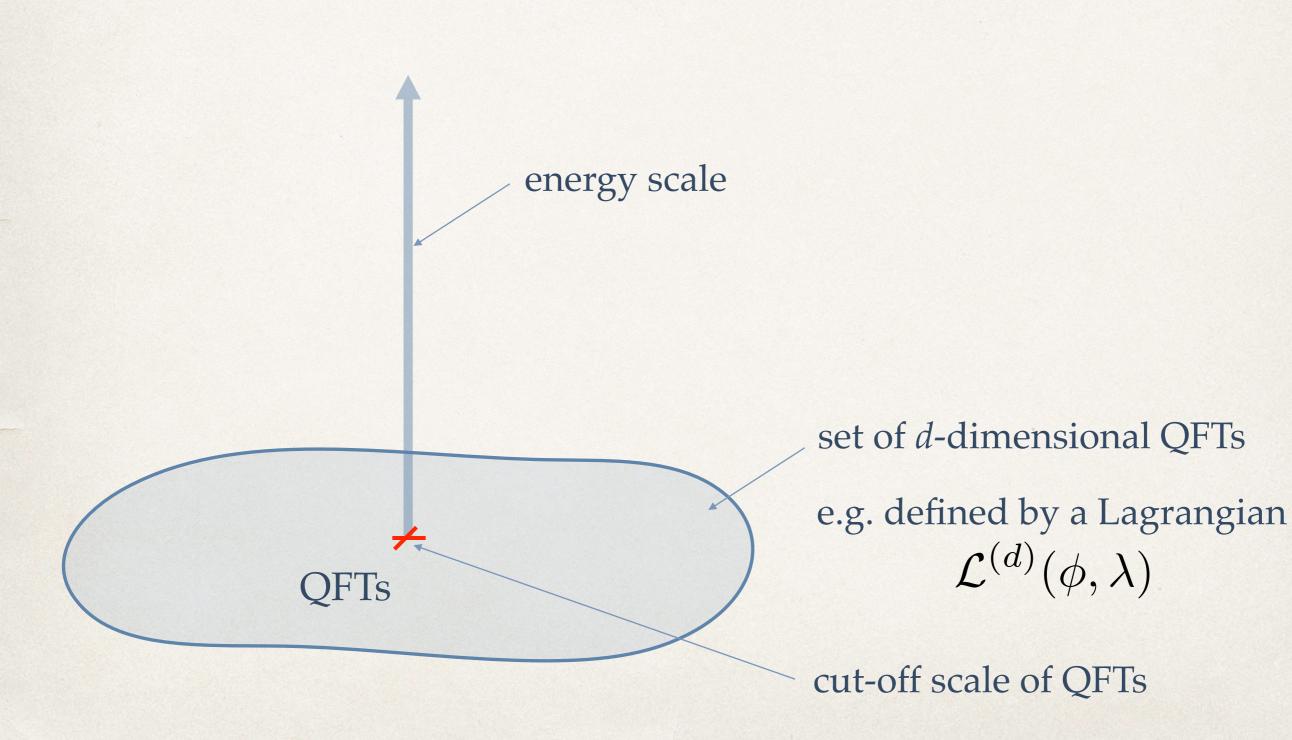
Fancy:
$$W_{\xi} = Y P_{\xi}(X_1, \dots, X_k)^2 + \sum_a Z_a (\sin 2\pi i X_a)^2$$
 [Tachikawa]

Existence of supersymmetric vacua is undecidable!

