# Flexibility and Rigidity of 3-Dimensional Convex Projective Structures 

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Thesis Defense

## What is Convex Projective Geometry?

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- Retains many features of hyperbolic geometry.
- No Mostow rigidity.


## Projective Space

- There is a natural action of $\mathbb{R}^{\times}$on $\mathbb{R}^{n+1} \backslash\{0\}$ by scaling.
- Let $\mathbb{R} P^{n}=P\left(\mathbb{R}^{n+1} \backslash\{0\}\right)$ be the quotient of this action.


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- A Projective Line is the projectivization of a 2-plane in $\mathbb{R}^{n+1}$
- A Projective Hyperplane is the projectivization of an $n$-plane in $\mathbb{R}^{n+1}$.
- The automorphism group of $\mathbb{R} P^{n}$ is $\operatorname{PGL}_{n+1}(\mathbb{R}):=\operatorname{GL}_{n+1}(\mathbb{R}) / \mathbb{R}^{\times}$.


## A Splitting of $\mathbb{R} P^{n}$

- Let $H$ be a hyperplane in $\mathbb{R}^{n+1}$.
- $H$ gives rise to a splitting of $\mathbb{R} P^{n}=\mathbb{R}^{n} \sqcup \mathbb{R} P^{n-1}$ into an affine part and an ideal part (inhomogeneous coordinates).


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- $\mathbb{R} P^{n} \backslash P(H)$ is called an affine patch.


## The Klein Model

- Let $\langle x, y\rangle=x_{1} y_{1}+\ldots+x_{n} y_{n}-x_{n+1} y_{n+1}$ be standard form of signature $(n, 1)$ on $\mathbb{R}^{n+1}$.
- Let $C=\left\{x \in \mathbb{R}^{n+1} \mid\langle x, x\rangle<0\right\}$
- $P(C)$ is the Klein model of $\mathbb{H}^{n}$.
- In the affine patch defined by $H$ it is a disk.



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Convex projective geometry focuses on the geometry of properly (sometimes stictly) convex domains.

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- When $\Omega$ is an ellipsoid $d_{\Omega}$ is twice the hyperbolic metric.
- $\operatorname{PGL}(\Omega) \leq \operatorname{Isom}(\Omega)$ and equal when $\Omega$ is strictly convex.
- Discrete subgroups of PGL $(\Omega)$ act properly discontinuously on $\Omega$.


## Classification of Isometries

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If $\gamma \in \operatorname{PGL}(\Omega)$ then $\gamma$ is

1. elliptic if $\gamma$ fixes a point in $\Omega$,
2. parabolic if $\gamma$ acts freely on $\Omega$ and has all eigenvalues of modulus 1, and
3. hyperbolic otherwise

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4. When $\Omega$ is strictly convex, parabolic and hyperbolic elements in a common discrete subgroup do not share fixed points.

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3. When $\Omega$ is strictly convex, hyperbolic isometries have 2 fixed points on $\partial \Omega$ and act by translation along the line connecting them.
4. When $\Omega$ is strictly convex, parabolic and hyperbolic elements in a common discrete subgroup do not share fixed points.
5. When $\Omega$ is strictly convex, a discrete, torsion-free subgroup of elements fixing a geodesic is infinite cyclic.

## Convex Projective Manifolds

Let $M^{n}$ be a manifold with $\pi_{1}(M)=\Gamma$. A convex projective structure on $M$ is a pair $(\Omega, \rho)$ such that

1. $\Omega$ is a properly convex open subset of $\mathbb{R} P^{n}$.
2. $\rho: \Gamma \rightarrow \operatorname{PGL}(\Omega)$ is a discrete and faithful representation.
3. $M \cong \Omega / \rho(\Gamma)$

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- $\rho$ is called the holonomy of the structure
- The structure is strictly convex if $\Omega$ is strictly convex
- When $\Omega$ is an ellipsoid then $\operatorname{PGL}(\Omega) \cong \operatorname{Isom}\left(\mathbb{H}^{n}\right)$ and a complete hyperbolic structure is a strictly convex projective structure.


## Projective Equivalence

Suppose that $M^{n} \cong \Omega_{i} / \rho_{i}(\Gamma)$ for $i=1,2$, then $\left(\Omega_{1}, \rho_{1}\right)$ and $\left(\Omega_{2}, \rho_{2}\right)$ are projectively equivalent if there exists $h \in \operatorname{PGL}_{n+1}(\mathbb{R})$ such that $h\left(\Omega_{1}\right)=\Omega_{2}$ and for each $\gamma \in \pi_{1}(M)$

$$
\begin{gathered}
\Omega_{1} \xrightarrow{h} \Omega_{2} \\
\rho_{1}(\gamma) \mid \\
\Omega_{1} \xrightarrow{\downarrow} \xrightarrow{\downarrow_{2}}{ }^{\rho_{2}(\gamma)}
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- Let $\mathfrak{X}\left(\Gamma, \mathrm{PGL}_{n+1}(\mathbb{R})\right)$ be the set of conjugacy classes of representations from $\Gamma$ to $\mathrm{PGL}_{n+1}(\mathbb{R})$.


