Flexibility and Rigidity of 3-Dimensional Convex Projective Structures

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- Retains many features of hyperbolic geometry.
- No Mostow rigidity.

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- Let $\mathbb{R}P^n = P(\mathbb{R}^{n+1} \setminus \{0\})$ be the quotient of this action.

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- A Projective Hyperplane is the projectivization of an n−plane in ℝⁿ⁺¹.
- The automorphism group of $\mathbb{R}P^n$ is $\mathrm{PGL}_{n+1}(\mathbb{R}) := \mathrm{GL}_{n+1}(\mathbb{R})/\mathbb{R}^{\times}$.

A Splitting of $\mathbb{R}P^n$

- Let *H* be a hyperplane in \mathbb{R}^{n+1} .
- *H* gives rise to a splitting of $\mathbb{R}P^n = \mathbb{R}^n \sqcup \mathbb{R}P^{n-1}$ into an affine part and an ideal part (inhomogeneous coordinates).

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• $\mathbb{R}P^n \setminus P(H)$ is called an *affine patch*.

The Klein Model

- Let $\langle x, y \rangle = x_1y_1 + \ldots + x_ny_n x_{n+1}y_{n+1}$ be standard form of signature (n, 1) on \mathbb{R}^{n+1} .
- Let $C = \{x \in \mathbb{R}^{n+1} | \langle x, x \rangle < 0\}$
- P(C) is the Klein model of \mathbb{H}^n .
- In the affine patch defined by H it is a disk.



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- *Strictly Convex*: Properly convex and boundary contains no non-trivial projective line segments.

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Convex projective geometry focuses on the geometry of properly (sometimes stictly) convex domains.

Let Ω be a properly convex set and PGL(Ω) be the projective automorphisms preserving Ω .



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Every properly convex set Ω admits a Hilbert metric given by

$$d_{\Omega}(x,y) = \log[a,x;y,b] = \log\left(rac{|x-b||y-a|}{|x-a||y-b|}
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- $PGL(\Omega) \leq Isom(\Omega)$ and equal when Ω is strictly convex.

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- Discrete subgroups of PGL(Ω) act properly discontinuously on Ω.

Classification of Isometries

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- 1. *elliptic* if γ fixes a point in Ω ,
- 2. *parabolic* if γ acts freely on Ω and has all eigenvalues of modulus 1, and

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3. *hyperbolic* otherwise

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- 3. When Ω is strictly convex, hyperbolic isometries have 2 fixed points on $\partial\Omega$ and act by translation along the line connecting them.
- When Ω is strictly convex, parabolic and hyperbolic elements in a common discrete subgroup do not share fixed points.
- 5. When Ω is strictly convex, a discrete, torsion-free subgroup of elements fixing a geodesic is infinite cyclic.

Let M^n be a manifold with $\pi_1(M) = \Gamma$. A *convex projective structure* on *M* is a pair (Ω, ρ) such that

- 1. Ω is a properly convex open subset of $\mathbb{R}P^n$.
- ρ : Γ → PGL(Ω) is a discrete and faithful representation.
 M ≅ Ω/ρ(Γ)

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 - *ρ* is called the *holonomy* of the structure
 - The structure is strictly convex if Ω is strictly convex
 - When Ω is an ellipsoid then PGL(Ω) ≃ Isom(ℍⁿ) and a complete hyperbolic structure is a strictly convex projective structure.

Projective Equivalence

Suppose that $M^n \cong \Omega_i / \rho_i(\Gamma)$ for i = 1, 2, then (Ω_1, ρ_1) and (Ω_2, ρ_2) are *projectively equivalent* if there exists $h \in \text{PGL}_{n+1}(\mathbb{R})$ such that $h(\Omega_1) = \Omega_2$ and for each $\gamma \in \pi_1(M)$



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- Let X(Γ, PGL_{n+1}(ℝ)) be the set of conjugacy classes of representations from Γ to PGL_{n+1}(ℝ). Projective equivalence classes of *M* are in bijective correspondence with elements of X(Γ, PGL_{n+1}(ℝ)) that are faithful, discrete, and preserve a properly convex set.

