

1 Symmetry-breaking in cumulative measures of shapes of polymer models

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9 Using numerical simulations we investigate shapes of random equilateral open and closed chains,
10 one of the simplest models of freely fluctuating polymers in a solution. We are interested in the 3D
11 density distribution of the modeled polymers where the polymers have been aligned with respect to
12 their three principal axes of inertia. This type of approach was pioneered by Theodorou and Suter
13 in 1985. While individual configurations of the modeled polymers are almost always nonsymmetric,
14 the approach of Theodorou and Suter results in cumulative shapes that are highly symmetric. By
15 taking advantage of asymmetries within the individual configurations, we modify the procedure of
16 aligning independent configurations in a way that shows their asymmetry. This approach reveals, for
17 example, that the 3D density distribution for linear polymers has a bean shape predicted
18 theoretically by Kuhn. The symmetry-breaking approach reveals complementary information to the
19 traditional, symmetrical, 3D density distributions originally introduced by Theodorou and Suter.
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22 I. INTRODUCTION

23 Freely jointed equilateral chains provide simple models
24 used to study polymer behavior.^{1–19} While one can generate
25 millions of independent configurations and visualize them
26 individually, difficulty arises when one wants to study the
27 aggregation of many configurations to obtain cumulative
28 measures of polymer shape. The cumulative shapes depend
29 on the way the aggregation of the coordinates is accom-
30 plished. A conceptually simple approach is to collect coordi-
31 nates of many independent polymer configurations and then
32 translate the coordinates of each configuration so that their
33 centers of mass coincide with the origin. Using such an ap-
34 proach, the integrated shape of the fluctuating polymer mol-
35 ecules is spherical and its principal characteristic can be ex-
36 pressed by the radius of gyration, revealing the average
37 spatial extension of the modeled chain. Its standard deviation
38 characterizes its fluctuations over time.

39 A more sophisticated approach is to use the coordinate
40 system based on the three principal axes of inertia deter-
41 mined for each given configuration. The configurations are
42 rotated so that their principal axes of inertia coincide. Such
43 an approach breaks the spherical symmetry and shows that
44 the average shapes of linear polymers can be approximated
45 as prolate ellipsoids.^{15,20} Interestingly, for a given form of a
46 polymer (e.g., unbranched linear polymer) and given solvent
47 conditions (e.g., Θ solvent, where the segments of the poly-
48 mer neither attract nor repel each other), the ratios between
49 the three principal moments of inertia rapidly approach a

universal (i.e., independent of the particular chemistry of a
50 given polymer) asymptotic value as the polymer length
51 increases.²¹ Although the time-averaged three principal mo-
52 ments of inertia and their respective standard deviations give
53 us a more detailed description of the time-cumulative shapes
54 of polymers, using these measures alone does not reveal how
55 the density of states is distributed within the time-cumulated
56 shapes with ellipsoidal symmetry.
57

Theodorou and Suter²² (TS) introduced an approach to
58 investigate the distribution of mass density within accumu-
59 lated polymer configurations aligned with respect to their
60 three principal axes of rotation. The density distribution was
61 quite complex and showed a low density region around the
62 center of mass of the aggregated configurations. In addition,
63 the three-dimensional density maps obtained by TS are
64 highly symmetric. We know, however, that individual con-
65 figurations of freely fluctuating polymers are almost never
66 symmetric. Our goal is to construct density maps that reveal
67 this asymmetry. For example, if the TS procedure were ap-
68 plied to aggregate the coordinates of thousands of eggs, the
69 resulting cumulated shape would not resemble an ovoid but
70 rather an ellipsoid since the symmetry along the principal
71 axis would not have been broken. It is relatively simple to
72 align ovoids along their three principal axes of rotation in
73 such a way that the aggregated shape does resemble an
74 ovoid. For example, upon aligning the ovoids along their
75 three principal axes of rotation, one defines the positive prin-
76 cipal axis as the direction for which the extension is largest.
77 We have applied this principle of symmetry-breaking in gen-
78 erating three-dimensional (3D) mass density maps of cumu-
79 lative configurations for six-segment long equilateral chains
80 with four different topologies: linear chains, unknotted
81 circles, and right- and left-handed trefoil knots.
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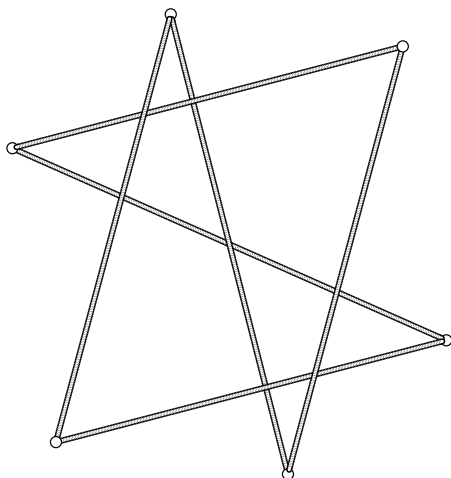