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- Let $\mathfrak{X}\left(\Gamma, \mathrm{PGL}_{n+1}(\mathbb{R})\right)$ be the set of conjugacy classes of representations from $\Gamma$ to $\mathrm{PGL}_{n+1}(\mathbb{R})$. Projective equivalence classes of $M$ are in bijective correspondence with elements of $\mathfrak{X}\left(\Gamma, \mathrm{PGL}_{n+1}(\mathbb{R})\right)$ that are faithful, discrete, and preserve a properly convex set.


## Mostow Rigidity

Let $M^{n}$ be a finite volume hyperbolic manifold ( $n \geq 3$ ) and let ( $\Omega_{1}, \rho_{1}$ ) and ( $\Omega_{2}, \rho_{2}$ ) be two complete hyperbolic structures on $M$. Mostow rigidity tells us that $\left(\Omega_{1}, \rho_{1}\right)$ and $\left(\Omega_{2}, \rho_{2}\right)$ are projectively equivalent.

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There is a distinguished projective equivalence class of convex projective structures on $M$ consisting of complete hyperbolic structures on $M$.

## Rigidity and Flexibility

## Questions

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- Certain surgeries on

Figure-8 (Huesener-Porti)

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- Bending (Johnson-Millson)
- Flexing (Cooper-LongThistlethwaite)
- Certain surgeries on Figure-8 (Huesener-Porti)
- Most closed 2-generator census manifolds (Cooper-Long-Thistlethwaite)


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2. How do we know when deformations exist?

## A decomposition of $M$

Let $M$ be an orientable, finite volume, hyperbolic 3-manifold. Then

$$
M=M_{K} \cup\left(\sqcup_{i} C_{i}\right) .
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$C_{i} \cong T^{2} \times[1, \infty)$ are called cusps and $\pi_{1}\left(C_{i}\right)$ is a peripheral subgroup.

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- If $\rho_{0}$ is the holonomy of the complete hyperbolic structure on $M$ then $T^{2} \times\{x\}$ has the same Euclidean structure for each $x \in[1, \infty)$.
- If $\rho_{1}$ is the holonomy of a general convex projective structure on $M$ then $T^{2} \times\{x\}$ has the same affine structure for each $x \in[1, \infty)$.


## Description of the Holonomy

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Lemma 1 (Cooper-Long-Tillman)
Let $\Omega \subset \mathbb{R} P^{3}$ be properly convex. If $\gamma \in \operatorname{PGL}(\Omega)$ is parabolic then $\gamma$ is conjugate in $\mathrm{PGL}_{4}(\mathbb{R})$ to

$$
\left(\begin{array}{llll}
1 & 0 & 0 & 0 \\
0 & 1 & 1 & 0 \\
0 & 0 & 1 & 1 \\
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If $\gamma \in \operatorname{PGL}_{4}(\mathbb{R})$ is conjugate the above matrix then we say that $\gamma$ is a strictly convex parabolic.

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Corollary 3
If $\rho$ is the holonomy of a strictly convex projective structure on $M$ then $[\rho] \in \mathfrak{X}_{\text {scp }}\left(\Gamma, \operatorname{PGL}_{4}(\mathbb{R})\right)$

## Two-Bridge Knots



If $M$ is a two bridge knot complement then
$\Gamma=\pi_{1}(M)=\langle\alpha, \beta \mid \alpha \omega=\omega \beta\rangle$, where $\omega$ is a word in $\alpha$ and $\beta$ that depends on the knot.

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- $\alpha$ and $\beta$ can be taken to be meridians
- We want to look for $\rho: \Gamma \rightarrow \operatorname{PGL}_{4}(\mathbb{R})$ where $\alpha$ and $\beta$ are sent to strictly convex parabolic elements


## A Normal Form

By work of Riley it is possible to uniquely conjugate non-commuting parabolic $a, b \in \operatorname{Isom}\left(\mathbb{H}^{3}\right) \cong \operatorname{PSL}_{2}(\mathbb{C})$ so that

$$
a=\left(\begin{array}{ll}
1 & 1 \\
0 & 1
\end{array}\right), \quad b=\left(\begin{array}{ll}
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where $z$ is a non-zero complex number.

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Geometrically, this is done be moving the repsective fixed points of $a$ and $b$ to $\infty$ and 0

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By irreduciblity, $\mathbb{R}^{4}=E_{\alpha} \oplus E_{\beta}$ and so we can find a basis where

$$
\rho(\alpha)=\left(\begin{array}{cc}
I & A_{u} \\
0 & A_{l}
\end{array}\right), \quad \rho(\beta)=\left(\begin{array}{cc}
B_{u} & 0 \\
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The minimal polynomial of a strictly convex parabolic is $(x-1)^{3}$. Therefore, neither $A_{/}$and $B_{u}$ are diagonalizable and so by further conjugating we can assume that

$$
A_{I}=\left(\begin{array}{cc}
1 & a_{3} \\
0 & 1
\end{array}\right), \quad B_{u}=\left(\begin{array}{cc}
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Conjugacies that preserve this form look like

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\left(\begin{array}{cccc}
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0 & 0 & 1 & a_{3} \\
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\end{array}\right), \quad \rho(\beta)=\left(\begin{array}{cccc}
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b_{1} & 1 & 0 & 0 \\
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\end{array}\right), \quad \rho(\beta)=\left(\begin{array}{cccc}
1 & 0 & 0 & 0 \\
b_{1} & 1 & 0 & 0 \\
b_{2} & 1 & 1 & 0 \\
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\end{array}\right)
$$

Each solution to the matrix equation $\rho(\alpha) \rho(\omega)-\rho(\omega) \rho(\beta)=0$ gives a conjugacy class of representations for the two bridge knot complement.

