

Homework 3: More Generating Functions

*Due Friday, Week 4**UCSB 2015*

In this HW set, there are **five** problems. Pick **three** of them to solve! If you solve more than three, only your **first three problems** will be graded. **Choose wisely.**

1. For any n , let o_n denote the number of ways to write n as an unordered sum of **odd** natural numbers: for example, $o_7 = 5$, because

$$1 + 1 + 1 + 1 + 1 + 1 + 1, 1 + 1 + 1 + 1 + 3, 1 + 3 + 3, 1 + 1 + 5, 7$$

are all of the ways to write 7 as a sum of odd natural numbers. Similarly, let d_n denote the number of ways to write n as an unordered sum of **distinct** natural numbers: for example, $d_7 = 5$, as

$$1 + 2 + 4, 1 + 6, 2 + 5, 3 + 4, 7$$

are all of the ways to write 7 as a sum of distinct natural numbers.

In the example above, $o_7 = d_7 = 5$. Prove that this wasn't a coincidence: that is, show that $o_n = d_n$ for every $n \geq 1$.

2. This problem consists of a very strange way to answer the following problem: in the expression $(\sqrt{2} + \sqrt{3})^{2014}$, can you determine the first few digits after the decimal place without using a calculator?

- (a) First, show that for any n , there are integers a_n, b_n such that $(\sqrt{2} + \sqrt{3})^{2n} = a_n + b_n\sqrt{6}$.
- (b) Now, show that the sequences a_n, b_n satisfy the recurrence relations

$$\begin{aligned} a_n &= 5a_{n-1} + 12b_{n-1}, \\ b_n &= 2a_{n-1} + 5b_{n-1}. \end{aligned}$$

- (c) If $A(x)$ denotes the generating function for the $\{a_n\}_{n=0}^{\infty}$ sequence, find a closed form for $A(x)$. Use this to prove that a_n has the closed form

$$a_n = \frac{1}{2} \left((5 + 2\sqrt{6})^n + (5 - 2\sqrt{6})^n \right).$$

- (d) Using the above plus our knowledge that $a_n + b_n\sqrt{6} = (\sqrt{2} + \sqrt{3})^{2n} = (5 + 2\sqrt{6})^n$, prove that

$$a_n = b_n\sqrt{6} + (5 - 2\sqrt{6})^n.$$

Use this fact to find the first (say) three digits after the decimal place for $(\sqrt{2} + \sqrt{3})^{2014}$ without using a calculator.

3. The **Stirling numbers of the second kind** are defined as follows: for any natural numbers n, k , let $\left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\}$ denote the number of ways to write the set $\{1, 2, \dots, n\}$ as the union of k disjoint nonempty subsets. For instance, $\left\{ \begin{smallmatrix} 3 \\ 2 \end{smallmatrix} \right\} = 3$, as there are precisely three ways to write $\{1, 2, 3\}$ as a union of two disjoint nonempty sets: $\{1, 2\} \cup \{3\}$, $\{1, 3\} \cup \{2\}$, $\{2, 3\} \cup \{1\}$.

We can use the sieve method to find a very nice formula for these numbers, as follows. Take n labeled balls and consider the process of placing these balls into k labeled boxes. Let Ω denote the k^n many ways of placing these balls into boxes. Finally, for any $1 \leq i \leq k$, let p_i denote the property that box i is empty, and let $P = \{p_1, \dots, p_k\}$ be the collection of all of these properties.

- Prove that $k! \cdot \left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\}$ is just the number of elements of Ω that satisfy no properties.
- If S is any subset of our properties and $A(S)$ denotes the number of elements of Ω that satisfy all of the properties in S (and maybe more), show that $A(S) = (k - |S|)^n$.
- In class, we defined $n_r = \sum_{S \subseteq P: |S|=r} A(S)$. Use (b) to find a formula for n_r in terms of k, n, r .
- Use the $N(x - 1) = E(x)$ trick to prove the following formula for the Stirling numbers:

$$\sum_{r=0}^{\infty} \binom{k}{r} (k - r)^n (-1)^r = k! \cdot \left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\}.$$

4. In class, we proved that if $A(x) = \sum_{n=0}^{\infty} a_n x^n$ was a generating function such that $A(x) = \frac{1}{(1-x)^2}$, then $a_n = n + 1$ for every n .

On the third quiz, we looked at a generating function $B(x) = \sum_{n=0}^{\infty} b_n x^n$ such that $B(x) = \frac{1}{(1-x)^3}$. If you successfully completed this quiz problem, then you likely proved that $b_n = \frac{(n+1)(n+2)}{2} = \binom{n+2}{2}$ for all n .

Generalize this as follows: for any natural number k , let $C(x) = \sum_{n=0}^{\infty} c_n x^n$ be a generating function such that $C(x) = \frac{1}{(1-x)^k}$. Prove that $c_n = \binom{n+k-1}{k-1}$ for all n .

5. We close with one last example of the sieve method. For any natural number n , let Ω denote the collection of all subsets of size n from $\{1, 2, \dots, 2n\}$. For any $1 \leq i \leq n$, let p_i denote the property that one of these given subsets does **not** contain the number i , and let $P = \{p_1, \dots, p_n\}$ denote the collection of these n properties. (Notice that our properties are only concerned with whether our subsets contain elements from $\{1, \dots, n\}$, and don't care about the other $\{n + 1, \dots, 2n\}$ elements.)

- Show that $A(S)$ is $\binom{2n - |S|}{n}$.
- Show that $n_r = \binom{n}{r} \binom{2n - r}{n}$.
- Finally, use the sieve method to show that for any n , we have the identity

$$1 = \sum_{r=0}^{\infty} \binom{n}{r} \binom{2n - r}{n} (-1)^r.$$