FIG. 1. An equilateral hexagonal trefoil knot in R^3 .

83 II. DATA GENERATION

84 The linear chains were generated by joining six random
85 unit vectors. The equilateral hexagonal polygons were
86 sampled using the hedgehog method.²³ In this algorithm an
87 initial configuration is generated by randomly selecting three
88 unit vectors and adding their negatives to give a collection of
89 six unit vectors whose sum is zero. The collection is then
90 subjected to a sequence of independent moves given by ran-
91 domly selecting two vectors of the six, randomly rotating the
92 vectors about the axis determined by their sum, and replac-
93 ing the two vectors by the two resulting vectors. These
94 moves have been rigorously proved to be ergodic.²⁴ The only
95 topological forms that can be produced with six edges are the
96 unknot and left- and right trefoils (see Fig. 1).²⁵

97 The resulting open or closed chain configuration is then
98 rigidly moved to standard position as follows: First one cal-
99 culates the center of mass of the conformation and translates
100 the configuration so that its center of mass coincides with the
101 origin. Next one determines the three principal axes of rota-
102 tion assuming that the mass of the polygons is equally redis-
103 tributed among its vertices. These axes are defined as the
104 eigenvectors of the gyration tensor. Since the gyration tensor
105 is symmetric, the three eigenvectors are mutually orthogonal.
106 The eigenvector corresponding to the largest eigenvalue is
107 aligned with the x -axis. Of course, there are two possible
108 orientations, and this is where our work diverges from the
109 work of TS. We choose the positive axis to be the one which
110 gives a positive x -coordinate value to the vertex with the
111 highest absolute value of x . Next, holding the x -axis fixed,
112 one rotates the configuration so that the eigenvector corre-
113 sponding to the second largest eigenvalue coincides with the
114 y -axis and is oriented so that the vertex with the highest
115 absolute value of y has a positive y -component. Notice that
116 the orientation of the third eigenvector cannot be changed
117 without also changing the previously selected orientation of
118 the x - or y -axis. Therefore, the vertex with highest absolute
119 value in the z -coordinate may have a positive or negative
120 z -value. We call this alignment the *symmetry-breaking align-*
121 *ment* (SBA). In the work of TS, the configurations are
122 aligned so that the principal axes coincide with the coordi-
123 nate axes, without the additional SBA step of ensuring that

the largest x - and y -values are positive for each configura-
tion.

The final collection of configurations is separated into
linear chains, unknotted circles, and right- and left-handed
trefoil knots. For the circular chains, the discrimination of
individual knot types was achieved by calculating the HOM-
FLYPT polynomial.^{26,27} For each of these four collections,
the 3D vertex mass density distributions is determined (as-
suming unit masses at each of the six vertices). These density
distributions determine equidensity surfaces in 3D-space that
characterizes the average shape of these four classes of
chains.²² We use a scaling with respect to the maximum den-
sity (ρ_{\max}) as originally proposed by TS. We consider six
such nested surfaces with values $0.03\rho_{\max}$, $0.10\rho_{\max}$,
 $0.25\rho_{\max}$, $0.35\rho_{\max}$, $0.5\rho_{\max}$, and $0.75\rho_{\max}$, respectively, for
each of the types of chains and alignment algorithms.

