

# Geometry from Donaldson-Thomas invariants

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  4. DT Riemann-Hilbert problem
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# Topological strings and the derived category

Given a Calabi-Yau threefold  $X$  we are interested in relations between:

- String theory compactified on  $X$ ,
- The derived category of coherent sheaves  $D(X) = D^b \text{Coh}(X)$ .

For example:

- Dualities in string theory induce equivalences  $D(X_1) \cong D(X_2)$ ,
- $\Pi$ -stability for D-branes corresponds to stability in  $D(X)$ ,
- BPS invariants = DT invariants,
- Partition function = ~~generating function for rank 1 PT invariants.~~

We are only interested in 'natural' constructions with  $D(X)$ . This means that everything should be manifestly covariant under equivalences  $D(X_1) \cong D(X_2)$ .

This leads us to consider non-perturbative aspects of topological strings.

# A Riemann-Hilbert problem

Fix  $z \in \mathbb{C}^*$  and set  $U_{\pm} = \mathbb{C}^* \setminus \mathbb{R}_{>0} \cdot (\pm iz)$ . Seek holomorphic functions

$$y_{\pm}: U_{\pm} \rightarrow \mathbb{C}^*$$

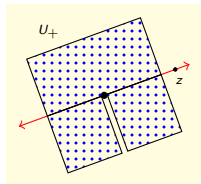
satisfying the conditions

- (i)  $y_{\pm}(\epsilon) \rightarrow 1$  as  $\epsilon \rightarrow 0$ ,
- (ii)  $|\epsilon|^{-k} < |y_{\pm}(\epsilon)| < |\epsilon|^k$  as  $|\epsilon| \rightarrow \infty$ ,
- (iii) on  $U_- \cap U_+$  there is a relation

$$y_-(\epsilon) = \begin{cases} y_+(\epsilon)(1 - e^{-z/\epsilon}) & \text{if } \operatorname{Re}(\epsilon/z) > 0 \\ y_+(\epsilon)(1 - e^{+z/\epsilon}) & \text{if } \operatorname{Re}(\epsilon/z) < 0 \end{cases}$$

There is a unique solution

$$y_{\pm}(\epsilon) = \Lambda\left(\frac{\pm z}{2\pi i \epsilon}\right)^{\pm 1}, \quad \Lambda(w) = \frac{e^w \cdot \Gamma(w)}{\sqrt{2\pi} \cdot w^{w-\frac{1}{2}}}.$$



# Non-perturbative partition function of $X = T^*S^3$

Define  $\tau_{\pm}(z, \epsilon)$  by the condition

$$2\pi i \cdot \frac{\partial \log \tau_{\pm}(z, \epsilon)}{\partial z} = \frac{\partial \log y_{\pm}(z, \epsilon)}{\partial \epsilon}.$$

Then using the Barnes G-function we can write

$$\tau_{\pm}(z, \epsilon) = \Upsilon\left(\frac{\pm z}{2\pi i \epsilon}\right), \quad \Upsilon(w) = \frac{e^{-\zeta'(-1)} e^{\frac{3}{4}w^2} G(w+1)}{(2\pi)^{\frac{w}{2}} w^{\frac{w^2}{2}}}.$$

There are asymptotic expansions as  $\epsilon \rightarrow 0$

$$\log \tau_{\pm}(z, \epsilon) \sim -\frac{1}{12} \log\left(\frac{\pm 2\pi i \epsilon}{z}\right) + \sum_{g \geq 2} \frac{B_{2g}}{2g(2g-2)} \left(\frac{2\pi i \epsilon}{z}\right)^{2g-2}.$$

- The RH problem came from the DT theory of the Fukaya category of  $X$ .
- The sum is the genus expansion of the B-model partition function for  $X$ .

