

Tuesday 28<sup>th</sup> Jan 2020

①

We want to prove the Volume Conjecture for the figure 8 knot.

Recall Volume Conjecture:

$$\lim_{N \rightarrow \infty} \frac{\log |\frac{J_N(K; e^q)}{[N]_q}|}{N} = \frac{\text{Vol}(S^3 \setminus K)}{2\pi}$$

where  $q = e^{\frac{2\pi i}{N}}$  and  $[n]_q = \frac{q^{n/2} - q^{-n/2}}{q^{1/2} - q^{-1/2}}$

★ In this section, we will use normalized colored Jones poly.

Therefore Volume Conjecture is:  $\lim_{N \rightarrow \infty} \frac{\log |J_N|}{N} = \frac{\text{Vol}(S^3 \setminus K)}{2\pi}$

• We will do the last step of the proof first. We will

assume (later prove) that Jones poly for figure 8 knot  $K$  is:

$$J_N(K; q) = \frac{1}{\{N\}} \sum_{j=0}^{N-1} \frac{\{N+j\}}{\{N-1-j\}}$$

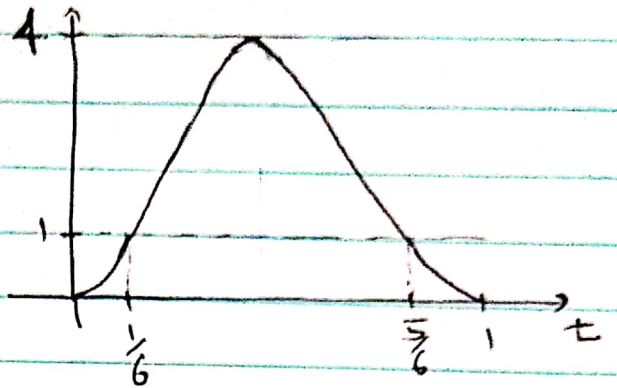
where  $\{n\} = [n]_q (q^{\frac{1}{2}} - q^{-\frac{1}{2}}) = q^{n/2} - q^{-n/2}$ , Thus:

$$J_N(K; q) = \sum_{j=0}^{N-1} \prod_{k=1}^j (q^{\frac{N-k}{2}} - q^{-\frac{(N-k)}{2}}) (q^{\frac{N+k}{2}} - q^{-\frac{(N+k)}{2}})$$

$$\begin{aligned} q = e^{\frac{2\pi i}{N}} \\ \Rightarrow &= \sum_{j=0}^{N-1} g_N(j) \quad \text{for } g_N(j) = \begin{cases} \prod_{k=1}^j 4 \sin^2(\frac{k\pi}{N}), & j \neq 0 \\ 1, & j = 0 \end{cases} \end{aligned}$$

We plot  $4 \sin^2(t\pi)$ :

Therefore for  $\begin{cases} 4 \sin^2(\frac{k\pi}{N}) < 1, & 0 < k < \frac{N}{6} \\ 4 \sin^2(\frac{k\pi}{N}) > 1, & \frac{N}{6} < k < \frac{5N}{6} \\ 4 \sin^2(\frac{k\pi}{N}) < 1, & k > \frac{5N}{6} \end{cases}$



As  $g_N(j)$  are product of  $4 \sin^2(\frac{k\pi}{N})$ , we deduce that  $g_N(j)$  is:

- ① decreasing for  $0 < j < \frac{N}{6}$
- ② increasing for  $\frac{N}{6} < j < \frac{5N}{6}$
- ③ decreasing for  $\frac{5N}{6} < j < N$

Hence  $\max g_N(j)$  occurs at  $j = \lfloor \frac{5N}{6} \rfloor$ . So

$$g_N(\lfloor \frac{5N}{6} \rfloor) < \sum_{j=0}^{N-1} g_N(j) < N g_N(\lfloor \frac{5N}{6} \rfloor)$$

$$\Rightarrow \frac{\log g_N(\lfloor \frac{5N}{6} \rfloor)}{N} < \frac{\log \sum_{j=0}^{N-1} g_N(j)}{N} < \frac{\log N}{N} + \frac{\log g_N(\lfloor \frac{5N}{6} \rfloor)}{N}$$

