

# Lecture 1

office hours and makeup lecture fall

CS 117, S24

Johnsonbaugh and Pfaffenberger (J & P)

Ch. 1: Sets and Functions

(See also Tao, Analysis I, for a detailed, set-theoretic presentation)

"A set is any collection of objects"

Ex:  $\{1, 3, 4\}$ ,  $\{x : x \in \mathbb{R} \text{ and } x^2 - 1 = 0\}$   
 $\mathbb{N}, \mathbb{Q}, \mathbb{Z}, \mathbb{R}$

Def: Given a set  $X$ , let  $2^X$  denote the collection of all subsets of  $X$ .

Def: Given  $\mathcal{A} \subseteq 2^X$ , let

$$\begin{aligned} \bigcup \mathcal{A} &= \{x : x \in A \text{ for some } A \in \mathcal{A}\} \\ &= \bigcup_{A \in \mathcal{A}} A \end{aligned}$$

Def: Given sets  $X$  and  $Y$  a function from  $X$  into  $Y$  denoted  $f: X \rightarrow Y$  is a subset  $f \subseteq X \times Y$

satisfying

- (i)  $(x, y) \in f, (x, y') \in f \Rightarrow y = y'$
- (ii)  $x \in X \Rightarrow (x, y) \in f$  for some  $y \in Y$

□  $X$  is the domain,  $Y$  is the codomain

▷ If  $(x, y) \in f$ , write  $f(x) = y$ .

□ Given  $A \subseteq X$ ,  $f(A) := \{f(x) : x \in A\}$

is the image of  $A$  under  $f$

□  $f(X)$  is the range of  $f$

□ Given  $B \subseteq Y$ ,  $f^{-1}(B) := \{x : f(x) \in B\}$

is the preimage of  $B$  under  $f$

Thm: Given  $f: X \rightarrow Y$ ,  $\mathcal{A} \subseteq 2^X$ ,  $\mathcal{C} \subseteq 2^Y$

(i)  $f(\cup \mathcal{A}) = \cup \{f(A) : A \in \mathcal{A}\}$

(ii)  $f^{-1}(\cup \mathcal{C}) = \cup \{f^{-1}(C) : C \in \mathcal{C}\}$

(iii)  $f^{-1}(\cap \mathcal{C}) = \cap \{f^{-1}(C) : C \in \mathcal{C}\}$

(iv)  $C \subseteq Y$ ,  $f^{-1}(C^c) = (f^{-1}(C))^c$

Pf: iPad deleted what I wrote; see textbook

Rmk: In general,  
(a)  $f(\bigcap cA) \neq \bigcap \{f(A) : A \in cA\}$   
(b)  $f(A^c) \neq (f(A))^c$ , for  $A \subseteq X$

Consider

$$X = Y = \{1, 2, 3\}$$

$$f(x) = 1 \quad \forall x \in X$$

$$cA = \{\{1\}, \{2\}\} \rightarrow \text{this shows (a) above}$$

$$A = \{3\} \rightarrow \text{this shows (b) above}$$

## Ch2: The Real Number System

Def: A binary operation on a set  $X$  is a function from  $X \times X \rightarrow X$ .

Def: A field  $F$  is a set with

[A1] a binary operation called addition

[A2]  $(x+y)+z = x+(y+z) \quad \forall x, y, z \in F$

[A3]  $x+y = y+x \quad \forall x, y \in F$

[A4]  $\exists 0 \in F$  s.t.  $x+0 = x, \forall x \in F$

[A5]  $\forall x \in F, \exists! y \in F$  s.t.  $x+y = 0$   
we will denote as  $-x$

[M1] a binary operation called mult.

[M2]  $(xy)z = x(yz) \quad \forall x, y, z \in F$

[M3]  $xy = yx$

[M4]  $\exists 1 \in F \setminus \{0\}, x1 = x \quad \forall x \in F$

[M5]  $\forall x \in F \setminus \{0\}, \exists! y \in F$  s.t.  $xy = 1$ .

we will denote as  $x^{-1}$  or  $\frac{1}{x}$

[DL]  $x(y+z) = xy + xz, \quad \forall x, y, z \in F$

Def: An ordered field is a field satisfying

① There is a subset  $P$  of  $F$  called the positive numbers satisfying

(i)  $x, y \in P \Rightarrow x+y \in P$  and  $xy \in P$

(ii)  $\forall x \in F$  exactly one of the following holds:

$$x \in P, \quad x = 0, \quad -x \in P$$

Given  $x, y \in F$

□  $x$  is negative if  $-x \in P$

□  $x > y$  iff  $x - y \in P$

□  $x \geq y$  if either  $x > y$  or  $y = x$

Ex:  $\mathbb{R}, \mathbb{Q}$

Def: Given an ordered field  $F$  and a nonempty set  $X \subseteq F$

- $a \in F$  is an upper bound of  $X$  if  $x \leq a \quad \forall x \in X$
- $X$  is bounded above if an upper bound exists.

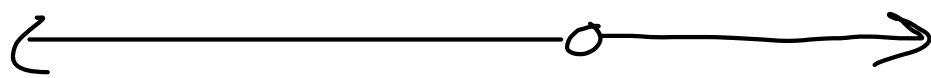
- $a \in F$  is the supremum of  $X$  if  
(i)  $a$  is an upper bound for  $X$   
(ii) for any upper bound  $a'$  of  $X$ ,  $a \leq a'$ .

Def: The real numbers  $\mathbb{R}$  is the ordered field s.t.

$\forall X \subseteq \mathbb{R}, X \neq \emptyset, X$  bounded above the supremum of  $X$  exists.

Thm:  $\mathbb{R}$  exists.

Remark: "R has no holes"



Def: The natural numbers  $\mathbb{N}$  is the smallest subset of  $\mathbb{R}$  having the properties that  
 $(i) 1 \in \mathbb{N}$  and  $(ii) n \in \mathbb{N} \Rightarrow n+1 \in \mathbb{N}$

↳ "smallest" is in the sense of set inclusion

Thm:

- (a) If  $\mathcal{A} \subseteq \mathcal{Z}^{\mathbb{R}}$  is the collection of  $A \subseteq \mathbb{R}$  s.t. (i) and (ii) hold, then  $\bigcap \mathcal{A}$  satisfies (i) and (ii).
- (b)  $\mathbb{N} = \bigcap \mathcal{A}$ . In particular,  $\mathbb{N}$  exists.

Pp:

(a) Since  $A$  satisfies (i)  
 $\forall A \in \mathcal{A}, 1 \in \bigcap \mathcal{A}$ . If  
 $n \in \bigcap \mathcal{A}$ , then  $n \in A \forall A \in \mathcal{A}$ ,  
so  $n+1 \in A \forall A \in \mathcal{A}$ . Hence  
 $n+1 \in \bigcap \mathcal{A}$ .

(b)  $\ddot{\smile}$