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## Charge lattice notation

Consider a  $\mathbb{C}$ -linear triangulated category  $\mathcal{D}$  with the  $\text{CY}_3$  property:

$$\text{Hom}_{\mathcal{D}}(A, B) \cong \text{Hom}_{\mathcal{D}}(B, A[3])^*.$$

Fix a group homomorphism

$$\text{ch}: K_0(\mathcal{D}) \rightarrow \Gamma = \mathbb{Z}^{\oplus n}.$$

Assume given a skew form  $\langle -, - \rangle: \Gamma \times \Gamma \rightarrow \mathbb{Z}$  such that

$$\langle \text{ch}([E]), \text{ch}([F]) \rangle = \sum_{i \in \mathbb{Z}} (-1)^i \dim_{\mathbb{C}} \text{Hom}_{\mathcal{D}}(E, F[i]).$$

Define the Poisson algebraic torus

$$\mathbb{T} = \text{Hom}_{\mathbb{Z}}(\Gamma, \mathbb{C}^*) \cong (\mathbb{C}^*)^n, \quad \mathbb{C}[\mathbb{T}] = \bigoplus_{\gamma \in \Gamma} \mathbb{C} \cdot x_{\gamma},$$

$$\{x_{\alpha}, x_{\beta}\} = \langle \alpha, \beta \rangle \cdot x_{\alpha+\beta}.$$

# Stability conditions

A stability condition on  $\mathcal{D}$  is a pair  $\sigma = (Z, \mathcal{P})$  where:

- $\mathcal{P} = \cup_{\phi \in \mathbb{R}} \mathcal{P}(\phi)$  is a full subcategory “the semistable objects”,
- $Z: \Gamma \rightarrow \mathbb{C}$  is a homomorphism of abelian groups “the central charge”,

satisfying a short list of axioms.

The set  $\text{Stab}(\mathcal{D})$  is a complex manifold with an action of  $\text{Aut}(\mathcal{D})$ .

The forgetful map  $\text{Stab}(\mathcal{D}) \rightarrow \text{Hom}_{\mathbb{Z}}(\Gamma, \mathbb{C}) = \mathbb{C}^n$  is a local homeomorphism.

We shall assume the existence of DT invariants

$$\Omega_{\sigma}(\gamma) \in \mathbb{Q}, \quad \sigma \in \text{Stab}(\mathcal{D}), \quad \gamma \in \Gamma.$$

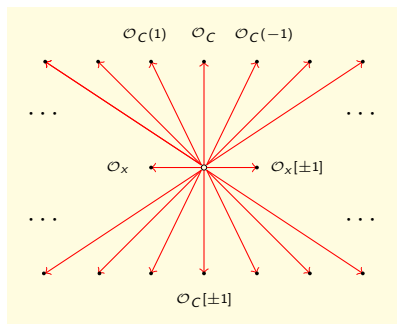
Equivalent data:  $\text{DT}_{\sigma}(\gamma) = \sum_{\gamma=n\alpha} \Omega_{\sigma}(\alpha)/n^2$ .



## Ray diagram

A stability condition  $\sigma = (Z, \mathcal{P})$  has an associated ray diagram in  $\mathbb{C}$ .

It is the set of rays  $\mathbb{R}_{>0} \cdot Z(E) \subset \mathbb{C}^*$  for all  $E \in \mathcal{D}$  semistable.



This example is for  $D(X)$  with  $X = \text{Tot } \mathcal{O}_{\mathbb{P}^1}(-1)^{\oplus 2}$  the resolved conifold.

## DT automorphisms

Try to define, for each ray  $\ell \subset \mathbb{C}^*$ , a Poisson automorphism of  $\mathbb{T}$ :

$$\mathbb{S}_\sigma(\ell)^*(x_\beta) = \exp \left\{ \sum_{Z(\gamma) \in \ell} \text{DT}_\sigma(\gamma) \cdot x_\gamma, - \right\} (x_\beta) = x_\beta \cdot \prod_{Z(\gamma) \in \ell} (1 - x_\gamma)^{\Omega_\sigma(\gamma) \cdot \langle \beta, \gamma \rangle}$$

(We should replace  $\mathbb{T}$  by a torsor over it which introduces some signs.)