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III. RESULTS

A. Effect of symmetry imposing and SBA on linear chains and unknotted circular chains

In Fig. 2, we compare the 3D density distribution of
vertices of random six-segment long equilateral linear chains
when 1 000 000 independent configurations are aligned
along their three principal axes of inertia using the TS
method²² [Fig. 2(a)] and using the SBA method [Fig. 2(b)].
The 3D density distribution is visualized using surfaces that
approximate the boundary of the volume enclosing voxels
with a given density of vertex points. As these surfaces are
nested, we present them separately starting from isodensity
surfaces connecting voxels with a low occupation rate (left
side of Fig. 2) and ending with the isodensity surfaces join-
ing voxels with a high density of occupation (right side of
Fig. 2). In the case of the alignment procedure proposed by
TS [Fig. 2(a)] the shapes traced by isodensity surfaces are
highly symmetric and show 180° symmetry about the three
principal axes and mirror symmetry with respect to the three
coordinate planes. These highly symmetric forms were de-
scribed as *bar of soap* shapes by TS. The SBA procedure
leads to a shape with a much lower degree of symmetry
although still having a mirror symmetry with respect to
 xy -plane. Interestingly, the shape resembles a bean, as was
predicted for random linear chains by Kuhn in 1934.⁶ Kuhn
used probabilistic arguments to reveal that the average shape
of a random polygonal chain breaks the spherical symmetry
and can be better approximated by the shape of a bean.

Figure 3 shows the comparison of 3D density distribu-
tions for 200 000 independent configurations of unknotted
random hexagons when they are aligned using the symmetry
imposing (TS) and SBA. Focusing first on the symmetries,
we see the same principal features as in Fig. 2, i.e., highly
symmetric bar of soap shapes using the TS method and bean
shapes with one mirror plane when using the SBA method.
With regard to shape descriptors such as the overall size and
proportions, we see that the circular chains are more compact
than linear chains, as would be expected intuitively. The
scale bar on the figures shows the individual segment length.