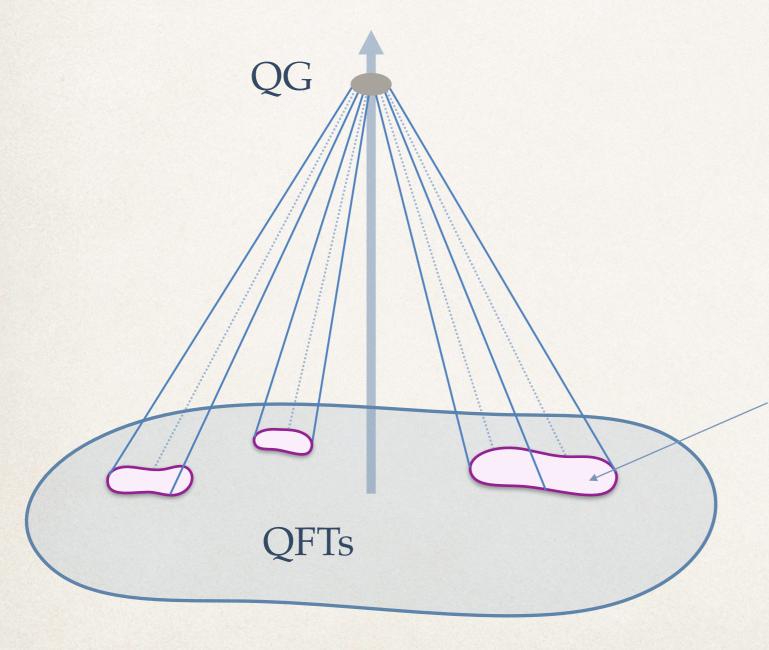
tameness depends on the UV origin of the theory

QFTs compatible with quantum gravity

A tameness conjecture



A tameness conjecture



set \mathcal{T} of d-dimensional QFTs parameterized by tame Lagrangian varying over tame field and parameter space

A tameness conjecture

Conjecture [TG '21]:

All effective theories valid below a fixed finite energy cut-off scale that can be consistently coupled to quantum gravity are labelled by a tame parameter space and must have scalar field spaces and Lagrangians that are tame in an o-minimal structure.

- Conjecture implies several finiteness conjectures proposed in the past e.g. [Douglas][Acharya,Douglas][Vafa][Hamada,Montero,Vafa,Valenzuela]
- Part of the Swampland Program searching for universal constraints from Quantum Gravity
- bold conjecture based mostly on evidence from string theory, but fits nicely with other conjectures about the nature of Quantum Gravity

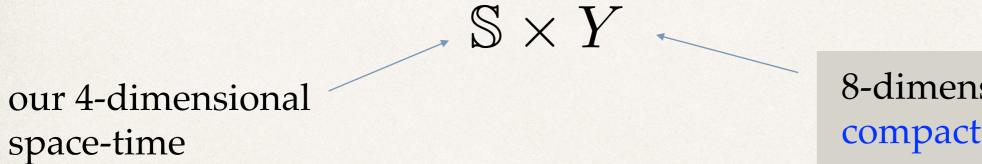
Evidence from String Theory: A Tameness Theorem

String theory and higher dimensions

Study effective four-dimensional theories arising from String Theory

⇒ String Theory formulated consistently in 10 space-time dimensions or 12 space-time dimensions (F-theory)

Product Ansatz for the higher-dimensional space-time manifold:



8-dimensional compact manifold

 \rightarrow Four-dimensional physics depends on choice of Y

Fifth force problem: deformations of Y correspond to massless fields \rightarrow fifths force \rightarrow immediate contradiction with experiment

Solutions with background fields

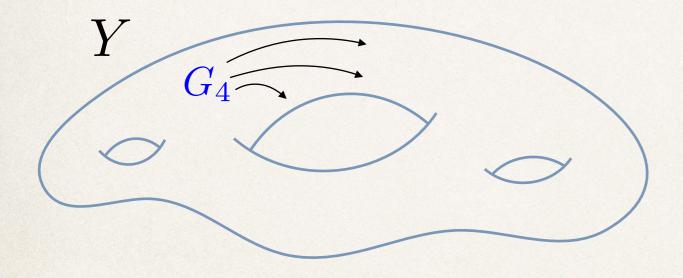
Solution: Flux Compactifications

review: [Graña] [Kachru, Douglas]

...[Becker, Becker '96], [Gukov, Vafa, Witten '99], [Giddings, Kachru, Polchiski '03], [TG, Louis '04]...

rough idea: introduce generalization of electromagnetic field, called G_4 on eight-dimensional manifold Y

differential 4-form 'flux'



equations of motion (Maxwell eq):

$$G_4 \in H^4(Y,\mathbb{R})$$

quantization:

$$G_4 \in H^4(Y,\mathbb{Z})$$

Aim: choice of G_4 solves fifth-force problem, but parametrizing set of discrete parameters on lattice

→ disaster for tameness?