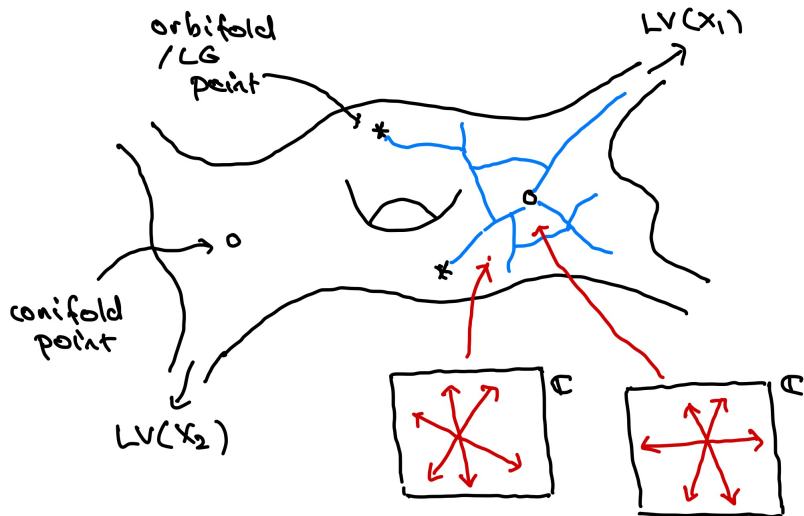
Work is required to make rigorous sense of this. Possible approaches:

- formal series: replace  $\text{Aut } \mathbb{C}[x_i^{\pm 1}]$  with  $\text{Aut } \mathbb{C}[[x_i]]$ ,
- assume that for some  $R > 0$

$$\sum_{\gamma \in \Gamma} |\Omega_\sigma(\gamma)| \cdot e^{-R|Z(\gamma)|} < \infty,$$

and use automorphisms defined on analytic open subsets of  $\mathbb{T}$ .

# Cartoon of $\text{Stab } D(X) / \text{Aut } D(X)$



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# A meromorphic connection

Consider an equation for  $Y: \mathbb{C}^* \rightarrow G = \mathrm{GL}_n(\mathbb{C})$  of the form

$$\frac{d}{d\epsilon} Y(\epsilon) = \left( \frac{U}{\epsilon^2} + \frac{V}{\epsilon} \right) Y(\epsilon)$$

with constant matrices  $U, V \in \mathfrak{g} = \mathfrak{gl}_n(\mathbb{C})$ , such that

- (i)  $U = \mathrm{diag}(u_1, \dots, u_n) \in \mathfrak{h}^{\mathrm{reg}}$  is diagonal with  $u_i \neq u_j$ ,
- (ii)  $V$  has zeroes on the diagonal.

The Stokes rays of the equation at  $\epsilon = 0$  are defined to be the rays

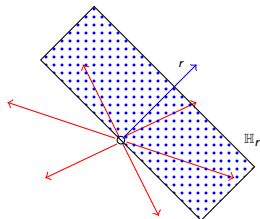
$$\mathbb{R}_{>0} \cdot (u_i - u_j) = \mathbb{R}_{>0} \cdot U(\alpha).$$

# Canonical solutions in half-planes

## Theorem

For any half-plane  $\mathbb{H}_r \subset \mathbb{C}^*$  centered on a non-Stokes ray  $r \in \mathbb{C}^*$ , there is a unique solution  $Y_r: \mathbb{H}_r \rightarrow G$  such that

$$Y_r(\epsilon) \cdot \exp(U/\epsilon) \rightarrow 1 \text{ as } \epsilon \rightarrow 0.$$

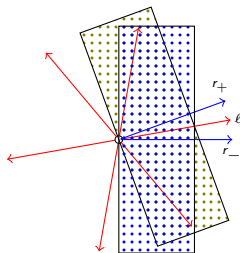


# Stokes factors

The Stokes factor  $\mathbb{S}(\ell)$  associated to a Stokes ray  $\ell$  is defined by

$$Y_{r_-}(\epsilon) = Y_{r_+}(\epsilon) \cdot \mathbb{S}(\ell), \quad \mathbb{S}(\ell) \in \exp \left( \bigoplus_{U(\alpha) \in \ell} \mathfrak{g}_\alpha \right) \subset G,$$

where  $r_\pm$  are small perturbations of  $\ell$ .