$$\Rightarrow \lim_{N \rightarrow \infty} \frac{\log \mathbb{E} N}{N} = \lim_{N \rightarrow \infty} \frac{\log g_N(\lfloor \frac{5N}{6} \rfloor)}{N}$$

$$\begin{aligned} \text{But } \lim_{N \rightarrow \infty} \frac{\log g_N(\lfloor \frac{5N}{6} \rfloor)}{N} &= \lim_{N \rightarrow \infty} \frac{\sum_{k=1}^{\lfloor \frac{5N}{6} \rfloor} 2 \log(2 \sin \frac{k\pi}{N})}{N} \\ &= \frac{2}{\pi} \int_0^{\frac{5\pi}{6}} \log(2 \sin x) dx = -\frac{2}{\pi} \Lambda\left(\frac{5\pi}{6}\right) \end{aligned}$$

(\*) is due to Riemann sum approx, and  $\Lambda(\theta) = -\int_0^\theta \log|2 \sin x| dx$

(3)

$\Lambda(\theta)$  is the Lobachevsky function which satisfies:

- Periodic  $\Lambda(\theta + \pi) = \Lambda(\theta)$
- Odd  $\Lambda(-\theta) = -\Lambda(\theta)$
- $\Lambda(2\theta) = 2\Lambda(\theta) + 2\Lambda(\theta + \frac{\pi}{2})$

All properties can be proved using elementary facts about  $\sin(x)$

- $\sin(\theta + \pi) = -\sin(\theta)$
- $\sin(-\theta) = -\sin(\theta)$
- $\sin 2\theta = 2 \sin \theta \cos \theta = 2 \sin \theta \sin(\frac{\pi}{2} - \theta)$   
 $\Rightarrow \log |2 \sin 2x| = \log |2 \sin x| + \log |2 \sin(x + \frac{\pi}{2})|$

Using the above:  $\Lambda(\frac{5\pi}{6}) = \Lambda(\pi - \frac{\pi}{6}) = -\Lambda(\frac{\pi}{6}) = \frac{-\Lambda(\frac{\pi}{3}) + \Lambda(\frac{2\pi}{3})}{2}$   
 $= \frac{-3}{2} \Lambda(\frac{\pi}{3})$

$$\Rightarrow \lim \frac{\log |J_N|}{N} = \frac{3}{\pi} \Lambda(\frac{\pi}{3}) = \frac{\text{Vol}(S^3/K)}{2\pi}$$

$$\text{as Vol}(S^3/K) = 6 \Lambda(\frac{\pi}{3})$$

(Recall that Hyperbolic Volume of Tetrahedron with

angles  $\alpha, \beta, \gamma$  is  $\Lambda(\alpha) + \Lambda(\beta) + \Lambda(\gamma)$ ; Also

$S^3/K$  is two ideal tetrahedrons with all angles  $\frac{\pi}{3}$ ).

• Next, we prove the formula for colored Jones poly:

$$J_N(K; q) = \frac{1}{\{N\}} \sum_{j=0}^{N-1} \frac{\{N+j\}!}{\{N-1-j\}!}$$

Recall  $(R, \mu, \alpha, \beta)$  enhanced R-matrix definition.

Recall for

$$(1) R_{kl}^{ij} = \sum_{m=0}^{\min(N-1-i, j)} \delta_{l, i+m} \delta_{k, j-m} \frac{\{2i\}! \{N-1-k\}!}{\{i\}! \{m\}! \{N-1-j\}!} (q^{-1})^{\frac{N-i-1}{2} \cdot \frac{N-j-1}{2} + \frac{m(i-j)}{2} + \frac{m(m+1)}{4}}$$

$$(2) (R^{-1})_{kl}^{ij} = \sum_{m=0}^{\min(N-1-i, j)} \delta_{l, i-m} \delta_{k, j+m} \frac{\{2k\}! \{N-1-l\}!}{\{j\}! \{m\}! \{N-1-i\}!} (q^{-1})^{\frac{i-(N-1)}{2} \cdot \frac{j-(N-1)}{2} + \frac{m(i-j)}{2} - \frac{m(m+1)}{4}}$$

$$(3) \mu_j^i = \delta_{ij} q^{\frac{(2i-N+1)}{2}} \quad (4) \alpha = q^{\frac{N^2-1}{4}}, \beta = 1$$



