

## Lecture 5: The Matrix-Tree Theorem

Week 3

Mathcamp 2011

This lecture is also going to be awesome, but shorter, because we're finishing up yesterday's proof with the first half of lecture today.

So: a result we've proven in like 3-4 MC classes this year, in different ways, is the following:

**Theorem 1** (Cayley) *There are  $n^{n-2}$  labeled trees on  $n$  vertices.*

Today, we're going to prove the ridiculously tricked-out version of this theorem:

**Theorem 2** (The Matrix-Tree Theorem) *Suppose we have any graph  $G$ . Let  $L_G$  denote the Laplacian<sup>1</sup> of  $G$ . Let  $\Gamma$  denote the number of spanning trees<sup>2</sup> of  $G$ : then, we have*

$$\Gamma = \frac{1}{n} \cdot \mu_2 \cdot \dots \cdot \mu_n,$$

where  $\mu_1 \leq \mu_2 \leq \dots \leq \mu_n$  are the  $n$  eigenvalues of the Laplacian of  $G$  written in increasing order, and we've removed the first one of these from our product above.

**Proof.** Before we begin, we first review some key facts about the Laplacian:

1. The Laplacian is real-valued and symmetric: so it has  $n$  eigenvalues counting multiplicity, by the spectral theorem.
2. The Laplacian has 0 as an eigenvalue: this is because summing any of its rows yields 0, and therefore the all-1's vector is an eigenvalue for 0.
3. The Laplacian is positive-definite<sup>3</sup>, and therefore all of its eigenvalues are  $\geq 0$ .

Prove these things on the HW, if you don't believe them!

Also: for notational clarity, let  $L^{\{v_1, \dots, v_k\}}$  denote the matrix  $L_G$  if we delete the  $k$  rows and columns corresponding to these vectors, and  $l_{x,x}$  denote the quantity  $\det(L^{\{x\}})$ . We now proceed to prove our claim in two parts: first, we claim that

$$\Gamma = l_{x,x}$$

for any  $x \in V(G)$ .

We prove this claim by a pair of nested inductions: first on the number  $n$  of vertices in  $G$ , and then (at each level) by inducting on the number of edges in this  $n$ -vertex graph.

The first case where our notation makes sense is  $n = 2$ : there, we have that  $l_{x,x}$  is simply the degree of the other remaining vertex, which is either 0 (in which case our graph

<sup>1</sup>The Laplacian of a graph  $G$  is the  $n \times n$  matrix with rows/columns indexed by vertices, with a  $-1$  in every  $(i, j)$  where an edge runs from  $(i, j)$ , the degree of vertex  $i$  in the entry  $(i, i)$ , and 0's elsewhere.

<sup>2</sup>A **spanning tree** of a graph  $G$  is a subgraph that uses every vertex in  $G$  and is also a tree.

<sup>3</sup>A matrix  $A$  is called **positive-semidefinite** iff  $\mathbf{x}^T(A\mathbf{x}) \geq 0$ , for any vector  $\mathbf{x}$ .

is disconnected and no spanning trees exist) or 1 (in which case this one edge forms the unique spanning tree.) So our claim holds here.

We assume that we've proven our case for all  $k < n$ , and proceed to  $n$  vertices. In the case where there are no edges leaving the vertex  $x$ , we are trivially done:  $\Gamma = 0$  because  $\{x\}$  is disconnected from the graph, while  $L^{\{x\}}$  is just  $L_G$  where we've removed an all-zero row and column, which is therefore a matrix that still has zero row sums (and thus one whose determinant,  $l_{x,x}$ , is 0.)

Otherwise, there is an edge involving  $x$ : denote it as  $\{x, y\}$ . How does deleting this edge from  $L_G$  change  $l_{x,x}$ ? Well: deleting this edge decreases the  $(x, x)$  and  $(y, y)$ -th entries of  $L_G$  by 1, and increases the  $(x, y)$  and  $(y, x)$  entries of  $L_G$  to 0. However, in  $L^{\{x\}}$ , the only one of those changes that we can still see is the decrement of the  $(y, y)$ -th entry by 1, as we deleted the row and column involving  $x$ !

Expanding, if we denote this modified matrix as  $M$ , we can see that

$$\begin{aligned} \det(M) &= (-1)^{y-1} \det(M, \text{with row } y \text{ switched to the top}) \\ &= (-1)^{y-1} \sum_{1 \leq i \leq n-1} (-1)^{i-1} \cdot m_{i,y} \cdot \det(M, \text{row } y \text{ at top, row } y \text{ and col } j \text{ deleted}) \\ &= (-1)^{y-1} \sum_{1 \leq i \leq n-1} (-1)^i \cdot m_{i,y} \cdot \det(M \text{ with row } y \text{ and col } j \text{ deleted}) \\ &= -(-1)^{2y-2} \cdot \det M_{y,y} + (-1)^{y-1} \sum_{1 \leq i \leq n-1, i \neq y} (-1)^i \cdot m_{i,y} \cdot \det(M_{y,j}) \\ &= -\det(L^{\{x,y\}}) + l_{x,x}. \end{aligned}$$

Thus, we've shown that removing an edge from  $G$  decreases  $l_{x,x}$  by  $\det(L^{\{x,y\}})$ , which (by induction) is the number of spanning trees on the graph  $G$  if we contracted the edge  $\{x, y\}$  to a point. But this is just the number of spanning trees on  $G$  that specifically use the edge  $\{x, y\}$ . Therefore, by repeatedly doing this process, our inductive claim holds (i.e. we've proven that  $\Gamma = l_{x,x}$ .)

To finish this proof, we just need to do the following two things, which you will prove on the HW:

1. Notice that because we can factor the characteristic polynomial  $\det(xI - L)$  by its roots, we have that

$$\frac{\partial}{\partial x} (\det(xI - L)) \Big|_{x=0} = (-1)^{n-1} \cdot \mu_2 \cdot \dots \cdot \mu_n.$$

2. Conversely: notice as well that

$$\frac{\partial}{\partial x} (\det(xI - L)) = \sum_{x=1}^n \det(xI - L^{\{x\}}),$$

and thus that when we plug in zero to the above equation, we get  $(-1)^{n-1} \cdot \sum_{x=1}^n l_{x,x}$ . Combining these two observations with our earlier one that  $\Gamma = l_{x,x}$  gives us that

$$\Gamma = \frac{1}{n} \cdot \mu_2 \cdot \dots \cdot \mu_n,$$

as claimed.