## Riemann-Hilbert problem: $G = \mathrm{GL}_n(\mathbb{C})$ setting

Suppose given  $U \in \mathfrak{h}^{\mathrm{reg}}$  and the Stokes factors  $\mathbb{S}(\ell)$ .

To reconstruct  $V$  we first construct the half-plane solutions  $Y_r(t)$ .

For each non-Stokes ray  $r \subset \mathbb{C}^*$  find  $Y_r: \mathbb{H}_r \rightarrow G$  such that

$$Y_r(\epsilon) \cdot \exp(U/\epsilon) \rightarrow 1 \text{ as } \epsilon \rightarrow 0 \text{ in } \mathbb{H}_r,$$

$$|\epsilon|^{-k} < \|Y_r(\epsilon)\| < |\epsilon|^k \text{ as } \epsilon \rightarrow \infty \text{ in } \mathbb{H}_r,$$

and if  $\Delta \subset \mathbb{C}^*$  is a convex sector with  $\partial\Delta = \{r_+\} \cup \{r_-\}$  then

$$Y_{r_-}(\epsilon) = Y_{r_+}(\epsilon) \cdot \overset{\curvearrowright}{\prod}_{\ell \in \Delta} \mathbb{S}(\ell) \text{ for } \epsilon \in \mathbb{H}_{r_+} \cap \mathbb{H}_{r_-}.$$



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## Donaldson-Thomas Riemann-Hilbert problem

Fix data  $(\Gamma, \langle -, - \rangle, Z, \Omega)$  as above, and a point  $\xi = \exp(\theta) \in \mathbb{T}$ .

Take  $G = \text{Aut}_{\{-, -\}}(\mathbb{T})$  and compose  $Y: \mathbb{C}^* \rightarrow G$  with  $\text{eval}_\xi: G \rightarrow \mathbb{T}$ .

For each non-Stokes ray  $r \subset \mathbb{C}^*$  find  $X_r: \mathbb{H}_r \rightarrow \mathbb{T}$  such that

$$X_r(\epsilon) \cdot \exp(Z/\epsilon) \rightarrow \xi \in \mathbb{T} \text{ as } \epsilon \rightarrow 0 \text{ in } \mathbb{H}_r,$$

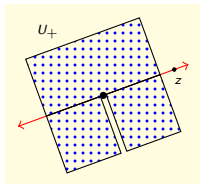
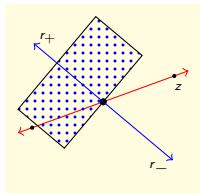
$$|\epsilon|^{-k} < \|X_r(\epsilon)\| < |\epsilon|^k \text{ as } \epsilon \rightarrow \infty \text{ in } \mathbb{H}_r,$$

and if  $\Delta \subset \mathbb{C}^*$  is a convex sector with  $\partial\Delta = \{r_+\} \cup \{r_-\}$  then

$$X_{r_-}(\epsilon) = X_{r_+}(\epsilon) \cdot \prod_{\ell \in \Delta}^{\curvearrowright} S(\ell) \text{ for } \epsilon \in \mathbb{H}_{r_+} \cap \mathbb{H}_{r_-}.$$