Mostow Rigidity

Let M^n be a finite volume hyperbolic manifold $(n \ge 3)$ and let (Ω_1, ρ_1) and (Ω_2, ρ_2) be two complete hyperbolic structures on M. Mostow rigidity tells us that (Ω_1, ρ_1) and (Ω_2, ρ_2) are projectively equivalent.

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There is a distinguished projective equivalence class of convex projective structures on *M* consisting of complete hyperbolic structures on *M*.

Questions

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- 2. How do we know when deformations exist?

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A decomposition of M

Let M be an orientable, finite volume, hyperbolic 3-manifold. Then

$$M=M_{K}\cup (\sqcup_{i}C_{i}).$$

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- If ρ₀ is the holonomy of the complete hyperbolic structure on *M* then *T*² × {*x*} has the same Euclidean structure for each *x* ∈ [1,∞).
- If ρ₁ is the holonomy of a general convex projective structure on *M* then *T*² × {*x*} has the same *affine* structure for each *x* ∈ [1,∞).

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Lemma 1 (Cooper-Long-Tillman)

Let $\Omega \subset \mathbb{R}P^3$ be properly convex. If $\gamma \in PGL(\Omega)$ is parabolic then γ is conjugate in $PGL_4(\mathbb{R})$ to

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

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If $\gamma \in PGL_4(\mathbb{R})$ is conjugate the above matrix then we say that γ is a *strictly convex parabolic*.

Lemma 2

If ρ is the holonomy of a strictly convex projective structure on *M* then $\rho(\pi_1(C))$ is parabolic for each cusp *C* of *M*.

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Corollary 3

If ρ is the holonomy of a strictly convex projective structure on *M* then $[\rho] \in \mathfrak{X}_{scp}(\Gamma, PGL_4(\mathbb{R}))$

Two-Bridge Knots



If *M* is a two bridge knot complement then $\Gamma = \pi_1(M) = \langle \alpha, \beta | \alpha \omega = \omega \beta \rangle$, where ω is a word in α and β that depends on the knot.

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• α and β can be taken to be meridians

Two-Bridge Knots



If *M* is a two bridge knot complement then

 $\Gamma = \pi_1(M) = \langle \alpha, \beta | \alpha \omega = \omega \beta \rangle$, where ω is a word in α and β that depends on the knot.

- α and β can be taken to be meridians
- We want to look for ρ : Γ → PGL₄(ℝ) where α and β are sent to strictly convex parabolic elements

By work of Riley it is possible to uniquely conjugate non-commuting parabolic $a, b \in \text{Isom}(\mathbb{H}^3) \cong \text{PSL}_2(\mathbb{C})$ so that

$$a = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \quad b = \begin{pmatrix} 1 & 0 \\ z & 1 \end{pmatrix},$$

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Geometrically, this is done be moving the repsective fixed points of *a* and *b* to ∞ and 0

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$$\rho(\alpha) = \begin{pmatrix} I & A_u \\ 0 & A_l \end{pmatrix}, \qquad \rho(\beta) = \begin{pmatrix} B_u & 0 \\ B_l & I \end{pmatrix}$$

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The minimal polynomial of a strictly convex parabolic is $(x - 1)^3$. Therefore, neither A_l and B_u are diagonalizable and so by further conjugating we can assume that

$$A_I = egin{pmatrix} 1 & a_3 \ 0 & 1 \end{pmatrix}, \qquad B_u = egin{pmatrix} 1 & 0 \ b_1 & 1 \end{pmatrix}$$

Conjugacies that preserve this form look like

$$\begin{pmatrix} u_{11} & 0 & 0 & 0 \\ u_{21} & u_{22} & 0 & 0 \\ 0 & 0 & u_{33} & u_{34} \\ 0 & 0 & 0 & u_{44} \end{pmatrix}$$

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Each solution to the matrix equation $\rho(\alpha)\rho(\omega) - \rho(\omega)\rho(\beta) = 0$ gives a conjugacy class of representations for the two bridge knot complement.