Best understood solutions

- Solution to 12-dimensional theory (F-theory) of the form: solving Einstein's equations and other equations of motion
 - 12d manifold: $\mathbb{S} \times Y$ — Calabi-Yau fourfold: Kähler, vanishing first Chern class
 - 4-form flux: $G_4 \in H^4(Y,\mathbb{Z})$ $\int_V G_4 \wedge G_4 = \ell \quad \text{cancellation of charge on compact } Y$

$$\int_Y G_4 \wedge G_4 = \ell$$

$$*G_4 = G_4$$
 $G_4 \wedge J = 0$ self-dual flux (true in cohomology)

Hodge star operator on Y

Kähler form on Y

Best understood solutions

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$$\int_Y G_4 \wedge G_4 = \ell$$

$$*G_4 = G_4 \qquad G_4 \land J = 0$$

self-dual flux (true in cohomology)

⇒ should be read as a condition on the choice of complex structure and Kähler structure on $Y \Rightarrow$ solve fifth force problem

Finiteness conjectures

Concrete conjecture: The number of solutions in the described setting is finite. Finitely many choices for G_4 .

[Douglas '03] [Acharya, Douglas '06]

- Answer: Yes (on fixed topology for Y). [Bakker,TG,Schnell,Tsimerman '21]
 - → Gen. finiteness theorem by [Cattani, Deligne, Kaplan '95] on Hodge classes
- Hodge theory formulation (focus on primitive part)

$$H^4(Y,\mathbb{C})=H^{4,0}\oplus H^{3,1}\oplus H^{2,2}\oplus H^{1,3}\oplus H^{0,4}$$
 (Hodge decomposition)

Hodge classes:
$$G_4 \in H^4(Y,\mathbb{Z}) \cap H^{2,2}$$
 [CDK] \rightarrow loci are complex algebraic

Self-dual classes:
$$G_4 \in H^4(Y,\mathbb{Z}) \cap (H^{4,0} \oplus H^{2,2} \oplus H^{0,4})$$

→ loci can be real!

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 - → Gen. finiteness theorem by [Cattani, Deligne, Kaplan '95] on Hodge classes
- Tameness results:
 - [Bakker,Klingler,Tsimerman '18] show that period map is definable in $\mathbb{R}_{an,exp}$ new proof of the theorem of [Cattani,Deligne,Kaplan] using tame geometry
 - Finiteness / Tameness theorem: Locus of integral self-dual classes with bounded self-intersection is $\mathbb{R}_{an,exp}$ -definable closed real-analytic subspace of Hodge bundle and restriction of projection to the base to this locus has finite fibers.

 [Bakker, TG, Schnell, Tsimerman]

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Tameness of CFTs

- Idea: associate a structure to any set of physical theories
- Starting point for QFTs:
 - set of QFTs $\,\mathcal{T}$, e.g. specified Lagrangians $\,\mathcal{L}^{(d)}(\phi,\lambda)$
 - set ${\mathcal S}$ of Euclidean spacetimes (Σ,g)
 - \rightarrow both sets should be definable in some structure $\mathbb{R}^{\mathrm{def}}_{\mathcal{T},\mathcal{S}}$

Example:

T: polynomial Lagrangians with real valued parameters

 \mathcal{S} : spacetimes \mathbb{R}^d, T^d, S^d with standard metric

$$\mathbb{R}^{\mathrm{def}}_{\mathcal{T},\mathcal{S}} = \mathbb{R}_{\mathrm{alg}}$$

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 - set ${\mathcal S}$ of Euclidean spacetimes (Σ,g)
 - \rightarrow both sets should be definable in some structure $\mathbb{R}^{\mathrm{def}}_{\mathcal{T},\mathcal{S}}$
- Extend structure $\mathbb{R}^{\mathrm{def}}_{\mathcal{T},\mathcal{S}}$ by physical observables: add correlation/partition functions:

$$f_{\alpha}(y,\lambda) = \langle \mathcal{O}_1(y_1)...\mathcal{O}_1(y_n) \rangle_{\lambda}$$
 new structure