## Example from the introduction

- $\Gamma = \mathbb{Z}\gamma_e \oplus \mathbb{Z}\gamma_m$  with  $\langle \gamma_m, \gamma_e \rangle = 1$ ,
- $Z(\gamma_e) = z \in \mathbb{C}^*$  and  $Z(\gamma_m) = 0$ ,
- $\Omega(\pm\gamma_e) = 1$  with all other BPS invariants 0,
- Take constant term  $\theta(\gamma_e) = \theta(\gamma_m) = 0$ ,
- Jumping:  $x_e \mapsto x_e$ ,  $x_m \mapsto x_m(1 - x_e^{\pm 1})^{\pm 1}$ ,
- Then  $Y_{r, \gamma_e}(\epsilon) = e^{-z/\epsilon}$ .
- Write  $y_{\pm}(\epsilon) = Y_{r_{\pm}, \gamma_m}(\epsilon)$  for  $r_{\pm} \in \pm \text{Im}(\epsilon/z)$ .
- Get the RH problem from the introduction.



## Another non-perturbative partition function

Let  $X$  be the resolved conifold.

Take  $\mathcal{D} = D_c^b \text{Coh}(X)$ .

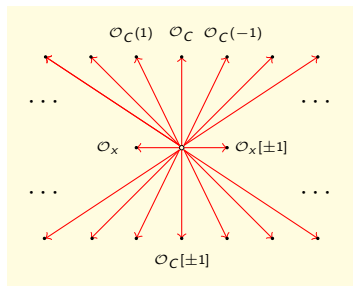
Set  $Z(\mathcal{O}_C) = v$  and  $Z(\mathcal{O}_X) = w$ .

$$\log \tau(v, w, \epsilon) = \int_{\mathbb{R} + i\delta} \frac{e^{vs} - 1}{e^{ws} - 1} \cdot \frac{-e^{\epsilon s}}{(e^{\epsilon s} - 1)^2} \cdot \frac{ds}{s} \\ + \left( \frac{w}{2\pi i \epsilon} \right)^2 \left( \text{Li}_3(e^{2\pi i v/w}) - \zeta(3) \right) + \frac{\pi i v}{12w}.$$

As  $\epsilon \rightarrow 0$  there is an asymptotic expansion

$$\log \tau(v, w, \epsilon) \sim (\dots) + \sum_{g \geq 2} \frac{B_{2g}}{2g(2g-2)!} \left( \text{Li}_{3-2g}(e^{2\pi i v/w}) + \frac{B_{2g-2}}{2g-2} \right) \left( \frac{2\pi i \epsilon}{w} \right)^{2g-2}.$$

The  $g \geq 2$  terms match the GW generating function.



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## Joyce ( $\approx$ non-linear Frobenius) structure

Let  $M$  be a complex manifold with tangent bundle  $\pi: X = T_M \rightarrow M$ .

$$\begin{array}{ccccccc} 0 & \longrightarrow & \ker(\pi_*) & \xrightarrow{i} & T_X & \xrightarrow{\pi_*} & \pi^*(T_M) \longrightarrow 0 \\ & & & & & \swarrow h & \\ & & & & & & \searrow \nu \end{array}$$

There is a canonical isomorphism  $\nu: \pi^*(T_M) \rightarrow \ker(\pi_*)$ . Set  $\nu = i \circ \nu$ .

A non-linear connection on  $\pi$  is a splitting  $h: \pi^*(T_M) \rightarrow T_X$ .

### Definition

A pre-Joyce structure on  $M$  consists of

- (i) a holomorphic symplectic form  $\omega$ ,
- (ii) a non-linear connection  $h$  on  $\pi: X = T_M \rightarrow M$ ,

such that for each  $\epsilon \in \mathbb{C}^*$  the connection  $h_\epsilon = h + \epsilon^{-1}\nu$  is flat and symplectic.

## Joyce structures from the DT RH problem

The RH problem depends on  $\sigma \in M = \text{Stab}(\mathcal{D})$  and  $\xi \in \mathbb{T}$ .

Recall that  $T_\sigma M = \text{Hom}_{\mathbb{Z}}(\Gamma, \mathbb{C})$  and  $\mathbb{T} = \text{Hom}_{\mathbb{Z}}(\Gamma, \mathbb{C}^*)$ .