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1 & 0 & 1 & \frac{3-t}{t-2} \\
0 & 1 & 1 & \frac{2}{2(t-2)} \\
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\end{array}\right), \quad \rho_{t}(\beta)=\left(\begin{array}{cccc}
1 & 0 & 0 & 0 \\
t & 1 & 0 & 0 \\
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- Locally, the complete hyperbolic structure is the unique strictly convex projective structure on $M$


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- In these other cases there are no families of representations where $\rho(\alpha)$ and $\rho(\beta)$ are parabolic. (this is likely because of amphicheirality of the figure-8)
- There is strong numerical evidence that several other two-bridge knots are rigid.
- Is there a general rigidity result for two-bridge knots and links?


## Finding Deformations <br> The Closed Case

Let $M$ be a closed manifold (or orbifold). Which deformations of representations give rise to strictly convex projective structures?

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- Small deformations of holonomy correspond to small deformations of the convex projective structure
- To find deformations of convex projective structures we only need to deform the conjugacy class of representations.


## Group Cohomology

Let $\rho_{t}: \Gamma \rightarrow \mathrm{PGL}_{4}(\mathbb{R})$ be a representation, then for $\gamma \in \Gamma$ and $t \in(-\varepsilon, \varepsilon)$ we have

$$
\rho_{t}(\gamma)=\left(I+z_{1}(\gamma) t+z_{2}(\gamma) t^{2}+\ldots\right) \rho_{0}(\gamma)
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where $z_{i}: \Gamma \rightarrow \operatorname{sl}_{4}$ are 1-cochain.

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- Dimension of $H^{1}(\Gamma)$ gives an upper bound on the dimension of $\mathfrak{X}\left(\Gamma, \mathrm{PGL}_{4}(\mathbb{R})\right)$


## Building Representations

Let $\rho_{t}: \Gamma \rightarrow \operatorname{PGL}_{4}(\mathbb{R})$ be a representation, then for $\gamma \in \Gamma$ and $t \in(-\varepsilon, \varepsilon)$ we have

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- By a result of Artin, if we can find $z_{i}$ satisfying the above condition then we can build a convergent family of representations.


## Orbifold Surgery



Let $M$ be the complement of an amphicheiral, hyperbolic knot, $O_{n}$ be the orbifold obtained by the above gluing, and $\Gamma_{n}=\pi_{1}^{o r b}\left(O_{n}\right)$.

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- By amphicheirality, there is a map $\phi: M \rightarrow M$ s.t $\phi(m)=m^{-1}$ and $\phi(I)=I$.
- $\phi$ extends to a symmetry $\phi: O_{n} \rightarrow O_{n}$
- We can use this symmetry to build representations

$$
\rho_{t}: \Gamma_{n} \rightarrow \operatorname{PGL}_{4}(\mathbb{R})
$$

## A Flexibility Theorem

## Theorem 5 (B)

Let $M$ be the complement of a hyperbolic, amphicheiral knot, and suppose that $M$ is infinitesimally projectively rigid relative to the boundary at the complete hyperbolic structure and the longitude is a rigid slope. Then for sufficiently large $n, O_{n}$ has a one dimensional space of strictly convex projective deformations near the complete hyperbolic structure.

## Finding the Cochains

Let $H^{1}\left(O_{n}\right)$ and $H^{2}\left(O_{n}\right)$ be the first two cellular cohomology groups with twisted coefficients for $O_{n}$.

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$$
0 \rightarrow H^{1}\left(O_{n}\right) \xrightarrow{\iota_{1}^{*} \oplus \iota_{2}^{*}} H^{1}(M) \oplus H^{1}(N) \xrightarrow{\iota_{3}^{*}-\iota_{4}^{*}} H^{1}(\partial M) \cong \stackrel{1}{E_{1}} \oplus E_{-1}^{1} \xrightarrow{\Delta^{*}} H^{2}\left(O_{n}\right) \rightarrow 0
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Can show that

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H^{1}\left(O_{n}\right) \stackrel{l_{3}^{*} \circ \iota_{1}^{*}}{=} E_{1}
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Can show that

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- Repeat indefinitely to get remaining $z_{i}$.


## Consequences

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- There are many flexible examples given by taking branched covers of the figure-8 knot
- There is strong numerical evidence that $\sigma_{3}$ satisfies the hypotheses of the theorem and gives rise to more examples.
- There are infinitely many amphicheiral two-bridge knots.

