



# Cyclic $p$ -Extensions of $\mathbb{Z}_p$ -Fields

Jordan Schettler

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Schettler

Class  
Numbers and  
Analogies

Iwasawa's  
'Hurwitz'  
Formula

Generalizations

**1** Class Numbers and Analogies

**2** Iwasawa's 'Hurwitz' Formula

**3** Generalizations

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- Liouville pointed out that this was false, but Kummer turned Lamé's ideas into a partial proof when  $p$  is **regular**, i.e.,  $p$  does not divide the class # of  $\mathbb{Q}(\zeta_p)$ .
- Actually, there are infinitely many **irregular** primes  $p$ , i.e.,  $p$  does divide the class # of  $\mathbb{Q}(\zeta_p)$ .

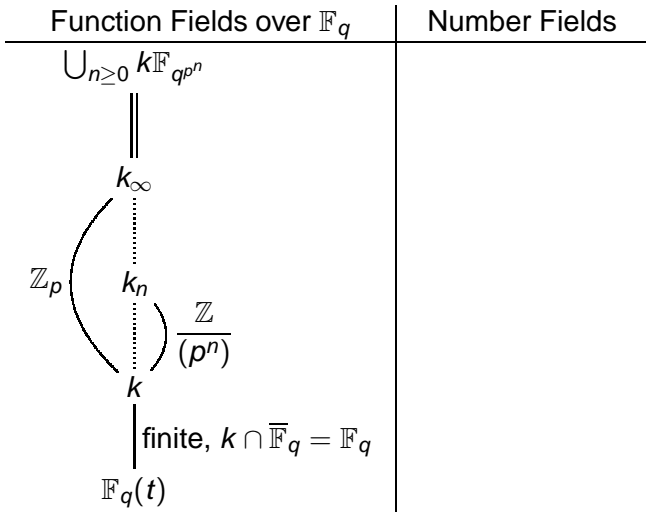
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# Constructing $\mathbb{Z}_p$ -Extensions

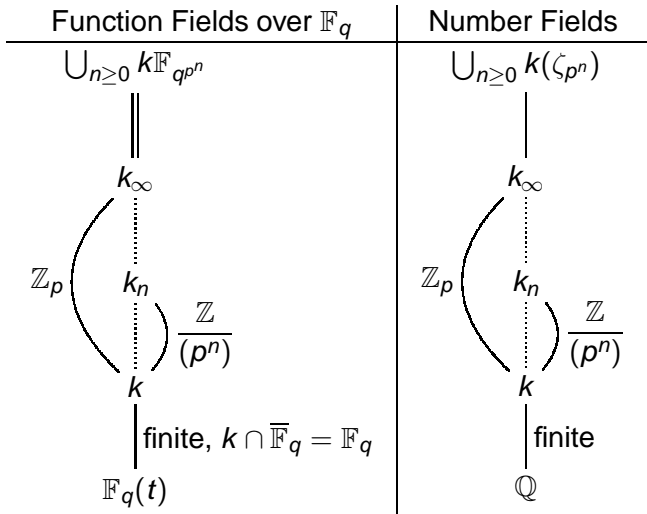
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Function Fields over  $\mathbb{F}_q$

$h_n :=$  class # of  $k_n$

$$p^{e_n} \parallel h_n$$

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Thm (Weil, early 1950s)

$$\exists \lambda, \nu \in \mathbb{Z} \text{ s.t.}$$

$$e_n = \lambda n + \nu$$

$$\forall n \gg 0$$

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Thm (Iwasawa, late 1950s)

$$\exists \lambda, \mu, \nu \in \mathbb{Z} \text{ s.t.}$$

$$e_n = \lambda n + \mu p^n + \nu$$

$$\forall n \gg 0$$

## Conjecture (Iwasawa)

*We have  $\mu = 0$  for every cyclotomic  $\mathbb{Z}_p$ -extension  $k_\infty/k$  with  $k$  a number field.*

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## Theorem (Iwasawa, 1973)

*There are non-cyclotomic  $\mathbb{Z}_p$ -extensions with  $\mu \neq 0$ , but  $\mu(k_\infty/k) = 0 \Rightarrow \mu(k'_\infty/k') = 0$  for every  $p$ -extension  $k'/k$ .*

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## Theorem (Ferrero and Washington, 1979)

*We have  $\mu(k_\infty/k) = 0$  for every abelian number field  $k$ .*

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Function Fields over $\overline{\mathbb{F}}$	$\mathbb{Z}_p$ -Fields
$K$ $\left  \text{finite} \right.$ $\overline{\mathbb{F}}(t)$	$K = k_\infty$ $\left  \text{finite} \right.$ $\mathbb{Q}_\infty$