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$$\rho_t(\alpha) = \begin{pmatrix} 1 & 0 & 1 & \frac{3-t}{t-2} \\ 0 & 1 & 1 & \frac{1}{2(t-2)} \\ 0 & 0 & 1 & \frac{t}{2(t-2)} \\ 0 & 0 & 0 & 1 \end{pmatrix}, \quad \rho_t(\beta) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ t & 1 & 0 & 0 \\ 2 & 1 & 1 & 0 \\ 1 & 1 & 0 & 1 \end{pmatrix},$$

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- Locally, the complete hyperbolic structure is the unique strictly convex projective structure on *M*

Other Two-bridge Knots and Links

• There are similar rigidity results for the knots 5₂, 6₁, and the Whitehead link.

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- Is there a general rigidity result for two-bridge knots and links?

The Closed Case

Let M be a closed manifold (or orbifold). Which deformations of representations give rise to strictly convex projective structures?

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Theorem 4 (Koszul, Benoist)

Let M be a closed, hyperbolic 3-manifold and ρ_0 be the holonomy of the complete hyperbolic structure on M. If ρ_t is sufficiently close to ρ_0 in Hom(Γ , PGL₄(\mathbb{R})) then ρ_t is the holonomy of a strictly convex projective structure on M

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- Small deformations of holonomy correspond to small deformations of the convex projective structure
- To find deformations of convex projective structures we only need to deform the conjugacy class of representations.

Let $\rho_t : \Gamma \to \text{PGL}_4(\mathbb{R})$ be a representation, then for $\gamma \in \Gamma$ and $t \in (-\varepsilon, \varepsilon)$ we have

$$\rho_t(\gamma) = (I + z_1(\gamma)t + z_2(\gamma)t^2 + \ldots)\rho_0(\gamma),$$

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where $z_i : \Gamma \to \mathfrak{sl}_4$ are 1-cochain.

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- H¹(Γ) infinitesimally parametrizes conjugacy classes of deformations.

• Dimension of $H^1(\Gamma)$ gives an upper bound on the dimension of $\mathfrak{X}(\Gamma, \mathrm{PGL}_4(\mathbb{R}))$

Building Representations

Let $\rho_t : \Gamma \to \text{PGL}_4(\mathbb{R})$ be a representation, then for $\gamma \in \Gamma$ and $t \in (-\varepsilon, \varepsilon)$ we have

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The homomorphism condition also says that

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• By a result of Artin, if we can find *z_i* satisfying the above condition then we can build a convergent family of representations.



Let *M* be the complement of an amphicheiral, hyperbolic knot, O_n be the orbifold obtained by the above gluing, and $\Gamma_n = \pi_1^{orb}(O_n)$.

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- By amphicheirality, there is a map $\phi : M \to M$ s.t $\phi(m) = m^{-1}$ and $\phi(l) = l$.
- ϕ extends to a symmetry $\phi : O_n \rightarrow O_n$
- We can use this symmetry to build representations

 ρ_t : Γ_n → PGL₄(ℝ)

A Flexibility Theorem

Theorem 5 (B)

Let M be the complement of a hyperbolic, amphicheiral knot, and suppose that M is infinitesimally projectively rigid relative to the boundary at the complete hyperbolic structure and the longitude is a rigid slope. Then for sufficiently large n, O_n has a one dimensional space of strictly convex projective deformations near the complete hyperbolic structure.

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$$0 \to H^1(\mathcal{O}_n) \stackrel{\iota_1^* \oplus \iota_2^*}{\to} H^1(\mathcal{M}) \oplus H^1(\mathcal{N}) \stackrel{\iota_3^* - \iota_4^*}{\to} H^1(\stackrel{2}{\partial}\mathcal{M}) \cong \stackrel{1}{E_1} \oplus \stackrel{1}{E_{-1}} \stackrel{\Delta^*}{\to} H^2(\mathcal{O}_n) \to 0$$

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Can show that

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and

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- Repeat indefinitely to get remaining z_i.



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Consequences

- There are many flexible examples given by taking branched covers of the figure-8 knot
- There is strong numerical evidence that 6₃ satisfies the hypotheses of the theorem and gives rise to more examples.
- There are infinitely many amphicheiral two-bridge knots.

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