$(R, \mu, \alpha, \beta)$  is an enhanced R-matrix and

forall knots  $K$  :  $J_N(K; q) = \frac{\{1\}!}{\{N\}!} T_{(R, \mu, \alpha, \beta)}(K)$

where  $T_{(R, \mu, \alpha, \beta)}(K) = \alpha^{-2\omega(K)} \beta^{-n} \text{Tr}(\rho_R(\hat{b}) \mu^{\otimes n})$

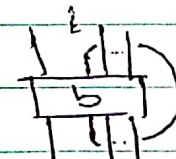
where  $\underline{K} = \hat{b}$  is braid closure of n-strand braid b

and  $\rho_R(\hat{b})$  is obtained by placing  $R$  or  $R^{-1}$  instead of braidings

in  $b$  ( $\sigma_i$  or  $\sigma_i^{-1}$ :  or ).  $2\omega(K)$  is writhe index of  $K$ .

• Note  $J_N(\text{unknot}) = 1$  as  $\text{Tr}(\mu) = \sum_{i=0}^{N-1} q^{\frac{2i-N+1}{2}} = \frac{\{N\}!}{\{1\}!}$

• Note  $\text{Tr} = \text{Tr}_1 \text{Tr}_2 \dots \text{Tr}_n$  where  $\text{Tr}_i$  is obtained by taking

closure on i-th strand only:  =  $\text{Tr}_i(b)$ .

- Every  $\text{Tr}_i$  gets the average (trace) of the endomorphism from  $V^{\otimes n} \rightarrow V^{\otimes n}$  on the  $i$ -th tensor factor.

- For  $K = \text{figure eight knot}$ ,  $b = \sigma_1 \sigma_2^{-1} \sigma_1 \sigma_2^{-1}$ ,  $w(b) = 0$ ,  $b$  acting on 3 strands, Thus  $n = 3 \Rightarrow J_N(K; q) = \frac{1}{|N|} \text{Tr}_1 \text{Tr}_2 \text{Tr}_3 (\rho_R(\hat{b}) \mu^{\otimes 3})$

- Consider instead  $\text{Tr}_2 \text{Tr}_3 (\rho_R(\hat{b}) \mu^{\otimes 2})$  where we have gotten rid of two tensor factors. Thus we have an endomorphism of  $V$ .

- FACT: The endomorphisms given by  $R$  and  $\mu$  using braids are intertwiners of representations of some quantum group

(called  $U_q(\mathfrak{sl}(2))$ ). In particular,  $V$  is an irreducible representation of that quantum group.

→ A map  $f: V \rightarrow W$  is an intertwiner if it is compatible with the representations on  $V$  and  $W$ , i.e.

$$\begin{array}{ccc}
 V & \xrightarrow{f} & W \\
 \phi_V \downarrow & \circlearrowleft & \downarrow \phi_W \\
 V & \xrightarrow{f} & W
 \end{array}$$

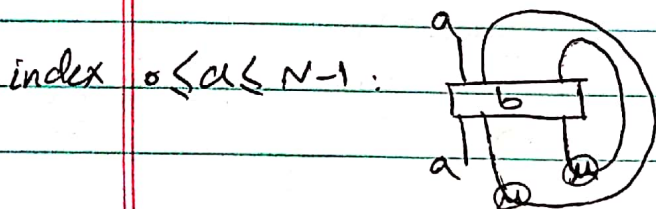
where  $\phi$  is the representation action.

- Hence  $\text{Tr}_2 \text{Tr}_3 (\rho_R(\hat{b}) \mu^{\otimes 2}) \in \text{End}(V)$  is an intertwiner of an irreducible representation. Schur's Lemma from representation theory applies:

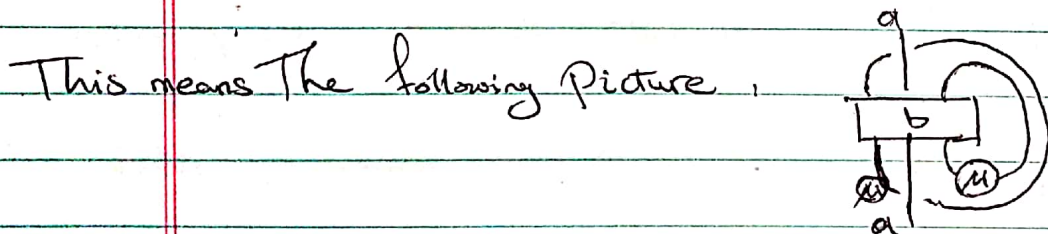
This means  $\text{Tr}_2 \text{Tr}_3 (\varphi_{12}(\hat{a}) \mu^{\otimes 2}) = S \times \text{Id}_V$  for some  $S \in \mathbb{C}$ .