Function Fields over  $\overline{\mathbb{F}}$

$$\begin{array}{c} K \\ | \text{finite} \\ \overline{\mathbb{F}}(t) \end{array}$$

$\exists$  projective curve  $X_K/\overline{\mathbb{F}}$ :  
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$\mathbb{Z}_p$ -Fields

$$\begin{array}{c} K = k_\infty \\ | \text{finite} \\ \mathbb{Q}_\infty \end{array}$$

$X_K = \text{Spec}(\mathcal{O}_K[1/p])$ ,  $\dim = 1$ :  
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Function Fields over $\overline{\mathbb{F}}$	$\mathbb{Z}_p$ -Fields
$  \begin{array}{c}  K \\    \text{finite} \\  \overline{\mathbb{F}}(t)  \end{array}  $	$  \begin{array}{c}  K = k_\infty \\    \text{finite} \\  \mathbb{Q}_\infty  \end{array}  $
$\exists$ projective curve $X_K/\overline{\mathbb{F}}$ : reg, int scheme, fct fld $K$	$X_K = \text{Spec}(\mathcal{O}_K[1/p])$ , $\dim = 1$ : reg, int scheme, fct fld $K$
$\text{char}(\mathbb{F}) \neq p \Rightarrow$	$\mu(K/k) = 0 \Rightarrow$
$\text{Pic}(X_K)[p^\infty] \cong (\mathbb{Q}_p/\mathbb{Z}_p)^{2g_K}$	$\text{Pic}(X_K)[p^\infty] \cong (\mathbb{Q}_p/\mathbb{Z}_p)^{\lambda_K}$

Theorem (Hurwitz Formula, 1890s: function fields over  $\overline{\mathbb{F}}$ )

$\text{char}(\mathbb{F}) \neq p, \text{Gal}(L/K) \cong \mathbb{Z}/(p) \Rightarrow$

$$2g_L = p2g_K - (p-1)2 + \sum_{x \in X_L} (e_x - 1)$$

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**Theorem (Iwasawa's Formula, 1981:  $\mathbb{Z}_p$ -fields)**

$\mu(K/k) = 0, \text{Gal}(L/K) \cong \mathbb{Z}/(p) \Rightarrow$

$$\lambda_L = p\lambda_K - (p-1)\chi_{L/K} + \sum_{x \in X_L} (e_x - 1)$$

where  $\chi_{L/K} \in \mathbb{Z}$ .

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where  $\chi_{L/K} \in \mathbb{Z}$ .

Note:  $2g_L \equiv 2g_K \pmod{p-1}$  and  $\lambda_L \equiv \lambda_K \pmod{p-1}$

## Corollary (Ferrero, 1980; Kida, 1979)

$p = 2$ : Let  $L/K = \mathbb{Q}_\infty(\sqrt{-d})/\mathbb{Q}_\infty$  with  $d > 2$  a squarefree integer. Then

$$\lambda_L = -1 + \sum_{x \in X_L} (e_x - 1)$$

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## Corollary (S., 2010)

The same computation holds if we replace  $\mathbb{Q}$  in the above with  $k \subseteq \mathbb{Q}(\zeta_{F^2})$  such that  $F = [k : \mathbb{Q}] \in \{3, 5, 17, 257\}$ .

## Corollary (Kida, 1979)

$p > 2$ : Let  $L/K$  be CM  $\mathbb{Z}_p$ -fields,  $\text{Gal}(L/K) \cong \mathbb{Z}/(p)$ . Then

$$\lambda_L^- = p\lambda_K^- - (p-1)\delta + \sum^-(e_x - 1)$$

where

$$\delta = \chi_{L/K} - \chi_{L^+/K^+} = \begin{cases} 1 & \text{if } \zeta_p \in K \\ 0 & \text{if } \zeta_p \notin K \end{cases}$$

and  $\lambda_L^- = \lambda_L - \lambda_{L^+}$ , etc., with the assumption  $\mu_K^- = 0$ .