 $\mathbb{R}_{\mathcal{T},\mathcal{S}}$

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- Extend structure $\mathbb{R}^{\mathrm{def}}_{\mathcal{T},\mathcal{S}}$ by physical observables: add correlation/partition functions:

Example: harmonic oscillator in quantum mechanics (Euclidean) $\mathbb{R}_{\mathcal{T},\mathcal{S}} = \mathbb{R}_{\exp}$

- First-order logic: $\mathbb{R}_{\mathcal{T},\mathcal{S}}$ should be rich enough to formulate statements about the physical observables
- Tameness questions:
 - (1): If $\mathbb{R}^{\mathrm{def}}_{\mathcal{T},\mathcal{S}}$ is o-minimal, is $\mathbb{R}_{\mathcal{T},\mathcal{S}}$ o-minimal?
 - Are physical observables tame?
 - (2): What are simple conditions on theories such that $\mathbb{R}^{\text{def}}_{\mathcal{T},\mathcal{S}}$ is o-minimal?
 - Tameness of the set of physical theories?
 - For (2): Tameness conjecture → effective theories compatible with QG and valid below fixed cut-off scale

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 - Tameness of the set of physical theories?

We consider: \mathbb{R}_{QFTd} , \mathbb{R}_{EFTd} , \mathbb{R}_{CFTd} , \mathbb{R}_{QG} , ...

- CFTs require no UV completion with quantum gravity
- CFTs are axiomatically well-defined theory set containing T assume: CFT is unitary and local
- In [Douglas,TG,Schlechter II] we argue that CFT observables are tame and discuss various conditions which we believe ensure that \mathcal{T} is tame set

Conjecture 1 (Tame observables):

All observables of a tame set \mathcal{T} of CFTs are tame functions.

Alternative: Structure $\mathbb{R}_{\mathcal{T},\mathcal{S}}$ for such theories is o-minimal.



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- CFTs are axiomatically well-defined theory set containing T assume: CFT is unitary and local
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evidence from considering expansion into conformal partial waves

implications: conditions on gaps for operators finite radius of convergence of conformal perturbation

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- CFTs are axiomatically well-defined theory set containing T assume: CFT is unitary and local
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Conjecture 2 (Tame theory spaces):

Theory space \mathcal{T} of CFTs in d=2 is tame set if

- central charge is bounded by \hat{c}
- lowest operator dimensions bounded from below by Δ_{\min}

implies conjectures by [Douglas, Acharya] [Kontsevich, Soibelman]

- CFTs require no UV completion with quantum gravity
- CFTs are axiomatically well-defined theory set containing T assume: CFT is unitary and local
- In [Douglas, TG, Schlechter II] we argue that CFT observables are tame and discuss various conditions which we believe ensure that \mathcal{T} is tame set

Conjecture 2 (Tame theory spaces):

Theory space \mathcal{T} of CFTs in d>2 is tame set if

- appropriate measure of degrees of freedom is bounded by \hat{c}
- theories differing by discrete gaugings are identified
- many challenging cases: e.g. 3d Chern-Simons matter theories
 - → show that there are no infinite discrete families

Conclusions

- Suggested that tameness of set of well-defined physical theories and their observables as a general principle
- Showed tameness of perturbative QFT amplitudes and certain non-perturbative settings
- Evidence for tameness from effective theories arising in String Theory
 → tameness theorem for self-dual integral classes, 'flux vacua'
- Near future: tameness of space of Conformal Field Theories and their correlation functions

Conclusions

Often made statement:

All fields of mathematics are relevant in physics (especially string theory) apart from mathematical logic.

Fascinating new perspective:

Sets of well-defined QFTs and physical observables

First order structure (model) with tameness property 'o-minimal structure'

Much left to be explored at this new interface of physics-mathematics: implications of tameness (computational + understanding QFTs) relation to other QG conjectures,..., connection with decidability

Thanks!