Therefore 
$$J_N(K, q) = \frac{\{1\}}{\{N\}} \text{Tr}_1 (S \times \mu) = \frac{\{1\}}{\{N\}} S \text{Tr}(\mu) = S$$

• So we only need to compute  $S$  which is any ~~diagonal~~ element of the matrix  $\text{Tr}_2 \text{Tr}_3 (\varphi_{12}(\hat{a}) \mu^{\otimes 2})$  like the following for any

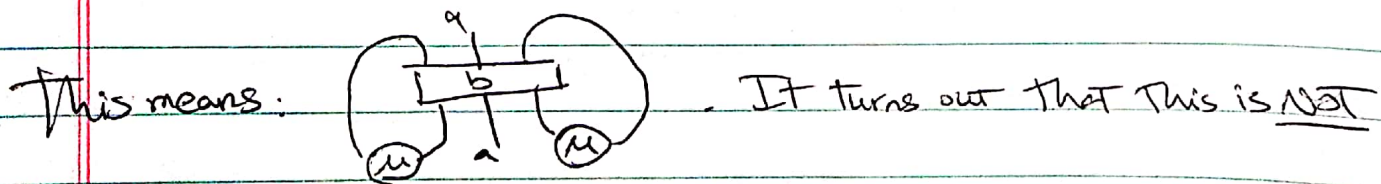


• It turns out that computing the above is still not easy. Instead, we could like to take Trace on first & third factor instead of second & third.



• But due to braidings present at top and bottom ( $\begin{matrix} a \\ | \\ \text{---} \end{matrix}$  and  $\begin{matrix} \text{---} \\ | \\ a \end{matrix}$ ) this becomes still hard to compute.

• We would like to close the first strand from the left to right,



equal to the previous picture. The reason is that to take

closure from left to right, one needs to put  $\mu^{-1}$  instead of  $\mu$ . The reason behind this is outside scope of this section but as an exercise you can check that.

$$\begin{array}{ccc}
 \text{Diagram 1} & \neq & \text{Diagram 2} \quad \text{but} \quad \text{Diagram 3} = \text{Diagram 4} \\
 \text{Tr}_2(R(\text{Id} \otimes \mu)) & & \text{Tr}_1(R(\mu \otimes \text{Id})) & & \text{Tr}_1(R(\mu^{-1} \otimes \text{Id})) \\
 \parallel & & \parallel & & \parallel \\
 \sum_i R_{ji}^{ji} M_i^i & & \sum_j R_{di}^{di} M_j^j & & \sum_j R_{ji}^{ji} (\mu^{-1})_j^j
 \end{array}$$

Therefore, we need to compute the following, where to make computations easier we choose index  $a=0$ .

$$\sum_{i,j,k,l,m,n,p} R_{kz}^{io} (R^{-1})_{mn}^{lj} R_{ip}^{km} (R^{-1})_{oj}^{pn} (\mu^{-1})_i^i M_j^j$$

Recall the equations (1) & (2) for  $R$  &  $R^{-1}$ :

$$\underline{R_{kl}^{ij}} = \sum_{\dots} \delta_{l,i+m} \delta_{k,j-m} \quad \& \quad \underline{(R^{-1})_{kl}^{ij}} = \sum_{\dots} \delta_{l,i-m} \delta_{k,j+m}$$

For the terms above to be nonzero, we have the following  $(+)$ ,  $(-)$  rules  
 $R$   $R^{-1}$

$$R \begin{pmatrix} + \end{pmatrix} \begin{array}{c} i \quad j \\ \diagdown \quad \diagup \\ k \quad l \end{array} \quad i+j = k+l \quad l \gg i, k \ll j$$

$$R^{-1} \begin{pmatrix} - \end{pmatrix} \begin{array}{c} i \quad j \\ \diagup \quad \diagdown \\ k \quad l \end{array} \quad i+j = k+l \quad k \ll i, k \gg j$$