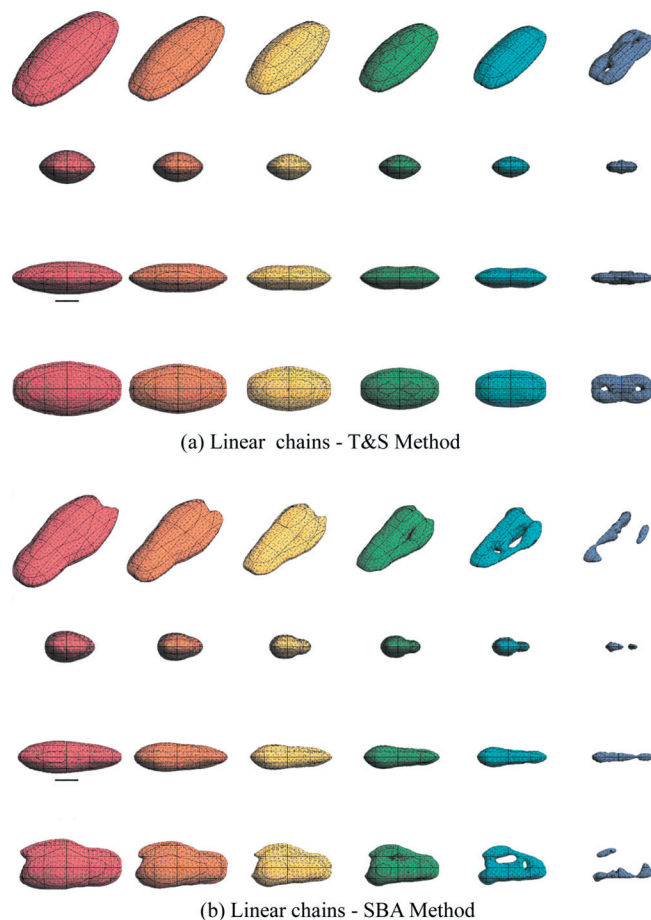


FIG. 2. This figure contains the density isosurfaces for the six-segment long linear chains using the two alignment procedures. The leftmost surfaces engulf the regions with smaller vertex densities and the rightmost surfaces engulf the regions with higher vertex densities. The densities shown are $0.03\rho_{\max}$, $0.10\rho_{\max}$, $0.25\rho_{\max}$, $0.35\rho_{\max}$, $0.5\rho_{\max}$, and $0.75\rho_{\max}$, respectively, where ρ_{\max} is the maximum voxel density within this class of chains (linear) using the designated alignment method. The top row shows an angled view followed by a view along each of the three principal axes. The black bar on the left below the third column is the length of one edge segment.

179 B. Effect of symmetry imposing and SBA 180 on polygons forming chiral knots

181 Using the SBA procedure, we decrease the order of sym-
182 metry of the surfaces for unknotted random polygons. How-
183 ever, we still have one mirror plane. A natural way of under-
184 standing the remaining mirror symmetry is that any
185 configuration of an unknot is as likely as its mirror image.
186 For chiral objects, such as polygons or polymers forming
187 chiral knots, there will be no mirror plane symmetry.

188 Trefoil knots are chiral and they have right- and left-
189 handed forms that are not topologically interconvertible. We
190 applied the two alignment procedures to see how the chiral
191 nature of random trefoil knot configurations affects the cu-
192 mulative shapes. Figure 4 compares the 3D density distribu-
193 tion of vertices of random hexagons forming right-handed
194 trefoil knots using the alignment method of TS [Fig. 4(a)]
195 and using the SBA method [Fig. 4(b)]. It is quite apparent
196 that the alignment method of TS produces a 3D density dis-
197 tribution with a high order of symmetry, i.e., having 180°
198 rotational symmetry with respect to each of the three princi-
199 pal axes. Interestingly, despite this symmetry, the aggregated

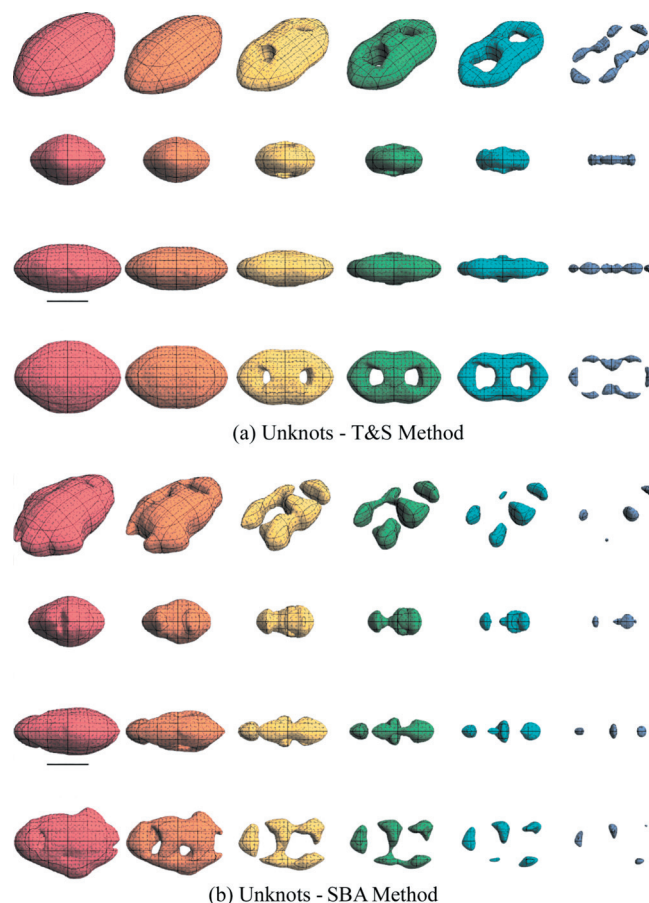


FIG. 3. This figure contains the density isosurfaces for the vertex sets of hexagonal unknots. The densities shown are the same as in Fig. 2.

shape is chiral. In Fig. 4(a), the density surfaces are not
invariant under mirror reflection through the coordinate
planes, which demonstrate their chirality. Note, however,
they are invariant under a 180° rotation about the coordinate
axes. The SBA images provide strong evidence of this chiral-
ity.