If the RH problem had unique solutions we would get maps

$$Y_r(\epsilon): X = T_M \rightarrow \mathbb{T}.$$

Then we could define  $\text{im}(h_\epsilon) = \ker(dY_r(\epsilon)) \subset T_X$ .

A Joyce structure has extra compatibilities with

- (i) integral affine structure  $T_M^{\mathbb{Z}} \subset T_M$
- (ii)  $\mathbb{C}^*$ -action on  $M$ ,
- (iii)  $-1: T_M \rightarrow T_M$

This is the expected geometric structure on  $M = \text{Stab}(\mathcal{D})$ .

Given (slightly murky) extra data we can define an appropriate  $\tau: X \rightarrow \mathbb{C}$ .

## Categories of class $S[A_1]$

### Theorem (Ivan Smith, TB)

Fix  $g \geq 0$ , and  $m = (m_1, \dots, m_d)$ , with  $d \geq 1$  and  $m_i \geq 3$ . Then there is a  $CY_3$  triangulated category  $\mathcal{D} = \mathcal{D}(g, m)$  such that

$$\text{Stab } \mathcal{D}(g, m) / \text{Aut } \mathcal{D}(g, m) \cong \text{Quad}(g, m),$$

where  $\text{Quad}(g, m)$  parameterizes pairs  $(C, q)$ , with  $C$  a compact Riemann surface of genus  $g$ , and  $q = q(x)dx^{\otimes 2}$  a quadratic differential on  $C$  having  $d$  poles of order  $m_i$ , and simple zeroes.

The category  $\mathcal{D}(g, m)$  embeds in the derived Fukaya category of a non-compact CY threefold  $\pi: X \rightarrow C$  defined locally by

$$X = \{u^2 + v^2 + w^2 = q(x)\} \subset \mathbb{C}^3 \times C.$$

The theorem was extended to the holomorphic case  $d = 0$  by Haiden.



## Joyce structures for categories of class $S[A_1]$

Can construct a natural Joyce structure on  $\text{Quad}(g, m)$ .

Holomorphic case on arxiv, meromorphic extension forthcoming [Menelaos Zikidis].

Geometric construction: moduli of bundles with connections and Higgs fields.

Involves isomonodromic deformations for connections of form  $\nabla - \epsilon^{-1}\Phi$ .

Like a complexification of the Hitchin system, but much simpler (e.g. algebraic).

In simple cases, e.g.  $g = 0, m = (7)$ , we have explicit formulae.

The  $\tau$ -function is an isomonodromy  $\tau$ -function (e.g. Painlevé I).

# Cluster construction of twistor space in ADE type

Geometric approach to the RH problem joint with Helge Ruddat.

Quotient  $X = T_M$  by the integrable distribution  $\text{im}(h_\epsilon) \subset T_X$ .

Varying  $\epsilon \in \mathbb{P}^1$  we get a twistor space  $q: Z \rightarrow \mathbb{P}^1$ .

The central fibre  $Z_0$  is  $M = \text{Stab}(\mathcal{D})$ .

For a quiver  $Q$  of ADE type we give a cluster-theoretic construction of  $Z$ .

The generic fibre is an étale cover of the cluster Poisson variety.

Glue together cells  $\{(w_i) \in \mathbb{C}^n : \text{Im}(w_i/\epsilon) \geq 0\}$  by maps

$$w_j \mapsto \begin{cases} w_j + \epsilon \cdot \log(1 + e^{+w_i/\epsilon})^{v_{ij}} & \text{if } i \neq j \text{ and } v_{ij} \geq 0 \\ w_j + \epsilon \cdot \log(1 + e^{-w_i/\epsilon})^{v_{ij}} & \text{if } i \neq j \text{ and } v_{ij} \leq 0 \\ -w_i & \text{if } i = j. \end{cases}$$

The solutions to the RH problem are then twistor lines!