Now apply (+) on  $\begin{array}{c} i \\ \diagdown \quad \diagup \\ k \quad l \end{array}$   $i+0 = k+l \Rightarrow k=0$   
 $l \gg i, k \ll 0 \quad l=i$

apply (-) on  $\begin{array}{c} p \quad n \\ \diagdown \quad \diagup \\ 0 \quad j \end{array}$   $p+n = 0+j \Rightarrow n=0$   
 $n \ll 0, p \gg j \quad p=j$

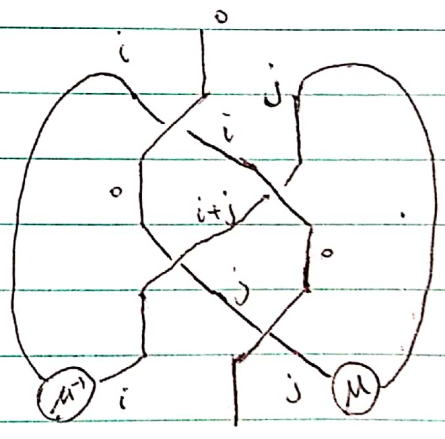
apply (-) on  $\begin{array}{c} l \quad j \\ \diagdown \quad \diagup \\ m \quad n \end{array}$   $l+j = m+n \rightarrow m = l+j$   
 $n=0, l=i$

So we get

$$\sum R_{oi}^{io} (R^{-1})_{lj}^{ij} R_{ij}^{oj} (R^{-1})_{oj}^{jo} (u^{-1})_i^i u_j^j$$

- $i \in N-1$
- $j \in N-1$
- $i+j \in N-1$

$$= \sum_{\substack{i, j \in N-1 \\ i+j \in N-1}} (-1)^i \frac{\{i\}! \{j\}! \{N-1\}!}{\{i\}! \{j\}! \{N-1-j-i\}!} \times q^{\frac{-N+i}{2} + \frac{N-j}{2} - \frac{i^2}{4} + \frac{j^2}{4} - \frac{3i}{4} + \frac{3j}{4}}$$



Put  $k=i+j \rightarrow \sum_{k=0}^{N-1} \frac{\{N-1\}!}{\{N-1-k\}!} q^{\frac{k^2}{4} + \frac{Nk}{2} + \frac{k}{4}} \times$

$$\left( \sum_{i=0}^k (-1)^i \frac{\{k\}!}{\{i\}! \{k-i\}!} q^{\frac{(-2N-k-1)i}{2}} \right)$$

For the inner sum, we shall use the following fact.



• Define  $T(k, l) = \sum_{i=0}^k (-1)^i q^{\frac{li}{2}} \begin{bmatrix} k \\ i \end{bmatrix}_q$  where  $\begin{bmatrix} k \\ i \end{bmatrix}_q = \frac{\{k\}!}{\{i\}! \{k-i\}!}$  (9)

Then  $T(k, l) = \prod_{j=1}^k (1 - q^{\frac{(l+k+1)-j}{2}})$

\*Proof: exercise. Use Pascal's identity  $\begin{bmatrix} k \\ i \end{bmatrix}_q = q^{-\frac{ki}{2}} \begin{bmatrix} k-1 \\ i-1 \end{bmatrix}_q + q^{\frac{i}{2}} \begin{bmatrix} k-1 \\ i \end{bmatrix}_q$

to get recursive relation  $T(k, l) = (1 - q^{\frac{l+k+1-k}{2}}) T(k-1, l+1)$   $\square$

Plugging  $l = -2N - k - 1$  in above we get by direct calculations

$$T(k, l) = \frac{\{N+k\}!}{\{N\}!} q^{-\frac{k^2}{4} - \frac{Nk}{2} - \frac{k}{4}}$$

This implies

$$J_N(k, q) = \sum_{k=0}^{N-1} \frac{\{N-1-k\}!}{\{N-1-k\}!} q^{\frac{k^2}{4} + \frac{Nk}{2} + \frac{k}{4}} T(k, -2N-k-1)$$

$$= \sum_{k=0}^{N-1} \frac{\{N-1-k\}!}{\{N-1-k\}!} \frac{\{N+k\}!}{\{N\}!}$$

$$= \frac{1}{\{N\}!} \sum_{k=0}^{N-1} \frac{\{N+k\}!}{\{N-1-k\}!} \quad \square$$