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Let  $K_n$  be the function field of the curve over  $\mathbb{C}$  given by

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Then  $K_n/K_{n-1}$  is cyclic of order  $p$ , so

$$2g_{K_n} \equiv 2g_{K_{n-1}} \pmod{p-1}$$

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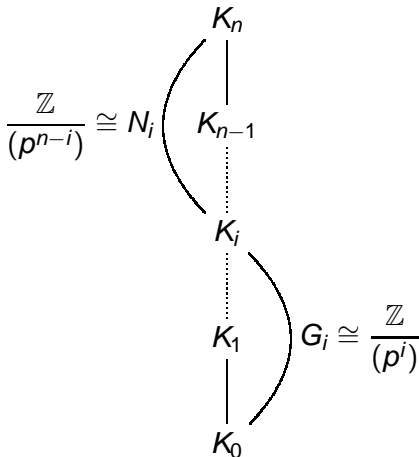
Then  $K_n/K_{n-1}$  is cyclic of order  $p$ , so

$$2g_{K_n} \equiv 2g_{K_{n-1}} \pmod{p-1}$$

but, in fact,  $2g_{K_i} = (p^i - 1)(p^i - 2)$  and

$$(p^n - 1)(p^n - 2) \equiv (p^{n-1} - 1)(p^{n-1} - 2) \pmod{p^{n-1}(p-1)}$$

Let  $K_0 = k_\infty$  with  $\mu(K_0/k) = 0$ . Consider a tower:



## Definition

For  $G = G_i$  or  $N_i$  and  $M$  a  $G$ -module we define  $\chi_G(M)$  by

$$\frac{|H^2(G, M)|}{|H^1(G, M)|} = p^{\chi_G(M)}$$

when these quantities are finite.

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## Definition

$I_{K_i} :=$  invertible fractional ideals of  $\mathcal{O}_{K_i}$

$P_{K_i} \leq I_{K_i}$  subgroup of principals

$C_{K_i} := I_{K_i}/P_{K_i}$

## Theorem (S., 2009)

$$\frac{\lambda_{K_n} - p^n \lambda_{K_0}}{p-1} = p^{n-1} \chi_{G_n}(C_{K_n}) - \sum_{i=1}^{n-1} \varphi(p^i) \chi_{G_i}(C_{K_i})$$

## Theorem (S., 2009)

$$\begin{aligned} \frac{\lambda_{K_n} - p^n \lambda_{K_0}}{p-1} &= p^{n-1} \chi_{G_n}(C_{K_n}) - \sum_{i=1}^{n-1} \varphi(p^i) \chi_{G_i}(C_{K_i}) \\ &= \frac{p^n}{np - n + 1} \chi_{N_0}(C_{K_n}) + \sum_{i=1}^{n-1} \frac{p^i(p-1) \chi_{N_{n-i}}(C_{K_n})}{(ip - i + p)(ip - i + 1)} \end{aligned}$$

## Corollary

$$\sum_{i=0}^{n-1} \varphi(p^i) \lambda_{K_{n-i}} = p^{n-1} (1 + n(p-1)) \lambda_{K_0} + \varphi(p^n) \chi_{G_n}(C_{K_n})$$



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$$p \nmid n-1 \Rightarrow \lambda_{K_n} \equiv \sum_{i=1}^{n-1} \frac{p^i (p-1)^2 \chi_{N_{n-i}}(C_{K_n})}{(ip-i+p)(ip-i+1)} \pmod{p^n}$$

## Theorem (S., 2011)

Suppose  $K_n/K_0$  is unramified at every infinite place and that  $K_0 = k_\infty$  for a number field  $k$  s.t.  $k$  has exactly one prime above  $p$  and  $p \nmid$  class # of  $k$ . Then

$$\lambda_{K_n} = 0 \Leftrightarrow \text{ord}_p \left| \frac{I_{K_n}^{G_n} P_{K_n}}{I_{K_0} P_{K_n}} \right| = 0.$$

Note: T. Fukuda et al proved the  $n = 1$  case in 1997 using Iwasawa's formula.

## Theorem (S., 2011)

Let  $\pi_{K_n/K_0}$  be the representation corresponding to the  $\mathbb{Q}_p G_n$ -module  $\text{Hom}_{\mathbb{Z}_p}(\mathbb{C}_{K_n}[p^\infty], \mathbb{Q}_p/\mathbb{Z}_p) \otimes_{\mathbb{Z}_p} \mathbb{Q}_p$ . Then we have the following decomposition:

$$\pi_{K_n/K_0} \cong \lambda_{K_0} \pi_{G_n} \oplus \bigoplus_{i=1}^n (\chi_{G_i}(\mathbb{C}_{K_i}) - \chi_{G_{i-1}}(\mathbb{C}_{K_{i-1}})) \pi_{\varphi(p^i)}$$

where  $\pi_{G_n}$  is the regular representation and  $\pi_d$  is the faithful, irreducible representation of degree  $d \in \{\varphi(p), \dots, \varphi(p^n)\}$ .

Note: Comparing degrees of both sides recovers a generalized Iwasawa's formula.