Looking at the overall size of surfaces relative to the
edge length bars in each image, we see that both alignment
procedures (i.e., TS and SBA) reveal that the cumulative
shapes of trefoil knots are most compact, followed by sur-
faces for the unknotted polygons and open chains.

To verify that the symmetry imposing and SBAs are real
signatures of chirality in the trefoil configurations, we ana-
lyzed the 3D density distributions for hexagons forming left-
handed trefoils (see the supplementary materials).²⁸ Indeed
the aggregated shapes are mirror symmetric to those shown
in Fig. 4.

IV. CONCLUSIONS

We have presented a new method of aligning individual
configurations of random chains such as those realized by
momentary configurations of thermally fluctuating polymer
molecules in a solution. Our method uses the intrinsic asym-
metry of individual configurations to specifically orient them
along their respective three principal axes of rotation. The
SBA alignment procedure performed for random configura-
tions of amphichiral character (i.e., linear and unknotted cy-

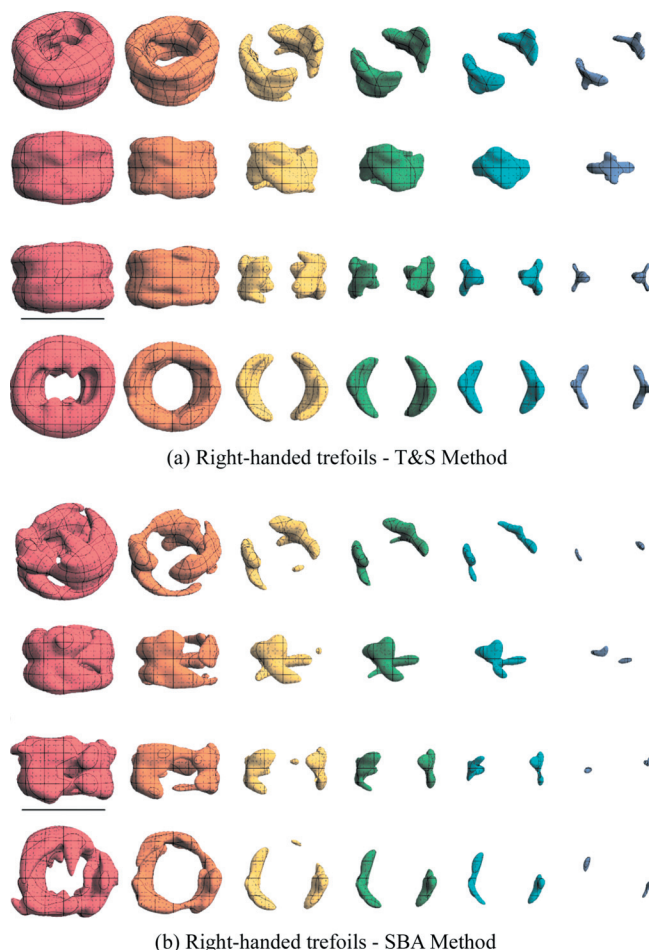


FIG. 4. This figure contains the density isosurfaces for the hexagonal right-handed trefoils using the two alignment procedures. The densities shown are the same as in Fig. 2. The viewing angle in the first row of Fig. 4(a) may obscure the symmetries in the surfaces. However, the views along the three principal axes of rotation (rows 2–4) clearly show that the cumulative shape obtained using TS alignment method shows 180° rotational symmetry about the center of mass along each of the three principal axes of rotation.

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226 clic chains) reveals a mirror symmetry within the superposed
227 collection of independent configurations. For linear and un-
228 knotted circular chains, this mirror symmetry is simply a
229 consequence of the fact that any individual configuration is
230 as likely as its mirror image. However, our alignment method
231 applied to random configurations forming a given chiral knot
232 (with a given handedness) clearly reveals the chiral character
233 of the superposed collection of independent configurations
234 and results in an aggregated shape that is intrinsically asym-
235 metric.

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